



# **The UC Santa Cruz Budget – A Bird's Eye View**

Office of Planning and Budget  
December 2002

December 2002

We are pleased to provide you with an overview of campus's 2002-03 budget. This document is intended as a snap shot of basic information and does not include, for now, a discussion of campus priorities or narratives describing our programs. Because this is a web-based document, we anticipate being able to update it and add information in the future.

Governor Davis has called a special session of the legislature, beginning December 9<sup>th</sup>, with the goal of achieving at least \$5 billion of savings in the state's budget in the current year. We will work with the Public Information Office to keep you apprised of details as they relate to actions that will affect the University's budget, and urge you to check the campus web page at: [http://www.ucsc.edu/news\\_events/budget\\_impact/](http://www.ucsc.edu/news_events/budget_impact/) for the latest information.

Please contact us at [planning@ucsc.edu](mailto:planning@ucsc.edu) if you have questions, need additional information at this time, or have suggestions for other data we should include in future updates.

Office of Planning and Budget  
Meredith Michaels, Vice Chancellor

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#### **Related Web Links:**

- Campus Long-Range Planning  
(<http://planning.ucsc.edu/plans2001/>)
- Updates on the University Budget  
([http://www.ucsc.edu/news\\_events/budget\\_impact/](http://www.ucsc.edu/news_events/budget_impact/))

## About University of California, Santa Cruz

Since its inception, UCSC has been dedicated to excellence in undergraduate education, graduate studies, and research. UCSC's 2002-03 enrollment is estimated at 13,600 FTE students, and the campus anticipates growth to 16,900 FTE students by 2010. Enrollment growth will be accommodated in the traditional fall-winter-spring quarters, as well as during the summer and in off-campus venues such as Education Abroad. Currently, about 90 percent of the students are undergraduates enrolled in one or more of UCSC's 49 majors. The campus expects that graduate students will make up about 15% of the campus's enrollment within the decade.

UCSC's fall 2002 enrollment was 14,122 students – 12,845 undergraduates (93.7% of whom are California residents, representing several counties in the state) and 1,277 graduate students. The average ratio of students to faculty is 19.5 to 1 (when measured in terms of full-time equivalents).

The UCSC main campus consists of 2,000 acres of land overlooking Monterey Bay. Its 541 buildings (including residential facilities) provide 4.2 million gross square feet of space. The campus also has research facilities located on Monterey Bay (such as Long Marine Lab and the Monterey Bay Education Science and Technology Center) and provides instruction throughout the Monterey Bay area and in Silicon Valley via UC Santa Cruz Extension.

The campus, with more than 10,600 individuals (faculty, staff, and students) employed at some time during 2002-03 (representing an average of 1,300 full-time equivalent academic and 2,450 full-time equivalent non-academic staff) is the largest single employer in Santa Cruz County. In 2001-02, UCSC attracted an estimated \$443 million from outside the local area and channeled it into the local economy. Using a conservative multiplier to estimate the effect of the spending and re-spending of these dollars, the impact of UCSC on the local economy is projected as \$991 million. In addition, UCSC faculty, staff and students contribute approximately one million hours of community service, which represents an economic value of more than \$12 million to the local economy.

## Facts and Figures

**Name:** University of California,  
Santa Cruz

**Opened:** 1965

**Chancellor:** M.R.C. Greenwood

**Emphasis:** A nationally ranked  
research university committed  
to excellence in undergraduate  
and graduate education.

**Total number of alumni:**  
54,000

### Athletics:

NCAA Division III & NAIA Varsity  
Teams. (Men's/Women's Teams  
unless otherwise indicated)

- Basketball
- Cross Country (w only)
- Golf (w only)
- Soccer
- Swimming & Diving
- Tennis
- Volleyball
- Water Polo

**Team Name:** Banana Slugs

### Academic Programs:

Undergraduates may pursue 49 majors, 33 minors,  
and 59 concentrations.

Graduate students pursue majors in 18 masters and  
23 PH.D programs.  
Recently launched PH.D programs include education  
and electrical engineering.

### Six Major Research Units:

- UC Observatories/Lick Observatory;
- The Institute Marine Sciences;
- The Santa Cruz Institute for Particle  
Physics;
- The Institute for Geophysics and  
Planetary Physics;
- The Institute for Quantitative  
Biomedical Research (QB3);
- The Center for Information  
Technology Research in the interest  
of Society (CITRIS)

### Carnegie Classification:

Doctoral/Research Universities—Extensive

### Fall 2002 Admission Profile:

(all figures represent the mean)

#### Freshmen:

GPA: **3.62**

SAT I Verbal:	<b>571</b>	SAT I Math	<b>596</b>
SAT II Writing:	<b>581</b>	SAT II	<b>585</b>
		Math:	

### Transfer Students:

GPA: **3.23**

### Most popular undergraduate Degrees, 2001-02:

1. Psychology	9. Anthropology
2. Literature	10. History
3. Business Mgt Econ.	11. Community Studies
4. Environmental Studies	12. Art
5. Sociology	13. Biology
6. Film & Digital Media	14. Politics
7. Computer Science	15. Legal Studies
8. Molecular, Cellular, Bio	16. American Studies

**Annual Fees in 2002-03\*****Undergraduate fees:****CA Residents:**

Registration Fees:	\$ 713
Education Fee	\$ 2,716
Santa Cruz Campus	\$ 515
Health Ins. (waivable)	\$ 441
<b>Total</b>	<b>\$ 4,385</b>

**Non Residents:**

Registration Fee	\$ 713
Education Fee	\$ 3,086
Santa Cruz Campus	\$ 515
Health Ins. (waivable)	\$ 441
Non Resident tuition	\$12,009
<b>Total:</b>	<b>\$16,764</b>

**Graduate Fees:**

Registration Fees:	\$ 713
Education Fee	\$ 2,896
Santa Cruz Campus	\$ 500
Health Ins. (waivable)	\$ 1,512
Non resident tuition	\$11,132
Ed Fee Differential	\$ 190
<b>Total</b>	<b>\$16,943</b>

\*On 12/16/2002, The UC Regents approved a mid-year student fee increase of \$135/quarter to offset cuts proposed by the State. This increase is not reflected above.

**A profile of UC Santa Cruz students****Diversity** (undergraduate students)

African American:	2.3%	Euro-American:	53.3%
American Indian:	0.9%	Other minorities:	2.1%
Asian American/ Pacific Islander	16.6%	Not stated:	11.4%
Chicano/Latino:	13.4%		

**Graduation & Retention Rates:**

4-year Graduation Rate	41%
6-year Graduation Rate	64%
One-year Retention Rate	86%

**Student, Faculty & Staff Housing:****On Campus** (2000-01 3-Qtr. average)

Undergraduate students	4,879
Graduate students:	178
Faculty	142
Staff	46

**Off Campus** (Holiday Inn & University Town Center)

Undergraduate students	254
Staff	2

**Hometowns of undergraduates** (Fall 2001)

San Francisco Bay Area:	28.7%
Other Northern California:	2.9%
Monterey Bay Area/Santa Clara Valley:	18.8%
Central Valley Area:	10.5%
Los Angeles Area/South Coast:	23.7%
San Diego Area:	9.1%
Out of State:	5.7%
Foreign:	0.6%

**Overall enrollment facts** (Fall 2002)

Headcount enrollment for fall 2002:	14,122
Undergraduates	12,845
Graduate students	1,277
Percent women	56
Percent men	44

**Economic impact on the local economy**

	Expenditures in Local Economy	Economic Impact in Local Economy
Total Impact	\$443 million	\$991 million

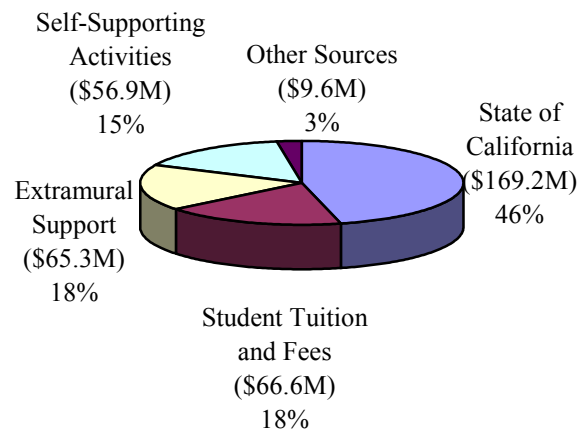
**Community Service:**

Community Service Hours: 1,000,000 (approximate)

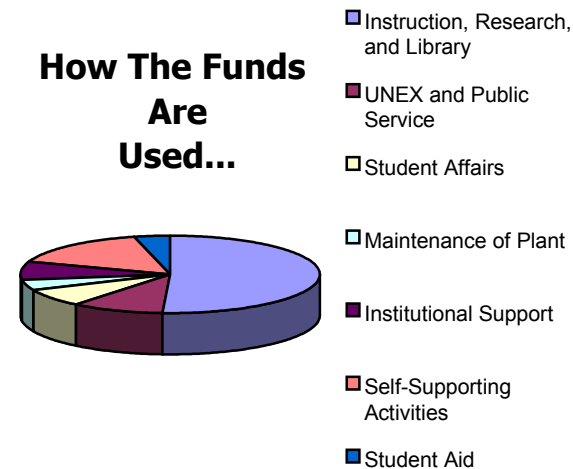
Economic Value to local  
economy: \$12 million

### UCSC'S OPERATING BUDGET 2002-03 \$367.6 million

#### Where The Funds Come From...



#### How The Funds Are Used...



#### The Primary Expense Categories:

Academic Salaries (Faculty, Teaching Assistants, and Librarians)	\$ 66.8
Staff Salaries and General Assistance	\$105.7
Employee Benefits	\$ 32.0
Supplies	\$125.8
Special Outlays (Financial Aid, Library Books, Utilities Extramural Research)	\$ 74.6
Less: Recharges	<u>-\$37.3</u>
	\$367.6

**Note:** The 2002-03 Estimated Budget reflects changes required by GASB (Governmental Accounting Standards Board). The operating budget includes estimated income from one-time sources such as extramural support, and excludes recharge income.

## Academic Program and Curriculum

UCSC offers two bachelor's degrees: the Bachelor of Arts and Bachelor of Sciences. Within these degree programs, students may pursue over 49 majors, and 33 minors. They may also apply for 59 concentrations within their majors. UCSC also offers 18 masters and 23 PhD programs.

### UC Santa Cruz Instruction & Research Areas/Programs (2002-03)

#### Arts

*Art*  
*Art History*  
*Film and Digital Media*

Music  
Theater Arts

#### Humanities

*American Studies*  
*Classical Studies*  
*German Studies*  
History of Consciousness  
History  
*Italian Studies*

*Language Studies*  
Linguistics  
Literature  
Philosophy  
*Women's Studies*

#### Engineering

Computer Science  
Computer Engineering  
*Bioinformatics*

Electrical Engineering  
*Information Systems*  
*Management*

#### Social Sciences

Anthropology  
Applied Economics & Finance  
*Business Management Economics*  
*Community Studies*  
International Economics  
Education  
*Economics*

Environmental Studies  
*Global Economics*  
*Latin American & Latino*  
*Studies*  
*Legal Studies*  
Politics  
Psychology  
Sociology

#### Natural Sciences

Astronomy/Astrophysics  
Chemistry/Biochemistry  
Ecology & Evolution Biology  
Earth Sciences  
Environmental Toxicology  
Mathematics  
*Marine Biology*  
Marine Sciences

Molecular, Cellular,  
Developmental Biology  
*Neuroscience & Behavior*  
Ocean Sciences  
Physics  
*Plant Sciences*  
Science Communication

*Programs listed in italics are undergraduate only*



Undergraduate concentration patterns have remained fairly constant over the years. Here, in descending order, are the declared and proposed majors that were the most popular.

### UNDERGRADUATE DECLARED AND PROPOSED MAJORS

*3 Quarter Headcount\**

	<u>1997-98</u>	<u>1998-99</u>	<u>1999-00</u>	<u>2000-01</u>	<u>2001-02</u>
Psychology	963.5	974.3	961.8	997.4	1,075.7
Literature	644.5	641.3	648.7	688.2	689.5
Biology	674.3	618.7	620.6	666.3	625.8
Business Management Economics	55.7	162.2	345.2	469.2	585.2
Computer Science	274.6	400.0	426.5	496.2	577.0
Art	472.9	470.0	474.1	496.7	539.6
Film & Digital Media/Film& Video	240.7	300.0	372.0	447.4	495.3
Environmental Studies	372.3	387.8	375.8	365.7	374.0
Sociology	292.2	269.5	261.8	278.2	353.5
Politics	242.3	245.4	252.7	261.7	336.2
History	334.6	295.0	292.0	288.7	308.3
Anthropology	289.8	294.2	272.7	243.8	264.5
Computer Engineering	168.3	215.0	224.5	233.5	256.8
Marine Biology	293.3	264.7	218.0	198.2	254.3
Theater Arts	182.7	168.2	176.2	184.2	202.5
Community Studies	137.0	139.7	146.7	163.7	200.3
Legal Studies	56.0	79.7	96.3	146.7	171.2
Mathematics	117.0	122.8	122.5	132.5	166.8
Music	111.0	118.0	142.7	141.5	152.2
Information System Mgmt	0.0	15.8	66.2	119.2	149.8
Biochemistry	185.0	181.8	166.8	151.3	149.2
Physics	87.0	86.3	122.7	139.2	138.0
Language Studies	115.7	133.5	130.2	123.6	126.5

Undergraduate declared and proposed majors continued...

### UNDERGRADUATE DECLARED AND PROPOSED MAJORS

3 Quarter Headcount\*

	<u>1997-98</u>	<u>1998-99</u>	<u>1999-00</u>	<u>2000-01</u>	<u>2001-02</u>
Molecular, Cellular & Dev Bio	252.0	218.7	175.2	126.8	124.2
Philosophy	133.0	140.6	140.0	128.2	121.5
American Studies	150.3	134.7	104.2	109.5	121.0
Earth Sciences	91.0	93.7	93.3	100.0	114.7
Economics	289.6	282.8	202.2	139.0	112.3
Chemistry	108.5	101.8	107.2	122.6	111.5
Women's Studies	123.3	120.7	105.0	104.9	107.3
Art History	66.7	78.8	87.0	86.6	91.2
Latin American\Latino Studies	83.3	76.0	79.8	86.7	84.2
Global Economics	66.0	65.8	71.3	81.7	81.6
Electrical Engineering	9.0	44.0	66.0	71.0	81.2
Linguistics	42.5	46.2	46.8	46.0	52.0
Psychobiology	64.3	51.2	44.3	54.8	38.8
Individual Studies	27.7	37.3	39.3	37.8	27.2
Ecology & Evolution	0.0	0.0	0.0	5.2	19.3
Interdisciplinary	27.7	37.3	39.3	43.0	46.5
Other**	1.0	3.1	6.0	12.5	35.3

**Note:** \* Double majors count as 0.5 for each major. Triple majors count as 0.33 for each major. Combined majors count as .5 for each major, unless included in a double or triple major.

\*\* Includes majors with fewer than 10 students: Neuroscience, Plant Science, East Asian Studies, Germans Studies, Classical Studies, Italian Studies Bioinformatics, and Astronomy/Astrophysics.

Similarly here are most popular graduate declared majors in descending order.

**GRADUATE BY MAJORS**  
**THREE QUARTER EQUIVALENT HEADCOUNT\***  
**(1990-91 Through 2001-02)**

	<u>1996-97</u>	<u>1997-98</u>	<u>1998-99</u>	<u>1999-00</u>	<u>2000-01</u>	<u>2001-02</u>
Education	99.7	101.3	91.7	83.7	122.3	117.0
Computer Science	59.7	68.7	64.0	64.3	73.3	98.3
Biology	82.3	91.7	83.3	87.3	89.0	87.7
Computer Engineering	65.7	73.3	80.7	83.3	61.3	75.0
Chemistry	73.3	65.0	72.0	72.7	72.7	72.7
Literature	67.3	67.0	58.7	57.7	50.7	61.3
History of Consciousness	51.0	52.0	48.7	53.3	54.7	50.3
Psychology	55.7	51.0	50.0	57.3	6.0	50.0
Earth Sciences	58.7	62.7	54.3	57.7	51.3	47.0
Physics	48.0	43.3	39.3	41.3	46.3	46.7
Environmental Studies	25.7	29.7	33.7	35.3	35.0	44.0
Sociology	40.0	38.0	40.0	37.3	36.7	39.3
Anthropology	42.0	36.7	39.0	35.7	37.7	38.7
International Economics	40.0	37.0	30.0	28.7	30.7	38.3
Mathematics	40.7	34.3	42.3	43.7	42.0	35.7
History	32.7	34.0	28.0	29.7	31.3	30.7
Ocean Sciences	28.0	18.3	21.3	23.0	20.3	28.7
Linguistics	17.0	15.7	21.3	24.3	24.3	24.7

Most popular declared graduate majors continued...

**GRADUATE BY MAJORS**  
**THREE QUARTER EQUIVALENT HEADCOUNT\***  
**(1990-91 Through 2000-01)**

	<u>1996-97</u>	<u>1997-98</u>	<u>1998-99</u>	<u>1999-00</u>	<u>2000-01</u>	<u>2001-02</u>
Astronomy & Astrophysics	25.0	26.3	31.0	28.7	23.0	22.0
Science Communication	20.0	19.3	19.7	20.0	20.0	20.0
Music	11.0	11.0	12.7	12.0	15.0	18.0
Applied Economics/ Finance	21.7	28.0	25.0	20.6	18.3	17.3
Politics	0.0	0.0	0.0	0.0	6.0	11.3
Theater Arts	7.0	8.0	8.0	7.0	6.7	11.0
Environmental Toxicology	0.0	0.0	0.0	0.0	3.0	6.7
Philosophy	0.0	0.0	0.0	0.0	0.0	5.7

**Note:** \* Graduate students have single majors; equivalent headcount is equal to major count.

The following are undergraduate degrees awarded by majors in descending order of popularity.

### UNDERGRADUATE DEGREES BY MAJORS

*(1996-97 through 2001-02)*

<b><u>BACCALAUREATE DEGREES</u></b>	<b>1996-97</b>	<b>1997-98</b>	<b>1998-99</b>	<b>1999-00</b>	<b>2000-01</b>	<b>2001-02</b>
Psychology	264.0	264.5	298.0	287.0	285.0	282.5
Literature	211.5	201.0	196.5	183.0	216.5	210.5
Business Mgt Economics	-	20.5	68.0	90.5	145.5	194.0
Environmental Studies	107.0	110.5	112.0	156.8	130.8	145.0
Sociology	151.5	130.5	118.0	116.5	112.5	138.0
Film & Digital Media	43.0	56.0	65.5	92.5	104.5	118.5
Computer Science	43.0	33.5	52.5	60.5	60.5	112.5
Molecular, Cellular & Dev Bio	92.5	106.5	99.5	105.0	105.5	110.5
Anthropology	130.5	110.5	114.0	143.0	110.5	106.0
History	123.3	132.7	110.5	112.0	100.5	100.5
Community Studies	57.5	49.0	72.5	74.0	72.0	99.5
Art	103.5	93.5	85.5	91.5	78.0	95.5
Biology	194.5	147.0	141.5	123.5	95.0	77.5
Politics	96.0	85.2	75.0	87.8	87.0	76.0
Legal Studies	5.5	6.5	14.0	21.0	36.0	55.0
American Studies	75.0	72.5	78.5	58.5	53.5	55.0
Mathematics	37.3	29.0	40.5	38.3	33.0	49.0
Marine Biology	53.5	48.5	59.0	33.0	71.0	48.5
Economics/Applied Econ	112.0	80.3	54.5	67.3	49.3	46.0

Undergraduate degrees by major in descending order of popularity continued...

**UNDERGRADUATE DEGREES BY MAJORS**  
(1996-97 through 2001-02)

<b><u>BACCALAUREATE DEGREES</u></b>	<b>1996-97</b>	<b>1997-98</b>	<b>1998-99</b>	<b>1999-00</b>	<b>2000-01</b>	<b>2001-02</b>
Philosophy	42.0	40.8	44.5	41.5	39.0	43.5
Information System Mgmt	-	-	-	13.0	31.0	42.0
Women's Studies	40.5	44.5	56.0	49.5	49.5	40.5
Latin American/Latino Studies	46.0	35.5	35.0	25.0	39.0	39.0
Computer Engineering	13.0	26.5	18.0	27.0	32.0	38.0
Theater Arts	45.0	39.0	46.0	51.0	45.5	34.5
Earth Sciences	32.0	28.5	32.0	30.3	30.0	34.0
Individual Studies	20.0	18.5	28.0	32.0	29.5	31.0
Chemistry	18.5	20.0	23.0	16.0	35.5	31.0
Art History	33.0	25.5	28.5	31.5	36.5	30.5
Music	27.3	24.5	12.0	27.0	28.5	29.0
Language Studies	25.5	25.5	29.5	35.0	34.5	28.0
Physics	14.0	17.0	16.0	16.0	23.5	26.0
Biochemistry	22.0	18.0	29.0	36.0	34.0	24.0
Global Economics	23.5	28.5	14.0	24.5	17.0	21.5
Linguistics	14.5	14.0	9.0	22.5	15.0	16.0
Psychobiology	20.0	20.0	15.0	7.0	16.5	15.0
Ecology & Evolution	-	-	-	-	3.0	14.5
Electrical Engineering	-	-	-	1.0	7.0	10.0
Classical Studies	-	-	-	1.0	6.0	2.5
Bioinformatics	-	-	-	-	-	2.0
German Studies	-	-	-	0.5	3.0	1.5
Italian Studies	-	-	-	-	-	1.5
Neuroscience & Behavior	-	-	-	-	-	1.5
Interdisciplinary	1	1	2	3	1	1.0

Graduate degrees awarded by major in descending order of popularity are as follows.

<b><u>MASTERS &amp; CERTIFICATES</u></b>	<b><u>1996-97</u></b>	<b><u>1997-98</u></b>	<b><u>1998-99</u></b>	<b><u>1999-00</u></b>	<b><u>2000-01</u></b>	<b><u>2001-02</u></b>
Education	46.0	52.0	53.0	39.0	109.0	110.0
Computer Science	8.0	9.0	15.0	16.0	9.0	29.0
Computer Engineering	20.0	22.0	16.0	22.0	27.0	18.0
Science Communication	10.0	23.0	16.0	35.0	17.0	14.0
Applied Econ/Finance	15.0	21.0	16.0	15.0	13.0	14.0
Theater Arts	3.0	6.0	9.0	7.0	8.0	8.0
Literature	5.0	9.0	8.0	3.0	3.0	8.0
Psychology	11.0	7.0	3.0	9.0	6.0	6.0
Mathematics	4.0	5.0	8.0	9.0	9.0	6.0
Int'l Economics	11.0	10.0	1.0	5.0	5.0	6.0
Anthropology	6.0	5.0	10.0	2.0	7.0	6.0
Sociology	3.0	7.0	9.0	7.0	5.0	5.0
Physics	11.0	12.0	10.0	9.0	10.0	5.0
Environmental Toxicology	-	-	-	-	-	4.0
Marine Sciences	14.0	8.0	11.0	8.0	3.0	4.0
History	8.0	8.0	5.0	5.0	8.0	4.0
Music	4.0	6.0	4.0	5.0	3.0	3.0
Chemistry	4.0	4.0	3.0	5.0	5.0	3.0
Linguistics	5.0	2.0	4.0	8.0	6.0	2.0
His of Con	3.0	6.0	2.0	-	2.0	2.0
Earth Sciences	12.0	13.0	5.0	11.0	11.0	2.0
Biology	4.0	6.0	12.0	12.0	5.0	2.0
Environmental Studies	-	-	-	1.0	-	1.0
Mol, Cell, Dev. Biology	-	-	-	-	1.0	-
Astronomy & Astrophysics	7.0	2.0	1.0	7.0	4.0	-

Graduate degrees awarded in descending order of popularity continued...

<i><b>DOCTORATES</b></i>	<u><b>1996-97</b></u>	<u><b>1997-98</b></u>	<u><b>1998-99</b></u>	<u><b>1999-00</b></u>	<u><b>2000-01</b></u>	<u><b>2001-02</b></u>
Chemistry	12.0	13.0	9.0	6.0	8.0	13.0
Earth Sciences	3.0	9.0	7.0	7.0	10.0	11.0
Biology	8.0	14.0	15.0	12.0	14.0	9.0
Environmental Studies	-	1.0	2.0	3.0	4.0	8.0
Literature	9.0	11.0	6.0	10.0	5.0	7.0
Computer Science	2.0	3.0	3.0	5.0	4.0	7.0
Physics	8.0	6.0	11.0	4.0	11.0	6.0
Int'l Economics	3.0	1.0	7.0	10.0	3.0	6.0
Computer Engineering	9.0	6.0	6.0	4.0	3.0	6.0
Psychology	5.0	2.0	3.0	7.0	8.0	4.0
Mathematics	-	2.0	8.0	3.0	2.0	3.0
History	5.0	2.0	3.0	3.0	1.0	3.0
History of Consciousness	6.0	2.0	4.0	13.0	8.0	3.0
Astronomy & Astrophysics	6.0	5.0	3.0	5.0	4.0	3.0
Sociology	1.0	9.0	6.0	2.0	3.0	2.0
Linguistics	3.0	2.0	1.0	1.0	2.0	2.0
Economics	-	1.0	1.0	-	-	-
Anthropology	2.0	2.0	3.0	5.0	6.0	-



## The 2002-03 Budget

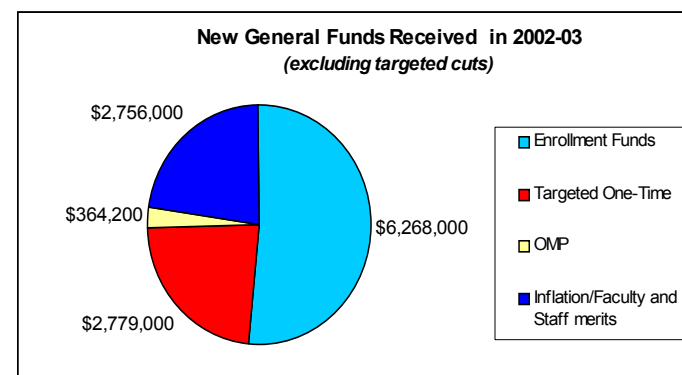
A large component of UCSC's budget is supported by General Funds. This includes funding provided by the State in support of the university's core mission of teaching, research, and public service. It also includes funding from UC sources such as nonresident tuition, educational fees, and federal indirect cost receipts.

The 2002-03 State Budget Act provided additional funding to support enrollment growth, increase the salaries of merit-eligible faculty and staff by 1.5%, and to maintain new state-supported facilities. UCSC received \$9.4 million in new ongoing resources and \$2.8 million in one-time funds for targeted activities in 2002-03, as shown to the right.

In addition to the new funding highlighted in the pie chart, the 2002-03 State Budget Act included targeted reductions for several programs. No new funds were provided to address the campus's deferred maintenance backlog, and there was a reduction in the financial aid budget that was partially offset by the funding provided for enrollment growth.

The cuts assigned to UCSC included:

Instructional Technology, Instructional Equipment, and Libraries  
 Research  
 Undesignated Cuts  
 Outreach Programs:  
   K-12 School University Partnerships  
   Student-Initiated Outreach  
   Outreach Evaluation



### 2002-03 Reductions

\$-1,074,000 (one-time)  
 \$ -495,000 (permanent)  
 \$ -248,000 (permanent)  
  
 \$-1,222,451 (permanent)  
 \$ -27,000 (permanent)  
 \$ -65,000 (permanent)  
**\$-3,131,451**

## The Outlook For 2003-04

The State of California is facing a very difficult budgetary period ahead. According to the California Legislative Analyst, for the second year in a row, the state faces a budget problem in excess of \$20 billion. The Legislative Analyst provided the following budget forecast:

- Current Year. California will end the 2002-03 fiscal year with a General Fund deficit of \$6.1 billion, compared to the \$1 billion positive reserve assumed when the *2002-03 Budget Act* was adopted.
- Budget Year 2003-04. The 2003-04 budget faces a cumulative year-end deficit of \$21.1 billion, absent corrective actions. However, if California's economic performance continues to lag and fails to experience the accelerating growth it was expecting in the latter half of 2003, the shortfall could easily be several billion dollars higher.
- Beyond 2003-04. Over the longer term, the state will face annual operating deficits (this is, excesses of expenditures over revenues) of between roughly \$12 billion and \$16 billion per year, again absent corrective action. These shortfalls average about 14 percent of the state's annual General Fund budgets over the period.
- On December 8, 2002 Governor Davis released his proposal to reduce the current year budget. The Governor's proposal would reduce the University of California's general fund appropriation by \$74.3 million. Permanent reductions include: \$20 million from Academic and Institutional Support; \$6.3 million from Student Services; \$3.3 million from Outreach Programs; \$2.5 million from Public Service Programs; \$1.1 million from the K-12 Internet Initiative; and \$19 million that would be offset by a mid-year increase in student fees. One-time reductions include the reversion of \$18 million in savings from selected research programs and \$4 million in estimated savings in the Advanced Placement On-Line Project (UC College Prep). See [http://www.ucsc.edu/news\\_events/budget\\_impact/](http://www.ucsc.edu/news_events/budget_impact/) for more details.

The latest publications from the Legislative Analyst's Office can be found at: [www.lao.ca.gov](http://www.lao.ca.gov).

## UC Santa Cruz performance under the UC Partnership Agreement

The Partnership Agreement (<http://budget.ucop.edu/NP.html>) represents a four-year commitment on the part of the Governor to provide the University with State funding needed to maintain quality and access at a time when the University's enrollment is anticipated to grow dramatically over the next decade. In return, the agreement includes a wide range of accountability measures and requires an annual review of partnership goals and specified performance data. The major themes of the accountability measures in the new Partnership, as they relate to UC Santa Cruz, are summarized below.

### 2002-03 UC Santa Cruz progress on accountability measures

**Access.** Since the partnership agreement was reached in 1999 UC Santa Cruz has admitted all eligible applicants who wish to attend. UCSC has exceeded budgeted enrollment levels each year of the Partnership.

**Student Eligibility.** The UC system implemented a new "path" (Eligibility in the Local Context, or ELC) designed to provide access to the top 4% of students in each California high school. Among the applicants for Fall 2002 10,946 ELC students were identified system-wide, 1,882 of whom applied and were admitted to UCSC. Of these, 118 (or 6% of the UCSC ELC admits) registered in Fall 2002. The campus is monitoring this new cohort of students to measure their success in attending UC.

**Community College Transfer.** The Partnership specifies an increase in community college transfers of 6% each year between 1998-99 and 2005-06. Over the last three years, the number of new community college transfer students enrolling at UCSC has increased by 15% from 920 in 1998-99 to 1,058 in 2001-02.

**Time to Degree and Graduation Rates.** The average number of enrolled quarters it has taken UCSC students to graduate has remained fairly constant, ranging between 12.1 and 12.3, scarcely above 4 years, for undergraduates who first entered as new freshmen between 1989 and 1993. Over the same period the average number of elapsed quarters has dropped slightly from 13.6 to 13.4.

The most recent graduation rates were

	<u>UC Santa Cruz</u>	<u>UC (all campuses)</u>
Four-year (based on the 1997 cohort)	42%	44%
Five-year (based on the 1996 cohort)	62%	71%
Six-year (based on the 1995 cohort)	63%	75%

Graduation rates tend to be better for cohorts with higher one-year retention rates. In the early 1990s this campus suffered a rather dramatic decline in one-year retention rates; however since 1995 retention has been improving gradually but steadily. Improvements have been seen in the four and five-year graduation rates as a result of improved retention and six-year rates are expected to improve in the near future.

**State-Supported Summer Instruction.** Even though the Governor and Legislature have not yet funded a State-supported summer term at UC Santa Cruz, the campus' summer enrollments have nearly doubled, from 315 FTE in 1999 to 586 FTE in 2002. In addition, an integral part of the program has been the development of an innovative MA program in Education Teacher Preparation that is earned by completion of a five-quarter program comprising two summers (and one academic year).

**Engineering and Computer Science Enrollment.** UC Santa Cruz has surpassed its share of the UC goal to increase engineering and computer science enrollments by 50%. Campus enrollments increased from 820 three quarter average headcount majors in 1998-99 to an estimated 1,334 for 2002-03--an increase of 63%.

**Education Credential Enrollment.** UC Santa Cruz has more than doubled its education credential enrollment, from 92 FTE students in 1998-99 to 248 FTE estimated for 2002-03, as agreed under the Partnership with the Governor. For 2003-04, enrollment is projected to grow another 25 FTE, to 273 FTE students.

**Science Institutes.** UC Santa Cruz is a participant in two of the four California Institutes for Science and Innovation—CITRIS and QB3—created by UC to pursue cutting-edge research in fields that will be critical to the future of the State's economy. The Institutes are designed to bring together university researchers and private-sector partners to push the boundaries of knowledge, maintain California's leadership, and create jobs for the state's growing population. The Institutes are required to provide non-State matching funds at a 2:1 ratio.

**Research Funding.** The Partnership called for the University to seek to increase its share of federal research and development dollars to help maintain high-quality programs. In 2001-02 UCSC faculty and researchers were awarded \$50.9 million in federal grants and contracts, up from \$34.9 million in 1998-99. This represents an annual average increase of 13.6%.

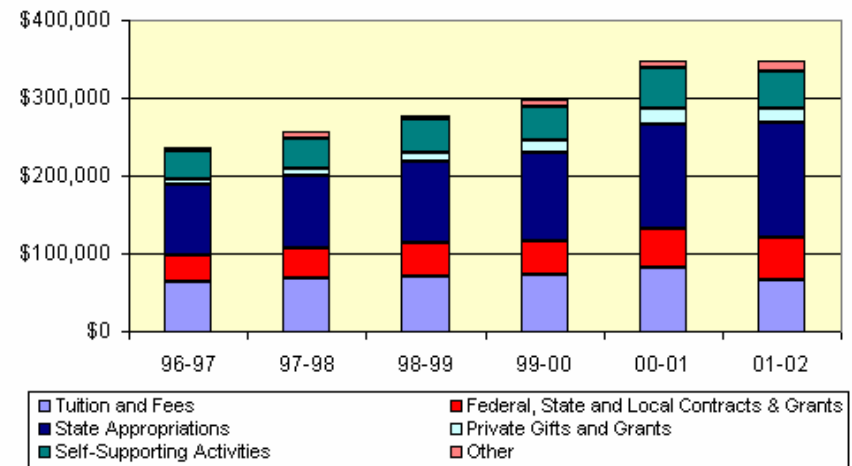
**Private Support.** Similarly, the University has met with great success in securing private support to supplement State funding, raising nearly \$25 million in 1999-2000 and exceeding \$13 million again in each of the following two fiscal years (through June 30, 2002), despite the economic recession and sharp downturn in the stock market.

## TRENDS

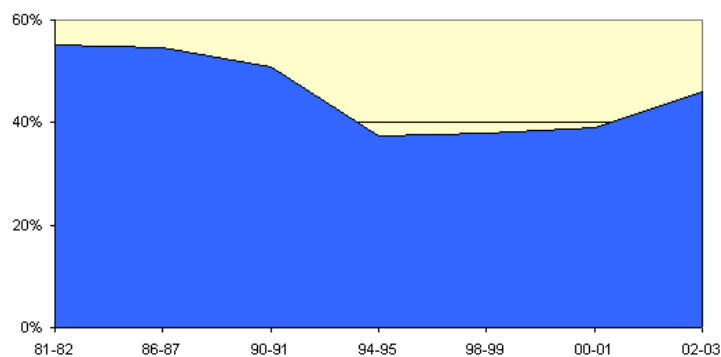
### Funding Sources

The major revenue streams at UC Santa Cruz include State funds, student tuition and fees, income from self-supporting activities, such as housing and the bookstore, funding from private sources, and federal and state government contracts and grants. Over the past decade, there have been significant changes in the composition of UCSC's budget. The chart at the right shows the changes over the past several years.

*Changes in Funding For UCSC*



*State Appropriations As a % of UCSC Budget*



In 1981, the State provided close to 60 percent of UCSC's funding. As of 2002-03, State support represents about 46% of the campus's budget reflecting increases in extramurally funded research and auxiliary enterprises, such as Student Housing.

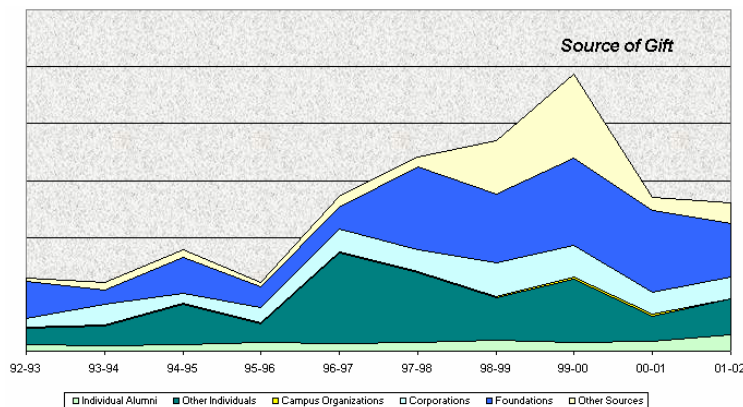
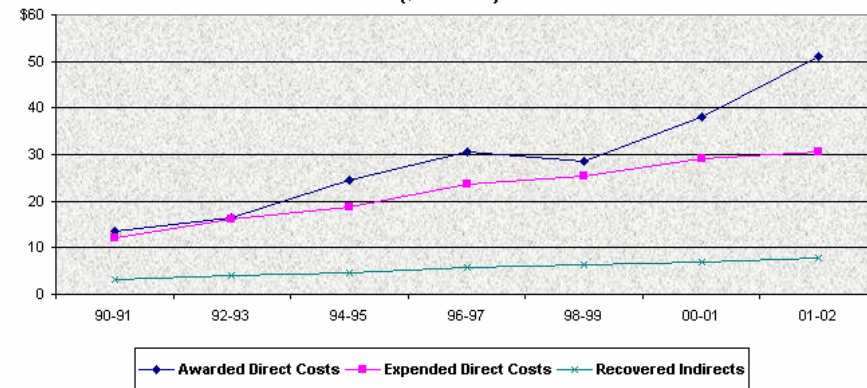
Looking ahead, UC and UCSC anticipate reductions in State support as the State of California is faced with a serious structural budget problem that must be addressed over the next few years.

## Contracts and Grants

Grants and contracts awards totaled almost \$68 million in 2001-02, of which \$50.9 was from federal sources. Over 75 of these awards were from the federal government. The second largest fund source was private, followed by UC system-wide initiatives. The single largest fund source was the National Science Foundation (\$18.1M), followed by National Institute of Health (\$13.1M). The single largest private fund source was David and Lucille Packard Foundation (\$1.9M).

Externally funded direct expenditures provided for 23% of all campus expenditures in instruction, research, and other academic programs.

**UCSC Federally Sponsored Research**  
Awards, Actual Direct Expenses, and Indirect Cost Receipts  
(\$ millions)



## Gifts and Funding From Private Sources

Gifts and private grants are received from alumni and other friends of the University, campus-related organizations, corporations, foundations, and other nonprofit entities. Private grants are received from for-profit and other organizations.<sup>1</sup>

UCSC continues to aggressively seek and develop non-State revenue sources and is in the "silent" phase of an ambitious capital campaign. While UCSC's funding from private sources has increased dramatically over the past 10 years, the most recent private support totals reflect changes in the economy and financial markets and mirror the trends for UC as a whole.

<sup>1</sup> The annual UC report on giving is available at: <http://www.ucop.edu/uer/instadv/annual/2001.pdf>.

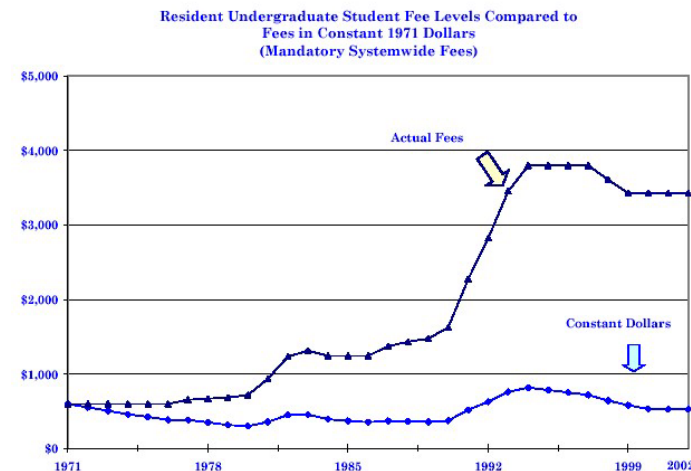
## Student Fees

Students at the University of California pay two mandatory student fees: the Educational Fee and the University Registration Fee. Income from these two fees is used to support student financial aid, student service programs, and a portion of the University's operating costs, including instruction related-costs.

Nonresident students must pay nonresident tuition. In addition, UCSC has several campus-based fees.

From 1995-96 to 2001-02, the State provided additional funding to UC to avoid increases in the Educational Fee or the Registration Fee. The State also provided funding to reduce mandatory UC fees by 10% for resident undergraduate students and 5% for resident graduate students.

Recognizing the State's continuing fiscal difficulties, the University of California 2003-04 Regents Budget includes a proposal to increase the Educational Fee and the Registration Fee by 6.5%, and the nonresident tuition by 4%.



Source: 2003-04 Regents Budget for Current Operations, November 2002.

The fee levels for 2002-03\* are shown below.

Undergraduate Student Fees	Annual
University Registration Fee	\$ 713.00
Educational Fee	2,716.00
Campus Fees	514.85
Health Insurance (waivable)	441.00
<b>Total California Resident</b>	<b>\$ 4,384.85</b>
Nonresident Tuition Fee	\$ 12,009.00
Ed Fee Differential	370.00
<b>Total Nonresident</b>	<b>\$ 16,763.85</b>

Graduate Student Fees	Annual
University Registration Fee	\$ 713.00
Educational Fee	2,896.00
Campus Fees	500.25
Graduate Health Ins. Fee (waivable)	1,512.00
<b>Total California Resident</b>	<b>\$ 5,621.25</b>
Nonresident Tuition Fee	11,132.00
Ed Fee Differential	190.00
<b>Total Nonresident</b>	<b>\$ 16,943.25</b>

\* On 12/16/2002, The UC Regents approved a mid-year student fee increase of \$135/quarter to offset cuts proposed by the State. This increase is not reflected above.



**Distribution of Registration Fees**

Registration Fees are allocated to support student service programs that are necessary to students, but not part of the University's programs of instruction, research, or public service. Included in these services are activities such as counseling, academic advising, tutorial assistance, cultural and recreation programs, and capital improvements that provide extracurricular benefits for students.

The Student Fee Advisory Committee, which includes a majority of student members, provides advice on the allocation of Registration Fees.

The following display outlines the use of Registration Fees over the last four years.

## UC SANTA CRUZ CAMPUS DIVISIONAL BUDGET SUMMARY

### Registration Fees

	2000		2001		2002		2003	
	Budget	FTE	Budget	FTE	Budget	FTE	Budget	FTE
Academic Information System	--	--	--	--	--	--	100,000	0.00
Admissions	60	0.00	0	0.00	0	0.00	0	0.00
CISE Career Center	683,863	17.86	699,581	18.16	455,268	10.75	432,874	9.50
Colleges	914,581	21.01	1,030,097	22.91	1,212,079	25.87	1,343,952	28.83
Graduate Studies	11,331	0.00	11,331	0.00	5,259	0.00	5,259	0.00
Housing Services	246,177	7.09	266,331	7.59	297,999	7.93	337,947	8.49
Office of Physical Education & Recreation	637,372	15.67	693,468	15.68	742,225	15.68	774,222	15.54
Physical Plant	282,000	0.00	282,000	0.00	282,000	0.00	282,000	0.00
Provisions Employee Benefits	1,137,153	0.00	1,310,449	0.00	1,334,786	0.00	1,699,299	0.00
Resource Centers & Student Development	636,220	13.76	763,594	15.28	855,696	17.16	974,550	18.70
SA Assistant VC Administration	--	--	60	0.00	60	0.00	0	0.00
SA VC Vice Chancellor's Office	520,119	0.42	514,666	0.42	1,067,919	0.42	661,139	0.50
Student Academic Support Services	336,904	5.08	101,137	2.00	108,785	2.00	125,283	2.00
Student Health Services	1,802,964	31.67	1,895,286	33.35	2,028,962	34.59	2,111,031	34.96
Student Organizations, Advising & Resources	354,314	7.10	365,712	7.10	328,626	5.22	327,462	5.36
UEO/University Events Office	53,271	1.00	54,555	1.00	55,552	0.80	60,552	0.80
<b>DIVISIONAL TOTALS</b>	<b>7,616,329</b>	<b>120.66</b>	<b>7,988,267</b>	<b>123.49</b>	<b>8,775,216</b>	<b>120.42</b>	<b>9,235,570</b>	<b>124.68</b>

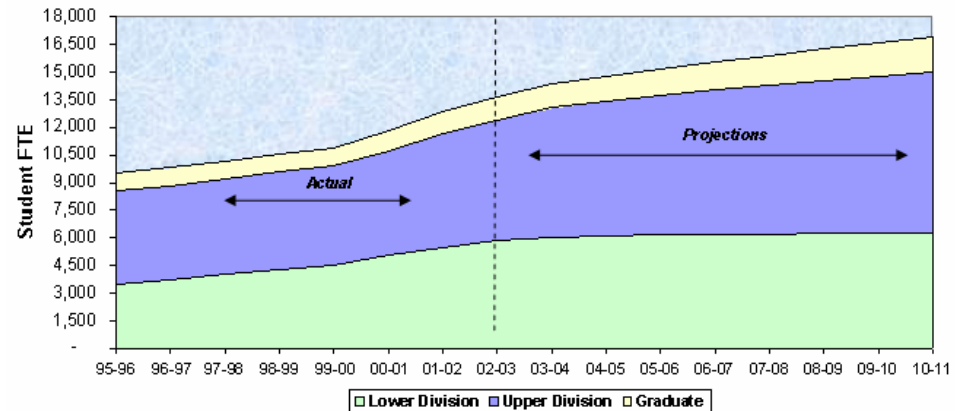
NOTE: Figures include budgeted funds from student Registration Fees only. The budget figures do not include one-time funding sources.

### Campus Enrollment

The University anticipates enrollments will continue to grow through the remainder of this decade. For UCSC, this likely means annual enrollment increases of about 500 students, and the campus will grow from its present enrollment of almost 14,000 students to close to 17,000 students by 2010.

Graduate students represent 9% of campus enrollments. This percentage is expected to increase as new graduate programs are added in the coming years.

History of UCSC's Actual Enrollment and Projected Growth

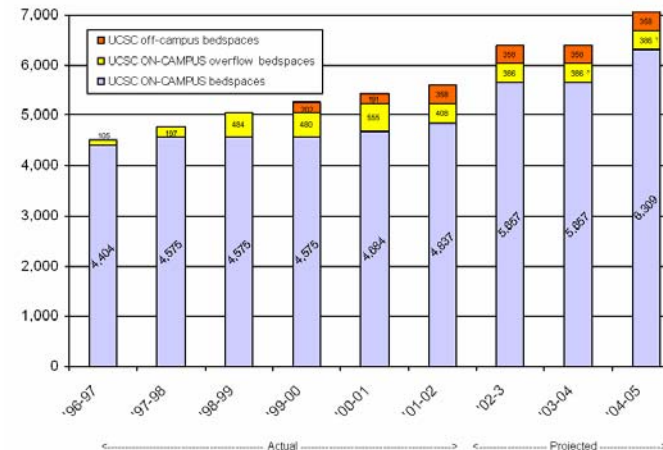


### Housing

Housing for UCSC students, faculty and staff remains a priority for the campus.

Currently, About 46 percent of undergraduate and 13 percent of graduate students are housed on-campus. The campus will be adding another 652 bed spaces in two years after completion of the infill projects at Porter, Stevenson, and Cowell colleges.

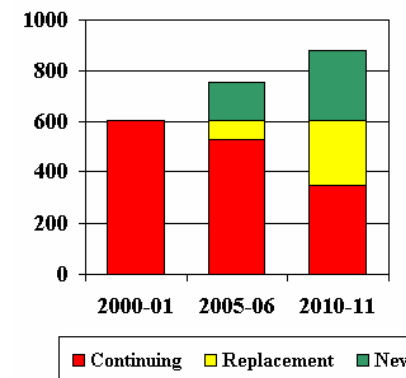
Student Bedspaces 1996-97 to 2004-05



## Faculty

UCSC currently has 674 budgeted faculty FTE and based on current enrollment projections, the campus expects to add another 203 FTE and to replace (due to retirements and separations) an additional 250 faculty positions by 2010. New positions are tied to enrollment growth at the rate of 1 faculty FTE for every 18.7 students. By policy, UC is required to hold 10 percent of its budgeted faculty FTE in non-permanent appointments.

Anticipated Faculty Growth

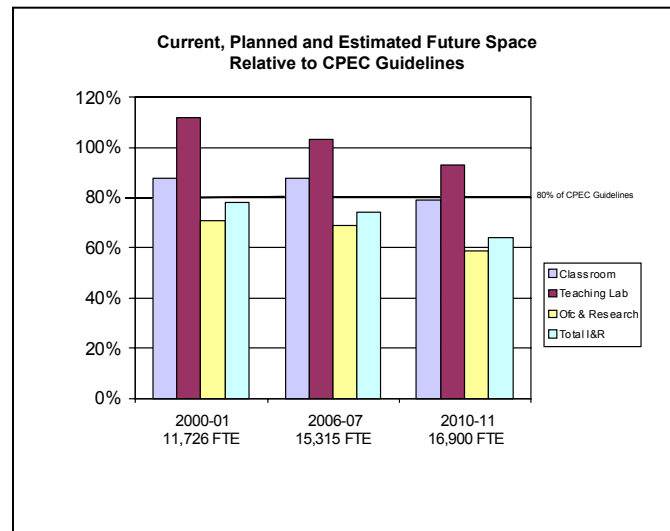


## State Capital Improvement Program

To sustain progress the campus needs to address a number of issues in the capital program area. Accordingly, priorities for the State capital improvement budget must continue to consider projects for:

**Instruction and Research:** As programs evolved, especially in the last decade a shortage of space developed in virtually all campus programs. Recent projects have addressed some of these needs, but space shortages remain, particularly in the sciences, engineering, and information technology, including library systems.

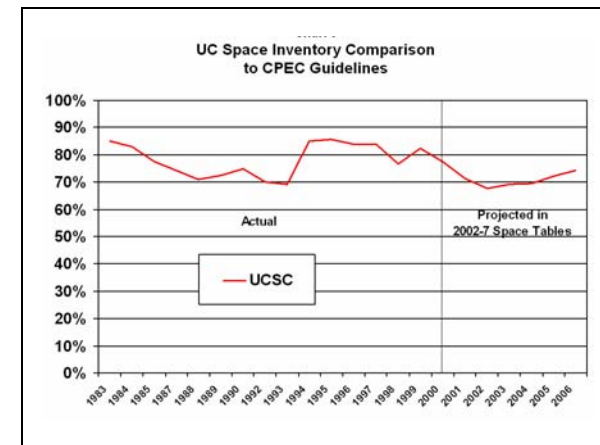
According to the guidelines used by the California Postsecondary Education Commission (CPEC) the campus will, upon completion of the Physical Sciences Building, the Engineering Building, and the Humanities Building be at approximately 76 percent of CPEC guidelines in 2006.



The President of the University has committed to bring, through allocation of State capital funds, each campus up to at least 80% of the CPEC guidelines. A close look reveals that the primary challenge facing the campus is the provision of academic offices and research space.

**Renewal of existing facilities and infrastructure:** While still a relatively young campus, at nearly 40 years old, the urgent need for renewal of existing facilities and infrastructure in response to changing academic programs, building health and safety requirements, seismic corrections and obsolescence will strongly influence campus capital planning. Improvements are required not only for buildings, but also for the campus fire alarm, sewer, water (cooling, fire protection, and domestic). While the campus has made progress in migrating from older underground cable to modern fiber optic technologies, other campus

infrastructure projects to implement high-speed network capabilities will be needed.



**Circulation infrastructure:** It is clear from the long-range development plan and subsequent planning efforts that circulation infrastructure is an essential element. The Santa Cruz campus occupies 2,000 acres, with the developed central campus comprising about 400 acres. The hillside setting of the campus – with a 900-foot change in elevation – is challenging. At no other UC campus is the topography so pronounced: the changes in elevation, many ravines, and trees create the need for a coordinated system of pedestrian and automobile bridges, roads and pathways.

For nearly two decades the campus has relied primarily on the use of state general obligation bonds and state lease-revenue bonds to support its enrollment-related (sometimes called *capacity*) capital needs. Even with this funding, however, there is a funding gap and the campus has committed itself to a series of strategies, including the more efficient use of space, reducing the cost of construction, and raising private funds, to help mitigate this gap.

**Five-Year State Capital Program:** The Santa Cruz campus annually prepares a five-year capital program based both on a practical assessment of facility needs and on a realistic expectation of the amount of capital funding expected from the state. Projects proposed for state funding in the campus's current five-year program are based on detailed planning and pre-design analysis that typically starts two or even three years before the initial state funds are available.

The chart on the next page reflects the proposed 2003-2008 state funded capital improvement program.

**Non-State Capital Improvement Program:** In addition to core instruction and research facilities funded through the State capital program, the campus requires new facilities that support organized research activities, student activities and housing, infrastructure, administration, and supporting facilities for the arts, including a concert hall and an art gallery. In line with these needs, the five-year non-state funded capital program includes projects that support research, including the California Institutes for Science and Innovation projects, parking projects, a child care center, and several housing projects that would either be financed by debt or constructed by a third party developer.

## Capital Improvement Program

## 2003-2008 STATE-FUNDED CAPITAL IMPROVEMENT PROGRAM

## SANTA CRUZ CAMPUS

Univ. Prior. No.	PROJECT NAME	PREFUNDED	PROPOSED 2003-04	FUTURE FUNDING REQUIREMENTS				TOTAL PROJECT COST
				2004-05	2005-06	2006-07	2007-08	
		(000s)	(000s)	(000s)	(000s)	(000s)	(000s)	(000s)
9	Seismic Corrections, Phase 2A	P [198] U	W 190 C 2,810					3,000 [198]
11	Humanities and Social Sciences Facility	P 1,479	W 1,146 C 24,680		E 2,000			29,305
17	Emergency Response Center	P 517	W 297 C 6,295					7,109
26	Alterations for Engineering Phase 2		P 197 W 199	C 3,923				4,319
34	McHenry Project, Ph 1: Library Addition Ph 2A: Seismic & ADA Improvements Ph 2B: Fire Life Safety Improvements/ Library Renovations Ph 2C: I&R Renovations / Library Addition Completion		P 1,906 P 682 P 519 P 495	W 1,482	C 31,370	W 538 W 500 W 518	E 1,520 C 11,629 C 11,807	36,228 12,844 11,799 13,129
	Seismic Corrections, Phase 3	P [350] U		W 345 W [16] U C 6,028 C [272] U				6,373 [638]
	Alterations for Engineering Phase 3			P 308 W 206	C 4,626			5,140
	Arts Facilities Addition, Phase 1			P 1,310	W 976	C 20,221	E 1,000	23,507
	Infrastructure Improvements				P 405 W 305	C 7,223		7,933
	Silicon Valley Center				P 251	W 658	C 18,628	20,011
	Natural Sciences 6					P 3,212	W 2,870	70,416
	CAMPUS TOTAL		39,416	13,552 [288]	39,933	32,865	58,234	

**2002-2003 Permanent Budget Summary by Major Fund Source**  
**University of California, Santa Cruz Campus**  
**(Budget Totals Are Net of Recharge Income)**

	Academic Salaries		Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	Retirement & Employee Benefits	Recharge Income	TOTAL
	<i>Amount</i>	<i>FTE</i>	<i>Amount</i>	<i>FTE</i>						
<b>2002-2003</b>										
<b>Permanent Budget</b>										
General Funds	61,501,673	932.61	65,350,435	1405.58	3,177,252	33,681,556	4,155,026	23,397,732	(8,207,262)	183,056,412
Special State Approp	0		0		0	5,240,230	45,000	0	0	5,285,230
Registration Fee	0		5,744,089	124.68	384,591	1,406,074	1,000	1,700,333	(42,500)	9,193,587
Other Fees	680,320	10.30	4,999,026	124.01	6,385,984	26,938,739	77,531	1,762,837	65,574	40,910,011
UOF/OTT	0		106,388	1.55	12,294	2,014,990	1,500	28,853	0	2,164,025
Gifts & Endowments	0		206,093	2.20	(296,464)	2,732,788	150,000	127,494	0	2,919,911
Self Supporting	0		7,894,480	156.28	1,104,434	15,794,302	124,700	2,158,764	(22,123,696)	4,952,984
Auxiliary Enterprise	0		9,302,159	227.39	810,104	61,209,637	0	2,878,518	(6,770,363)	67,430,055
Reserves	0		0		0	166,972	0	0	112,627	279,599
<b>TOTAL</b>	<b>62,181,993</b>	<b>942.91</b>	<b>93,602,670</b>	<b>2041.73</b>	<b>11,578,195</b>	<b>149,185,288</b>	<b>4,554,757</b>	<b>32,054,531</b>	<b>(36,965,620)</b>	<b>316,191,814</b>

NOTE: Budget figures for academic divisions include Supplemental Teaching Assistant allocations.



**2002-2003 Permanent Budget Summary by Major Division**  
**University of California, Santa Cruz Campus**  
**(Budget Totals Are Net of Recharge Income)**

	Academic Salaries		Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	Retirement & Employee Benefits	Recharge Income	TOTAL
	<i>Amount</i>	<i>FTE</i>	<i>Amount</i>	<i>FTE</i>						
<b>2002-2003</b>										
<b>Permanent Budget</b>										
Arts Division	5,972,874	111.14	2,328,334	52.86	14,743	1,134,234	69,055	0	0	9,519,240
Division of Physical & Biological Sciences	16,397,092	243.75	7,133,277	159.14	93,407	1,408,547	414,819	29,440	(297,080)	25,179,502
Engineering	6,179,575	85.25	2,159,170	43.73	453,615	920,296	116,074	0	0	9,828,730
Humanities Division	12,250,127	200.39	2,125,474	50.71	0	871,095	(38,932)	(5,400)	0	15,202,364
Library	2,312,664	34.06	4,157,177	109.65	427,139	1,112,446	3,302,163	3,936	(134,852)	11,180,673
Social Sciences Division	14,402,597	231.84	3,328,233	81.74	469,529	1,679,800	248,550	34,500	0	20,163,209
Summer Session	43,750	0.56	248,281	5.26	393,000	229,909	0	64,166	0	979,106
University Extension	636,570	9.86	3,956,659	97.25	4,716,918	7,884,632	42,531	1,246,410	602,307	19,086,027
Academic Units	58,195,249	916.71	25,436,605	600.34	6,568,351	15,240,959	4,154,260	1,373,052	170,375	111,138,851
Business & Administrative Services	0		30,253,217	650.43	2,762,021	32,599,776	360,733	2,547,796	(32,005,688)	36,517,855
Chancellor & Campus Provost Units	307,929	3.06	7,113,429	114.79	201,780	2,088,374	99,133	27,864	(263,461)	9,575,048
Colleges	1,089,313	1.06	5,935,765	150.22	242,612	41,461,823	(20,968)	1,172,727	0	49,881,272
Student Affairs	57,200	1.06	19,416,749	424.95	1,752,287	35,407,771	37,924	1,637,603	(4,864,016)	53,445,518
Student Aid	0		0		0	20,709,099	0	0	0	20,709,099
University Relations	0		2,701,591	47.82	(22,459)	687,060	21,775	192,541	0	3,580,508
Multi-Campus Research Units	2,532,302	21.20	2,745,314	53.18	73,603	990,426	(98,100)	745,753	(2,830)	6,986,468
Provision for Employee Benefits	0		0		0	0	0	24,357,195	0	24,357,195
<b>TOTAL</b>	<b>62,181,993</b>	<b>942.91</b>	<b>93,602,670</b>	<b>2041.73</b>	<b>11,578,195</b>	<b>149,185,288</b>	<b>4,554,757</b>	<b>32,054,531</b>	<b>(36,965,620)</b>	<b>316,191,814</b>

NOTE: Budget figures for academic divisions include Supplemental Teaching Assistant allocations.

**UC SANTA CRUZ CAMPUS DIVISIONAL BUDGET SUMMARY**  
**(Amounts Include Budgeted Recharge Income)**

	1999 - 2000			2000 - 2001			2001 - 2002			2002 - 2003		
	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE
<b>Academic Units</b>												
Arts Division	6,927,978	86.13	45.09	8,170,783	97.89	45.80	8,934,623	108.85	51.86	9,519,240	111.14	52.86
Division of Physical & Biological Sciences	21,352,719	229.35	147.61	23,387,030	235.78	149.07	24,603,230	242.06	158.57	25,476,582	243.75	159.14
Engineering	5,506,471	57.06	30.09	7,211,117	68.81	31.34	8,745,891	78.81	38.09	9,828,730	85.29	43.73
Humanities Division	12,899,180	195.68	44.27	13,711,856	197.19	46.82	14,693,856	202.42	47.90	15,202,364	200.39	50.71
Library	9,546,604	32.00	100.39	10,417,846	33.00	107.09	10,947,658	34.00	107.84	11,315,525	34.00	109.65
Social Sciences Division	15,070,474	200.21	63.76	16,804,529	210.25	68.31	19,179,856	225.31	77.98	20,163,209	231.84	81.74
Summer Session	894,696	0.50	5.16	894,696	0.50	5.16	975,000	0.50	5.26	979,106	0.50	5.26
University Extension	21,740,500	17.25	118.08	23,696,000	15.80	112.55	28,382,287	15.15	122.36	19,086,027	9.80	97.25
<b>Business &amp; Administrative Services</b>	57,465,178	0.00	594.47	62,977,032	0.00	617.01	60,545,606	0.00	626.51	68,336,772	0.00	650.43
<b>Chancellor &amp; Campus Provost Units</b>	6,911,651	0.50	95.88	7,810,677	0.50	101.64	8,684,135	1.00	112.72	9,575,048	3.00	114.79
<b>Colleges</b>	32,581,154	4.00	119.73	36,935,506	4.00	132.39	41,392,101	2.00	135.76	49,881,272	1.00	150.22
<b>Student Affairs</b>	32,193,453	1.00	344.66	44,342,010	1.00	370.37	49,941,115	1.00	399.05	58,309,534	1.00	424.95
<b>Student Aid</b>	15,016,083	0.00	0.00	16,353,944	0.00	0.00	17,628,761	0.00	0.00	20,709,099	0.00	0.00
<b>University Relations</b>	3,129,265	0.00	46.54	2,862,385	0.00	46.79	3,223,825	0.00	46.81	3,580,508	0.00	47.82
<b>Multi-Campus Research Units</b>	6,292,595	22.20	50.90	6,490,567	22.20	50.90	7,292,708	22.20	53.20	6,989,298	21.20	53.18
<b>Provision for Employee Benefits</b>	17,817,981	0.00	0.00	20,508,090	0.00	0.00	22,096,956	0.00	0.00	24,356,678	0.00	0.00
<b>CAMPUS TOTALS</b>	<b>265,345,982</b>	<b>845.88</b>	<b>1806.63</b>	<b>302,574,068</b>	<b>886.92</b>	<b>1885.24</b>	<b>327,267,608</b>	<b>933.30</b>	<b>1983.91</b>	<b>353,308,992</b>	<b>942.91</b>	<b>2041.73</b>

NOTE: Figures include budgeted funds from the following sources: General & Special State Appropriations, Student Fees, Endowment Income, UOF/OTT, and Recharge & Auxiliary Income. The budget figures do not include funds from extramural sources (e.g. gifts, grants and contracts), and one-time funding sources.

The Budget Profiles were prepared to provide a better understanding of UCSC's budget and the operating budgets of the academic and academic support divisions and departments. Some areas of the campus are funded primarily with general funds. Other areas are supported with student fees, and some areas are largely self-supporting or supported by recharge income.

***A note about recharge income:*** Because recharge income does not add to the total budget of the campus, uniform accounting procedures require the campus to budget recharge income as an offset to expenses. Recharge income does, however, provide a source of income for those units that offer services on a recharge basis. The two permanent budget summary reports described below show the budget *net* of recharge income. The multi-year report shows the budget *with* recharge income.

The budget data provided in the profiles is presented in three views, as follows:


- ***2002-03 Permanent Budget Summary By Major Unit:*** This view summarizes the 2002-03 permanent budget by Major Unit. This report lists the major units within the division, and summarizes the budget by major expenditure category (e.g., salaries, supplies, equipment, employee benefits, and recharge income).
- ***2002-03 Permanent Budget Summary By Major Fund Source:*** This view lists the funding sources that are permanently budgeted in the division, and indicates the amounts budgeted for each major expenditure category (e.g., salaries, supplies, equipment, employee benefits, and recharge income).
- ***UC Santa Cruz Campus Divisional Budget Summary:*** This view looks at the permanent budget, as of July 1, for the past 4 years. The total permanent budget is listed by major department in the division.

The total budget in the 2002-03 Permanent Budget view does not always have the same budget figure shown in the multi-year divisional budget summary. This is because of recharge income. For those units or divisions with recharge income, one report deducts the recharge income from the total budget. The other view of the budget includes the recharge income in the total budget.

The following example illustrates the difference.

### 2002-03 Permanent Budget Summary

Library		
	Recharge Income	TOTAL
<hr/>		
2002-2003 Permanent Budget		
General Funds	(130,352)	10,985,508
Special State Approp	0	1,710
UOF/OTT	0	22,374
Gifts & Endowments	0	164,981
Self Supporting	(4,500)	6,100
<b>TOTAL</b>	<b>(134,852)</b>	<b>11,180,673</b>


  
**\$11,315,525**

### UC Santa Cruz Divisional Budget Summary

Library			
<hr/>			
	2002 - 2003		
	Budget	Acad FTE	Staff FTE
Lib Instructional Media Svs	1,136,895	--	22.09
Lib Lick Reprint Exchange	8,487	--	--
Lib Regional History Project	16,230	--	0.00
University Library	10,153,913	34.00	87.56
<b>DIVISIONAL TOTALS</b>	<b>11,315,525</b>	<b>34.00</b>	<b>109.65</b>

The first report delineates the amount of recharge income the unit anticipates generating, while the second report includes the recharge income to give a more accurate depiction of the overall permanent budget.

## BUDGET PROFILES DEFINITION OF TERMS

### PRIMARY SOURCE DOCUMENTS

***Financial Managers Workbench (FMW)—UCSC's Budget and Staffing System*** - This is an annual record system of all permanently budgeted funds on this campus. Information provided includes the number of permanently budgeted positions, and monies permanently budgeted for salaries, supplies, and benefits within each organization and fund. It also displays the permanently budgeted allocations for academic and staff positions, both filled and unfilled.

***Course Audits*** - This is an annual record system of *permanent* faculty instructional workload and course offerings. The reports are organized by course offering unit within each division. Information provided includes for each faculty member a listing of courses taught, enrollments in those courses and independent studies, and a calculation of courseload. An aggregate course and enrollment load figure is calculated by unit for all associated faculty, and by division, and historical summaries are provided. A count of all courses offered (regardless of whether taught by permanent faculty) is also included.

***Instructional Load Summary*** - This is a five year historical record system of instructional workload indicators. The reports are organized by academic unit within each division. Information provided includes numbers of undergraduate and graduate majors, enrollment workload FTE, degrees conferred, permanently budgeted faculty, payroll faculty, and weighted and unweighted student/faculty FTE ratios.

## BUDGET SUMMARY CATEGORIES

**Source of All Budget Information:** *FMW—Financial Managers Workbench*

**Budget** - Refers to permanently budgeted activities in the specified fiscal year (July 1 to June 30) and does not include temporary (non-recurring) allocations or extramural contract and grant activity.

**FTE** - Full Time Equivalent. One FTE is one job at 100% time. An FTE is also a provision so that, theoretically, there could be 10 persons at 10% time to fill one FTE. In this context, FTE Total is the number of budgeted FTE provisions in a unit, whether or not an individual has actually been hired to fill the slot.

**Academic FTE** - Includes permanently budgeted ladder faculty, as well as personnel with academic appointments in non-ladder faculty titles such as researchers, librarians, teaching assistants, and University Extension Continuing Education Specialists. This category includes both filled and unfilled FTE.

**Staff FTE** - Includes permanently budgeted staff positions (non-academic titles), including both filled and unfilled FTE.

**Academic Salaries** - Includes permanently budgeted funding for academic FTE positions.

**Staff Salaries** - Includes permanently budgeted funding for staff positions (non-academic titles).

**General Assistance** - Includes permanently budgeted funding for temporary staff and student employees.

**Supplies and Materials** - Includes all non-salary permanently budgeted funds; includes funding for supplies.

**Equipment and Capital Expenditures** – Includes non-salary permanently budgeted funds for equipment and inventorial items.

**Retirement and Employee Benefits** – Includes funds for health, dental, vision, and other benefits paid by the employer.

**Recharge Income** – Estimated income from services provided to other campus units on a fee-for-service basis.

## SOURCE OF FUNDS

**Source for all Permanent Budget Information:** *FMW—Financial Managers Workbench*

**Auxiliary Enterprise**— Non-instructional support services provided primarily to students in return for specified charges. Services include residence and dining services, parking, and the bookstore. No State funds are provided for auxiliary enterprises. Budget increases are matched by corresponding increases in revenues.

**General Funds** - Includes State Fund allocations, Educational Fees, general fund income from UC sources such as nonresident tuition, and the general fund portion of federal indirect cost receipts.

**Gift and Endowments** – Gift and endowment income is usually designated for specific activities or programs. Endowment income is based on annual earnings of the endowment principal. Gift income is a source of one-time funds received from private donations.

**OTT/UOF** - Off the Top Overhead (OTT) and University Opportunity Funds (UOF) derived from overhead charges to federal contract and grant activities.

**Other Fees** - Other fees include Santa Cruz campus fees which were approved by student referendum. These fees are usually designated to fund services required by students which are not part of the University's program of instruction, research, or public service.

**Registration Fees** - Designated to fund services required by students which are not part of the University's programs of instruction, research, or public service. Included in these services are activities such as counseling, academic advising, tutorial assistance, cultural and recreational programs, and capital improvements which provide extracurricular benefits for students.

**Self-Supporting** - Income derived from charging other units for services.

**Special State Appropriation** – Funding received from the state for special activities (generally specific research programs) or from special funding sources, such as the lottery fund.

## DESCRIPTIVE INFORMATION

**Academic vs. Non-Academic University Personnel:** Academic personnel include academic administrators, regular teaching faculty, lecturers and other teaching faculty, student assistants, researchers, librarians, cooperative extension researchers, university extension faculty, and other academic personnel. Non-academic personnel include senior management (SMG), management and senior professionals (MSP), and professionals and support staff (PSS).

**Source:** *University of California Statistical Summary of Students and Staff*

**Budgeted Faculty FTE by Department:** Represents distribution of filled faculty positions and dollars allocated for instruction. Totals are constrained to match the level of budgeted faculty FTE allocated to UCSC by the University California Office of the President. Within this context, *Permanent faculty* represent positions filled by ladder faculty and security of employment lecturers. *Temporary faculty* represent dollars converted to FTE based on the distribution of temporary academic staffing funds and unfilled provisions.

**Source:** *Instructional Load Summary*

**Courses Taught per Permanent Faculty FTE:** Average number of 5-unit courses taught by permanent faculty for each program. Courses less than five units are counted proportionately. All courses are credited to the faculty member's affiliated board, regardless of which board sponsored the course. For example, Marine Sciences receives credit for any Biology courses taught by Marine Science faculty.

**Source:** *Course Audits*

**Declared and Proposed Majors** - Students who have declared or proposed a major in a discipline. Double and triple majors count proportional in each discipline (e.g., double majors count as 0.5 in each major).

**Source:** *Instructional Load Summary*

**Degrees Conferred-** Number of students receiving degrees in that discipline during the year (Fall, Winter, Spring, and Summer quarters). Students receiving degrees in more than one major count proportional in each (e.g., double majors count as 0.5 in each major).

**Source:** *Instructional Load Summary*



**Extramural Awards** - Contract and grant awards to faculty and student research.

**Source:** *Sponsored Projects Office Annual Reports*

**Regular Student Enrollment per Ladder Faculty FTE** – The number of students enrolled in regular courses per ladder faculty FTE. The number shown by department represents the average enrollments per faculty FTE for that department.

**Source:** *Course Audits.*

**Student Workload FTE** - Student workload FTE is calculated based on student credit hours (units) for each course, times enrollments, divided by a full time student annual courseload of 45, with the exception of advanced graduate students. Each graduate student who has advanced to candidacy is counted as an FTE (enrollments in courses is no longer a factor). Numbers include all regular course and independent study enrollments taught by permanent faculty plus all enrollments taught by temporary faculty.

**Source:** *Instructional Load Summary*



### Elena Baskin Visual Arts Studios

The Division of the Arts offers technical training, aesthetic development, and historical, theoretical, and critical studies in art, art history, dance, design/technology, drama, film/video, and music to all undergraduates as well as to undergraduate majors and graduate students.

The mission of the arts in education derives from their special modes of thinking and contributions to human experience and achievement. Often transcending verbal and mathematical conventions, the arts involve unique ways of knowing, understanding, expressing, communicating, and creating.

## Arts Division Profile

**2001-02 Enrollment:** 1,481 FTE UG majors  
29 FTE graduate majors

**2001-02 Number of Degrees Awarded:** 308 BA/BS  
11 MA/MS & Certificates  
0 PhD

**2001-02 Teaching and research staff:** 79 Budgeted Faculty FTE  
17 Budgeted Teaching Assistant FTE  
0 Postdoctoral Headcount Appt  
53 Budgeted Staff FTE

**2001-Space (in asf):**

Activity	On Campus	Off Campus	Total
Instruction & Research	128,955	200	129,155
Organized Research Units	1,058	196	1,254
Divisional Offices	4,632	0	4,632
Totals	134,645	396	135,041

**Research Awards:** \$0.26 million in C&G

## **ARTS DIVISION**

### **Degrees Conferred**

#### ***Baccalaureate Degrees***

	<b><u>1996-97</u></b>	<b><u>1997-98</u></b>	<b><u>1998-99</u></b>	<b><u>1999-00</u></b>	<b><u>2000-01</u></b>	<b><u>2001-02</u></b>
Art	103.5	93.5	85.5	91.5	78.0	95.5
Art History	33.0	25.5	28.5	31.5	36.5	30.5
Film & Digital Media	43.0	56.0	65.5	92.5	104.5	118.5
Music	27.3	24.5	12.0	27.0	28.5	29.0
Theater Arts	45.0	39.0	46.0	51.0	45.5	34.5
	<b>251.8</b>	<b>238.5</b>	<b>237.5</b>	<b>293.5</b>	<b>293.0</b>	<b>308.0</b>

#### ***Masters & Certificates***

Music	4.0	6.0	4.0	5.0	3.0	3.0
Theater Arts	3.0	6.0	9.0	7.0	8.0	8.0
	<b>7.0</b>	<b>12.0</b>	<b>13.0</b>	<b>12.0</b>	<b>11.0</b>	<b>11.0</b>

#### ***Total Degrees Conferred***

<b>258.8</b>	<b>250.5</b>	<b>250.5</b>	<b>305.5</b>	<b>304.0</b>	<b>319.0</b>
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### ***Declared and Proposed Majors Undergraduate***

	<b><u>1996-97</u></b>	<b><u>1997-98</u></b>	<b><u>1998-99</u></b>	<b><u>1999-00</u></b>	<b><u>2000-01</u></b>	<b><u>2001-02</u></b>
Art	467.7	472.9	470.0	474.1	496.7	539.6
Art History	69.7	66.7	78.8	87.0	86.6	91.2
Film & Video	136.7	240.7	300.0	260.5	25.9	0.0
Film & Digital Media	0.0	0.0	0.0	111.5	421.5	495.3
Music	112.5	111.0	118.0	142.7	141.5	152.2
Theater Arts	225.1	182.7	168.2	176.2	184.2	202.5
<b>Totals</b>	<b>1011.7</b>	<b>1074.0</b>	<b>1135.1</b>	<b>1252.0</b>	<b>1356.5</b>	<b>1480.8</b>

#### ***Graduate (declared)***

Music	11.0	11.0	12.7	12.0	15.0	18.0
Theater Arts	7.0	8.0	8.0	7.0	6.7	11.0
<b>Totals</b>	<b>18.0</b>	<b>19.0</b>	<b>20.7</b>	<b>19.0</b>	<b>21.7</b>	<b>29.0</b>

### ***Student Workload FTE\****

#### ***Division Summary***

	<u><b>1996-97</b></u>	<u><b>1997-98</b></u>	<u><b>1998-99</b></u>	<u><b>1999-00</b></u>	<u><b>2000-01</b></u>	<u><b>2001-02</b></u>
Lower Division	643.0	680.0	716.0	849.0	1049.0	
Upper Division	431.0	462.0	488.0	519.0	554.0	Data
<b>Total Undergrad</b>	<b>1074.0</b>	<b>1142.0</b>	<b>1204.0</b>	<b>1368.0</b>	<b>1603.0</b>	Not
						Available
<b>Total Graduate</b>	<b>23.0</b>	<b>20.0</b>	<b>21.0</b>	<b>20.0</b>	<b>26.0</b>	
<b>Total FTE</b>	<b>1097.0</b>	<b>1162.0</b>	<b>1225.0</b>	<b>1388.0</b>	<b>1629.0</b>	

\*Student Workload FTE is an approximation of the number of full-time students taught by the division over the course of the academic year, based on student credit hours.

### ***Budgeted Faculty FTE By Department***

	<u><b>1996-97</b></u>	<u><b>1997-98</b></u>	<u><b>1998-99</b></u>	<u><b>1999-00</b></u>	<u><b>2000-01</b></u>	<u><b>2001-02</b></u>
<b>Art</b>						
Permanent Ladder	10.0	9.0	9.0	8.0	8.0	9.0
Open Provision/Temp Acad Prov	4.1	4.7	5.3	6.8	7.9	8.1
<b>Subtotal</b>	<b>14.1</b>	<b>13.7</b>	<b>14.3</b>	<b>14.8</b>	<b>15.9</b>	<b>17.1</b>
<b>Art History</b>						
Permanent Ladder	6.0	7.0	7.0	7.0	7.0	8.0
Open Provision/Temp Acad Prov	1.8	0.3	0.6	1.5	3.8	4.0
<b>Subtotal</b>	<b>7.8</b>	<b>7.3</b>	<b>7.6</b>	<b>8.5</b>	<b>10.8</b>	<b>12.0</b>
<b>Film &amp; Digital Media</b>						
Permanent Ladder	0.0	5.0	5.0	7.0	7.0	9.0
Open Provision/Temp Acad Prov	0.0	0.6	1.0	1.4	2.6	2.8
<b>Subtotal</b>	<b>0.0</b>	<b>5.6</b>	<b>6.0</b>	<b>8.4</b>	<b>9.6</b>	<b>11.8</b>
<b>Music</b>						
Permanent Ladder	11.0	11.0	11.0	11.0	12.0	13.0
Open Provision/Temp Acad Prov	6.5	5.8	6.3	7.2	8.4	8.8
<b>Subtotal</b>	<b>17.5</b>	<b>16.8</b>	<b>17.3</b>	<b>18.2</b>	<b>20.4</b>	<b>21.8</b>
<b>Theater Arts</b>						
Permanent Ladder	13.5	7.5	7.5	7.5	7.5	10.0
Open Provision/Temp Acad Prov	4.3	3.9	4.6	5.1	6.1	6.3
<b>Subtotal</b>	<b>17.8</b>	<b>11.4</b>	<b>12.1</b>	<b>12.6</b>	<b>13.6</b>	<b>16.3</b>
<b>ARTS TOTALS</b>	<b>57.2</b>	<b>54.8</b>	<b>57.3</b>	<b>62.5</b>	<b>70.3</b>	<b>79.0</b>

***Regular Student Enrollment per Ladder Faculty FTE***

	<u>1996-97</u>	<u>1997-98</u>	<u>1998-99</u>	<u>1999-00</u>	<u>2000-01</u>	<u>2001-02</u>
Art	103.3	135.9	90.2	97.4	84.3	
Art History	185.2	200.8	222.5	257.3	175.7	Data
Film & Digital Media	0.0	128.3	154.4	187.0	143.1	Not
Music	150.2	115.1	145.6	170.2	155.1	Available
Theater Arts	135.7	161.1	141.8	241.7	244.9	
<b>Weighted Average</b>	<b>138.3</b>	<b>143.9</b>	<b>147.2</b>	<b>181</b>	<b>159</b>	

***Courses Taught per Faculty***

	<u>1996-97</u>	<u>1997-98</u>	<u>1998-99</u>	<u>1999-00</u>	<u>2000-01</u>	<u>2001-02</u>
Art	5.0	4.9	4.6	4.9	4.4	
Art History	3.9	3.9	4.0	3.9	3.2	Data
Film & Digital Media	0.0	4.1	4.7	4.0	4.0	Not
Music	4.6	4.2	4.5	4.7	4.4	Available
Theater Arts	4.4	5.4	4.0	4.6	4.3	
<b>Weighted Average</b>	<b>4.5</b>	<b>4.5</b>	<b>4.3</b>	<b>4.5</b>	<b>4.1</b>	

Source: Course Audits Publication (2000-01)

***Extramural Awards***

***Contracts and Grants***

	<u>1996-97</u>	<u>1997-98</u>	<u>1998-99</u>	<u>1999-00</u>	<u>2000-01</u>	<u>2001-02</u>
# of Proposals			1.0	13.0	6.0	7.0
Awards	\$0	\$6,283	\$11,114	\$411,194	\$42,398	\$259,486

## 2002-2003 Permanent Budget Summary by Major Fund Source

### Arts Division

	Academic Salaries		Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	TOTAL
	<i>Amount</i>	<i>FTE</i>	<i>Amount</i>	<i>FTE</i>				
<b>2002-2003 Permanent Budget</b>								
General Funds	5,972,874	111.14	2,328,334	52.86	14,743	910,860	44,055	9,270,866
Special State Approp	0		0		0	100,300	25,000	125,300
Other Fees	0		0		0	60,000	0	60,000
UOF/OTT	0		0		0	0	0	0
Gifts & Endowments	0		0		0	35,074	0	35,074
Self Supporting	0		0		0	28,000	0	28,000
<b>TOTAL</b>	<b>5,972,874</b>	<b>111.14</b>	<b>2,328,334</b>	<b>52.86</b>	<b>14,743</b>	<b>1,134,234</b>	<b>69,055</b>	<b>9,519,240</b>

NOTE: Budget figures for academic divisions include Supplemental Teaching Assistant allocations.

## 2002-2003 Permanent Budget Summary by Major Unit

### Arts Division

	Academic Salaries		Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	TOTAL
	<i>Amount</i>	<i>FTE</i>	<i>Amount</i>	<i>FTE</i>				
<b>2002-2003</b>								
<b>Permanent Budget</b>								
Arts Administration	143,900	1.00	425,923	10.18	0	542,452	69,055	1,181,330
Arts Academic Support Services	0		531,648	9.35	2,212	205,663	0	739,523
Arts Instruction	1,574,030	36.00	0		8,300	42,097	0	1,624,427
Art Department	754,800	12.00	325,832	7.59	1,301	33,015	0	1,114,948
Arts Film Video	639,550	10.00	295,820	7.01	1,998	33,300	0	970,668
Sesnon Gallery	0		50,161	1.32	(1,068)	32,803	0	81,896
Art History	669,850	10.00	59,015	1.69	0	11,595	0	740,460
Music	1,060,948	13.51	308,549	7.01	0	100,519	0	1,470,016
Theater Arts	778,795	10.00	331,386	8.71	2,000	90,714	0	1,202,895
Arts Research	0		0		0	42,076	0	42,076
Supplemental Teaching Assistants	351,001	18.63	0		0	0	0	351,001
<b>TOTAL</b>	<b>5,972,874</b>	<b>111.14</b>	<b>2,328,334</b>	<b>52.86</b>	<b>14,743</b>	<b>1,134,234</b>	<b>69,055</b>	<b>9,519,240</b>

NOTE: Budget figures for academic divisions include Supplemental Teaching Assistant allocations.

## UC SANTA CRUZ CAMPUS DIVISIONAL BUDGET SUMMARY

### Arts Division

	1999 - 2000			2000 - 2001			2001 - 2002			2002 - 2003		
	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE
Arts Administration	845,040	1.00	12.20	1,223,898	1.00	12.39	1,188,580	1.00	14.11	1,181,330	1.00	10.18
Arts Academic Support Services	389,550	--	5.83	482,255	--	5.83	432,414	--	5.09	739,523	--	9.35
Arts Instruction	454,075	14.50	--	469,960	14.50	--	565,432	17.00	--	1,624,427	36.00	--
Art Department	1,013,422	12.00	7.01	1,066,397	12.00	7.01	1,084,843	12.00	7.60	1,114,948	12.00	7.59
Arts Film Video	611,570	6.00	4.84	731,637	7.00	4.84	913,316	9.00	6.35	970,668	10.00	7.01
Sesnon Gallery	49,549	--	1.32	73,141	--	1.32	78,450	--	1.32	81,896	--	1.32
Art History	564,384	8.00	1.42	589,572	8.00	1.69	673,704	9.00	1.69	740,460	10.00	1.69
Music	1,206,972	12.01	6.15	1,265,162	12.01	6.40	1,385,621	13.01	7.06	1,470,016	13.51	7.01
Theater Arts	964,186	9.50	6.32	1,022,794	9.50	6.32	1,154,878	10.00	8.64	1,202,895	10.00	8.71
Arts Research	49,653	--	--	49,653	--	--	45,061	--	--	42,076	--	--
Shakespeare Santa Cruz	0	--	--	0	--	--	40,000	--	--	0	--	--
Supplemental Teaching Assistants	201,235	11.33	--	291,472	16.10	--	331,482	17.68	--	351,001	18.63	--
Temporary Academic Staffing	578,342	11.79	--	904,842	17.78	--	1,040,842	20.16	--	0	--	--
<b>DIVISIONAL TOTALS</b>	<b>6,927,978</b>	<b>86.13</b>	<b>45.09</b>	<b>8,170,783</b>	<b>97.89</b>	<b>45.80</b>	<b>8,934,623</b>	<b>108.85</b>	<b>51.86</b>	<b>9,519,240</b>	<b>111.14</b>	<b>52.86</b>

NOTE: Figures include budgeted funds from the following sources: General & Special State Appropriations, Student Fees, Endowment Income, UOF/OTT, and Recharge & Auxiliary Income. The budget figures do not include funds from extramural sources (e.g. gifts, grants and contracts), and one-time funding sources. Beginning in 2003, Temporary Academic Staffing allocations are included in general divisional accounts.





Fundamental skills in the Humanities at UCSC emphasize close reading, critical thinking, and clear and effective writing. Nationally recognized programs include literature, languages, history, philosophy, women's studies, history of consciousness, and linguistics.

## Humanities Division Profile

**2001-02 Enrollment:** 1,546 FTE UG majors  
173 FTE graduate majors

**2001-02 Number of Degrees Awarded:** 500 BA/BS  
16 MA/MS & Certificates  
15 PhD

**2001-02 Teaching and research staff:** 154 Budgeted Faculty FTE  
47 Budgeted Teaching Assistant FTE  
14 Postdoctoral Headcount Appt  
51 Budgeted Staff FTE

### 2001-Space (in asf):

Activity	On Campus	Off Campus	Total
Instruction & Research	43,400	0	43,400
Organized Research Units	677	0	677
Divisional Offices	6,844	0	6,844
Totals	50,921	0	50,921

**Research Awards:** \$0.88 million in C&G

## **HUMANITIES DIVISION**

### ***Degrees Conferred***

<b><i>Baccalaureate Degrees</i></b>	<b><u>1996-97</u></b>	<b><u>1997-98</u></b>	<b><u>1998-99</u></b>	<b><u>1999-00</u></b>	<b><u>2000-01</u></b>	<b><u>2001-02</u></b>
American Studies	75.0	72.5	78.5	58.5	53.5	55.0
Classical Studies	0.0	0.0	0.0	1.0	6.0	2.5
German Studies	0.0	0.0	0.0	0.5	3.0	1.5
History	123.3	132.7	110.5	112.0	100.5	100.5
Italian Studies	0.0	0.0	0.0	0.0	0.0	1.5
Language Studies	25.5	25.5	29.5	35.0	34.5	28.0
Linguistics	14.5	14.0	9.0	22.5	15.0	16.0
Literature	211.5	201.0	196.5	183.0	216.5	210.5
Philosophy	42.0	40.8	44.5	41.5	39.0	43.5
Women's Studies	40.5	44.5	56.0	49.5	49.5	40.5
<b>Sub Total</b>	<b>532.3</b>	<b>531.0</b>	<b>524.5</b>	<b>503.5</b>	<b>517.5</b>	<b>499.5</b>
<b><i>Masters &amp; Certificates</i></b>	<b><u>1996-97</u></b>	<b><u>1997-98</u></b>	<b><u>1998-99</u></b>	<b><u>1999-00</u></b>	<b><u>2000-01</u></b>	<b><u>2001-02</u></b>
History	8.0	8.0	5.0	5.0	8.0	4.0
History of Consciousness	3.0	6.0	2.0	0.0	2.0	2.0
Linguistics	5.0	2.0	4.0	8.0	6.0	2.0
Literature	5.0	9.0	8.0	3.0	3.0	8.0
<b>Sub Total</b>	<b>21.0</b>	<b>25.0</b>	<b>19.0</b>	<b>16.0</b>	<b>19.0</b>	<b>16.0</b>
<b><i>Doctorates</i></b>	<b><u>1996-97</u></b>	<b><u>1997-98</u></b>	<b><u>1998-99</u></b>	<b><u>1999-00</u></b>	<b><u>2000-01</u></b>	<b><u>2001-02</u></b>
History	5.0	2.0	3.0	3.0	1.0	3.0
History of Consciousness	6.0	2.0	4.0	13.0	8.0	3.0
Linguistics	3.0	2.0	1.0	1.0	2.0	2.0
Literature	9.0	11.0	6.0	10.0	5.0	7.0
<b>Sub Total</b>	<b>23.0</b>	<b>17.0</b>	<b>14.0</b>	<b>27.0</b>	<b>16.0</b>	<b>15.0</b>
<b><i>Total Degrees Conferred</i></b>	<b>576.3</b>	<b>573.0</b>	<b>557.5</b>	<b>546.5</b>	<b>552.5</b>	<b>530.5</b>

### ***Declared and Proposed Majors***

<b><i>Undergraduate</i></b>	<b><u>1996-97</u></b>	<b><u>1997-98</u></b>	<b><u>1998-99</u></b>	<b><u>1999-00</u></b>	<b><u>2000-01</u></b>	<b><u>2001-02</u></b>
American Studies	144	150.3	134.7	104.2	109.5	121.0
History	285.2	334.6	295.0	292.0	288.7	308.3
Classical Studies	0.0	0.0	0.0	0.7	5.8	6.3
German Studies	0.0	0.0	1.8	4.5	3.9	7.0
Italian Studies	0.0	0.0	0.0	0.0	1.8	6.2
Language Studies	102.9	115.7	133.5	130.2	123.6	126.5
Linguistics	38.8	42.5	46.2	46.8	46.0	52.0
Literature	634.1	644.5	641.3	648.7	688.2	689.5
Philosophy	131.7	133.0	140.6	140.0	128.2	121.5
Women's Studies	104.7	123.3	120.7	105.0	104.9	107.3
<b>Totals</b>	<b>1,441.4</b>	<b>1,543.9</b>	<b>1,513.7</b>	<b>1,472.0</b>	<b>1,500.6</b>	<b>1,545.6</b>

<b><i>Graduate</i></b>						
History	32.7	34.0	28.0	29.7	31.3	30.7
History of Consciousness	51.0	52.0	48.7	53.3	54.7	50.3
Linguistics	17.0	15.7	21.3	24.3	24.3	24.7
Literature	67.3	67.0	58.7	57.7	50.7	61.3
Philosophy	0.0	0.0	0.0	0.0	0.0	5.7
<b>Totals</b>	<b>168.0</b>	<b>168.7</b>	<b>156.7</b>	<b>165.0</b>	<b>161.0</b>	<b>172.7</b>

### ***Student Workload FTE\****

#### ***Division Summary***

	<b><u>1996-97</u></b>	<b><u>1997-98</u></b>	<b><u>1998-99</u></b>	<b><u>1999-00</u></b>	<b><u>2000-01</u></b>	<b><u>2001-02</u></b>
Lower Division	1280.0	1237.0	1343.0	1309.0	1408.0	
Upper Division	854.0	918.0	881.0	815.0	805.0	Data
<b>Total Undergrad</b>	<b>2134.0</b>	<b>2155.0</b>	<b>2224.0</b>	<b>2124.0</b>	<b>2213.0</b>	Not
<b>Total Graduate</b>	<b>154.0</b>	<b>147.0</b>	<b>137.0</b>	<b>146.0</b>	<b>118.0</b>	Available
<b>Total FTE</b>	<b>2288.0</b>	<b>2302.0</b>	<b>2361.0</b>	<b>2270.0</b>	<b>2331.0</b>	

\*Student Workload FTE is an approximation of the number of full-time students taught by the division over the course of the academic year, based on student credit hours.

***Budgeted Faculty FTE by Department***

	<u>1996-97</u>	<u>1997-98</u>	<u>1998-99</u>	<u>1999-00</u>	<u>2000-01</u>	<u>2001-02</u>
<b>American Studies</b>						
Permanent Ladder	7.0	8.0	7.0	7.0	7.0	7.6
Open Provision/Temp Acad Prov	1.3	0.6	1.3	1.7	2.3	1.7
<b>Subtotal</b>	<b>8.3</b>	<b>8.6</b>	<b>8.3</b>	<b>8.7</b>	<b>9.3</b>	<b>9.3</b>
<b>History/German Studies/Classical Studies</b>						
Permanent Ladder	21.0	20.0	20.0	20.0	21.0	20.5
Open Provision/Temp Acad Prov	-1.2	-1.2	0.7	1.6	1.4	4.5
<b>Subtotal</b>	<b>19.8</b>	<b>18.8</b>	<b>20.7</b>	<b>21.6</b>	<b>22.4</b>	<b>25.0</b>
<b>History of Consciousness</b>						
Permanent Ladder	8.0	8.0	8.0	8.0	8.0	7.0
Open Provision/Temp Acad Prov	-0.4	-1.0	0.8	0.3	0.3	0.9
<b>Subtotal</b>	<b>7.6</b>	<b>7.0</b>	<b>8.8</b>	<b>8.3</b>	<b>8.3</b>	<b>7.9</b>
<b>Languages</b>						
Permanent Ladder	3.0	3.0	3.0	3.0	3.0	3.0
Open Provision/Temp Acad Prov	21.6	20.8	19.6	18.3	18.4	21.7
<b>Subtotal</b>	<b>24.6</b>	<b>23.8</b>	<b>22.6</b>	<b>21.3</b>	<b>21.4</b>	<b>24.7</b>
<b>Linguistics</b>						
Permanent Ladder	9.0	8.5	8.5	8.5	9.5	9.5
Open Provision/Temp Acad Prov	0.0	-0.8	0.8	1.3	1.1	1.0
<b>Subtotal</b>	<b>9.0</b>	<b>7.7</b>	<b>9.3</b>	<b>9.8</b>	<b>10.6</b>	<b>10.5</b>
<b>Literature/Italian Studies</b>						
Permanent Ladder	31.5	34.5	35.5	36.5	37.5	35.0
Open Provision/Temp Acad Prov	2.5	2.4	2.5	4.0	5.1	8.3
<b>Subtotal</b>	<b>34.0</b>	<b>36.9</b>	<b>38.0</b>	<b>40.5</b>	<b>42.6</b>	<b>43.3</b>
<b>Philosophy</b>						
Permanent Ladder	9.0	9.0	10.0	9.0	9.0	10.0
Open Provision/Temp Acad Prov	0.4	-0.2	0.8	1.2	0.8	0.7
<b>Subtotal</b>	<b>9.4</b>	<b>8.8</b>	<b>10.8</b>	<b>10.2</b>	<b>9.8</b>	<b>10.7</b>
<b>Women's Studies</b>						
Permanent Ladder	5.0	5.0	5.0	5.0	5.0	5.0
Open Provision/Temp Acad Prov	0.6	0.3	1.1	1.2	0.8	0.3
<b>Subtotal</b>	<b>5.6</b>	<b>5.3</b>	<b>6.1</b>	<b>6.2</b>	<b>5.8</b>	<b>5.3</b>
<b>Writing</b>						
Permanent Ladder	1.5	2.0	2.0	2.0	4.0	4.0
Open Provision/Temp Acad Prov	14.0	13.9	12.6	13.4	13.3	12.8
<b>Subtotal</b>	<b>15.5</b>	<b>15.9</b>	<b>14.6</b>	<b>15.4</b>	<b>17.3</b>	<b>16.8</b>
<b>HUMANITIES TOTALS</b>	<b>133.8</b>	<b>132.8</b>	<b>139.2</b>	<b>142.0</b>	<b>147.5</b>	<b>153.6</b>

### ***Regular Enrollment per Faculty FTE***

	<u>1996-97</u>	<u>1997-98</u>	<u>1998-99</u>	<u>1999-00</u>	<u>2000-01</u>	<u>2001-02</u>
American Studies	215.4	169.2	196.1	124.3	119.6	
History	165.5	150.7	152.0	148.5	125.6	
History of Consciousness	77.8	51.7	78.3	94.4	65.7	Data
Languages	106.3	109.6	93.8	65.1	96.9	Not
Linguistics	87.1	95.8	131.8	99.7	116.1	Available
Literature	113.8	110.1	119.2	125.1	124.1	
Philosophy	129.2	152.3	178.3	184.5	213.3	
Women Studies	223.5	255.8	408.0	230.8	189.1	
Writing	64.5	48.2	61.9	81.1	84.7	
<b>Weighted Average Totals</b>	<b>130.6</b>	<b>126.6</b>	<b>141.0</b>	<b>132.8</b>	<b>128.5</b>	

### ***Courses Taught per Faculty***

	<u>1996-97</u>	<u>1997-98</u>	<u>1998-99</u>	<u>1999-00</u>	<u>2000-01</u>	<u>2001-02</u>
American Studies	4.1	3.5	4.3	3.1	2.6	
History	4.2	4.5	4.1	4.2	3.5	
History of Consciousness	3.6	3.4	3.9	3.5	3.8	Data
Languages	6.2	6.9	5.2	4.4	5.5	Not
Linguistics	4.0	4.2	4.8	4.1	4.5	Available
Literature	3.8	3.8	3.9	4.0	3.7	
Philosophy	3.7	3.9	3.9	3.6	3.7	
Women Studies	3.2	3.5	5.2	3.4	3.4	
Writing	3.6	2.7	3.1	3.9	3.2	
<b>Weighted Average Totals</b>	<b>4.0</b>	<b>4.0</b>	<b>4.1</b>	<b>3.9</b>	<b>3.7</b>	

Source: Course Audits Publication (2000-01)

### ***Extramural Awards***

#### ***Contracts & Grants***

	<u>1996-97</u>	<u>1997-98</u>	<u>1998-99</u>	<u>1999-00</u>	<u>2000-01</u>	<u>2001-02</u>
# of Proposals			40.0	14.0	23.0	29.0
Awards		\$328,965	\$353,854	\$227,708	\$409,765	\$877,276

## 2002-2003 Permanent Budget Summary by Major Fund Source

### Humanities Division

	Academic Salaries		Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	Retirement & Employee Benefits	TOTAL
	<i>Amount</i>	<i>FTE</i>	<i>Amount</i>	<i>FTE</i>					
<b>2002-2003</b>									
<b>Permanent Budget</b>									
General Funds	12,250,127	200.39	2,125,474	50.71	0	743,843	(38,932)	(5,400)	15,075,112
Special State Approp	0		0		0	123,700	0	0	123,700
UOF/OTT	0		0		0	934	0	0	934
Gifts & Endowments	0		0		0	2,618	0	0	2,618
<b>TOTAL</b>	<b>12,250,127</b>	<b>200.39</b>	<b>2,125,474</b>	<b>50.71</b>	<b>0</b>	<b>871,095</b>	<b>(38,932)</b>	<b>(5,400)</b>	<b>15,202,364</b>

NOTE: Budget figures for academic divisions include Supplemental Teaching Assistant allocations.

## 2002-2003 Permanent Budget Summary by Major Unit

### Humanities Division

	Academic Salaries		Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	Retirement & Employee Benefits	TOTAL
	<i>Amount</i>	<i>FTE</i>	<i>Amount</i>	<i>FTE</i>					
<b>2002-2003</b>									
<b>Permanent Budget</b>									
Humanities Administration	4,033,285	90.50	1,273,343	28.04	0	620,667	(38,932)	(5,400)	5,882,963
American Studies	566,854	7.60	45,668	1.42	0	11,345	0	0	623,867
History	1,574,832	20.00	102,605	2.66	0	21,110	0	0	1,698,547
History of Consciousness	747,374	7.00	73,731	1.92	0	9,928	0	0	831,033
Languages	213,794	3.00	54,471	1.38	0	20,440	0	0	288,705
Linguistics	860,524	9.50	67,169	1.87	0	8,397	0	0	936,090
Literature	2,680,499	34.00	242,258	6.14	0	37,197	0	0	2,959,954
Philosophy	678,374	9.00	52,709	1.42	0	10,560	0	0	741,643
Women's Studies	409,074	6.00	53,005	1.42	0	7,969	0	0	470,048
Writing	300,986	4.00	65,100	1.63	0	16,835	0	0	382,921
Central Calif Writing Proj (CCWP)	0		0		0	5,000	0	0	5,000
Humanities Research and Instruction	0		95,415	2.81	0	100,812	0	0	196,227
DP Dicken's Project	0		0		0	835	0	0	835
Supplemental Teaching Assistants	184,531	9.79	0		0	0	0	0	184,531
<b>TOTAL</b>	<b>12,250,127</b>	<b>200.39</b>	<b>2,125,474</b>	<b>50.71</b>	<b>0</b>	<b>871,095</b>	<b>(38,932)</b>	<b>(5,400)</b>	<b>15,202,364</b>

NOTE: Budget figures for academic divisions include Supplemental Teaching Assistant allocations.

## UC SANTA CRUZ CAMPUS DIVISIONAL BUDGET SUMMARY

### Humanities Division

	1999 - 2000			2000 - 2001			2001 - 2002			2002 - 2003		
	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE
Humanities Administration	2,962,324	52.50	25.09	3,343,421	52.50	27.44	3,766,435	57.50	28.54	5,882,963	90.50	28.04
American Studies	589,450	7.00	1.42	548,374	7.00	1.42	628,295	8.00	1.42	623,867	7.60	1.42
History	1,541,743	20.00	2.66	1,690,227	21.00	2.66	1,650,501	19.50	2.66	1,698,547	20.00	2.66
History of Consciousness	796,599	8.00	1.42	830,382	8.00	1.42	781,990	7.00	1.42	831,033	7.00	1.92
Languages	268,128	3.00	1.42	281,346	3.00	1.42	291,773	3.00	1.38	288,705	3.00	1.38
Linguistics	711,210	8.50	1.65	856,416	9.50	1.65	907,292	9.50	1.87	936,090	9.50	1.87
Literature	2,837,955	36.50	6.14	2,950,528	36.50	6.14	2,985,017	35.00	6.14	2,959,954	34.00	6.14
Philosophy	671,815	9.00	1.42	703,747	9.00	1.42	781,294	10.00	1.42	741,643	9.00	1.42
Women's Studies	458,636	5.00	1.42	326,923	4.00	1.42	392,753	5.00	1.42	470,048	6.00	1.42
Writing	206,578	2.00	1.63	274,336	3.00	1.83	362,739	4.00	1.63	382,921	4.00	1.63
Central Calif Writing Proj (CCWP)	10,074	--	--	10,000	--	--	10,000	--	--	5,000	--	--
Humanities Research and Instruction	0	--	--	0	--	--	175,600	--	--	196,227	--	2.81
DP Dicken's Project	466	--	--	694	--	--	790	--	--	835	--	--
Supplemental Teaching Assistants	189,314	10.44	--	179,868	9.98	--	174,152	9.34	--	184,531	9.79	--
Temporary Academic Staffing	1,654,888	33.74	--	1,715,594	33.71	--	1,785,225	34.58	--	0	--	--
<b>DIVISIONAL TOTALS</b>	<b>12,899,180</b>	<b>195.68</b>	<b>44.27</b>	<b>13,711,856</b>	<b>197.19</b>	<b>46.82</b>	<b>14,693,856</b>	<b>202.42</b>	<b>47.90</b>	<b>15,202,364</b>	<b>200.39</b>	<b>50.71</b>

NOTE: Figures include budgeted funds from the following sources: General & Special State Appropriations, Student Fees, Endowment Income, UOF/OTT, and Recharge & Auxiliary Income. The budget figures do not include funds from extramural sources (e.g. gifts, grants and contracts), and one-time funding sources. Beginning in 2003, Temporary Academic Staffing allocations are included in general divisional accounts.





**Earth and Marine Science Building**

The key research objectives for physical and biological sciences at UC Santa Cruz are to: 1) build a set of focused programs in biomedical research and education, 2) link global and regional environmental processes, and 3) develop and apply new technologies to fuel scientific advancement. The key instructional objectives are to: 1) sustain current and develop new instructional programs to train leaders and innovators in our technological society, 2) continue supporting co-curricular activities, and 3) increase our enrollments through a variety of approaches.

## Division of Physical and Biological Sciences Profile

**2001-02 Enrollment:** 1,752 FTE UG majors  
367 FTE graduate majors

**2001-02 Number of Degrees Awarded:** 432 BA/BS  
38 MA/MS & Certificates  
48 PhD

**2001-02 Teaching and research staff:** 158 Budgeted Faculty FTE  
76 Budgeted Teaching Assistant FTE)  
195 Postdoctoral Headcount Appt)  
159 Budgeted Staff FTE

### 2001-Space (in asf):

Activity	On Campus	Off Campus	Total
Instruction & Research	253,205	7,372	260,577
Organized Research Units	59,958	159,072	219,030
Divisional Offices	17,656	0	17,656
Totals	330,819	166,444	497,263

**Research Awards:** \$38.2 million in C&G

## DIVISION OF PHYSICAL AND BIOLOGICAL SCIENCES

### ***Degrees Conferred***

#### ***Baccalaureate Degrees***

	<u>1996-97</u>	<u>1997-98</u>	<u>1998-99</u>	<u>1999-00</u>	<u>2000-01</u>	<u>2001-02</u>
Biochemistry	22.0	18.0	29.0	36.0	34.0	24.0
Biology	194.5	147.0	141.5	123.5	95.0	77.5
Chemistry	18.5	20.0	23.0	16.0	35.5	31.0
Earth Sciences	32.0	28.5	32.0	30.3	30.0	34.0
Ecology & Evolution	0.0	0.0	0.0	0.0	3.0	14.5
Marine Biology	53.5	48.5	59.0	33.0	71.0	48.5
Mathematics	37.3	29.0	40.5	38.3	33.0	49.0
Molecular, Cellular & Dev Bio	92.5	106.5	99.5	105.0	105.5	110.5
Neuroscience & Behavior	0.0	0.0	0.0	0.0	0.0	1.5
Physics	14.0	17.0	16.0	16.0	23.5	26.0
Psychobiology	20.0	20.0	15.0	7.0	16.5	15.0
<b>Totals</b>	<b>484.3</b>	<b>434.5</b>	<b>455.5</b>	<b>405.1</b>	<b>447.0</b>	<b>431.5</b>

#### ***Masters & Certificates***

Astronomy & Astrophysics	7.0	2.0	1.0	7.0	4.0	0.0
Biology	4.0	6.0	12.0	12.0	5.0	2.0
Chemistry	4.0	4.0	3.0	5.0	5.0	3.0
Earth Sciences	12.0	13.0	5.0	11.0	11.0	2.0
Environmental Toxicology	0.0	0.0	0.0	0.0	0.0	2.0
Marine Sciences	14.0	8.0	11.0	8.0	3.0	4.0
Mol, Cell, Dev. Biology	0.0	0.0	0.0	0.0	1.0	0.0
Mathematics	4.0	5.0	8.0	9.0	9.0	6.0
Physics	11.0	12.0	10.0	9.0	10.0	5.0
Science Communication	10.0	23.0	16.0	35.0	17.0	14.0
<b>Totals</b>	<b>66.0</b>	<b>73.0</b>	<b>66.0</b>	<b>96.0</b>	<b>65.0</b>	<b>38.0</b>

#### ***Doctorates***

Astronomy & Astrophysics	6.0	5.0	3.0	5.0	4.0	3.0
Biology	8.0	14.0	15.0	12.0	14.0	9.0
Chemistry	12.0	13.0	9.0	6.0	8.0	13.0
Earth Sciences	3.0	9.0	7.0	7.0	10.0	11.0
Mathematics	0.0	2.0	8.0	3.0	2.0	3.0
Marine Biology/Ocean Sciences	0.0	0.0	0.0	0.0	0.0	3.0
Physics	8.0	6.0	11.0	4.0	11.0	6.0
<b>Totals</b>	<b>37.0</b>	<b>49.0</b>	<b>53.0</b>	<b>37.0</b>	<b>49.0</b>	<b>48.0</b>

#### ***Total Degrees Conferred***

<b>587.3</b>	<b>556.5</b>	<b>574.5</b>	<b>538.1</b>	<b>561.0</b>	<b>517.5</b>
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# **Declared and Proposed Majors**

## **Undergraduate**

	<u>1996-97</u>	<u>1997-98</u>	<u>1998-99</u>	<u>1999-00</u>	<u>2000-01</u>	<u>2001-02</u>
Astronomy	0.0	0.0	0.0	0.0	0.0	0.7
Astrophysics	0.0	0.0	0.0	0.0	0.0	4.8
Biochemistry	159.2	185.0	181.8	166.8	151.3	149.2
Biology	757.0	674.3	618.7	620.6	666.3	625.8
Ecology & Evolution	0.0	0.0	0.0	0.0	5.2	19.3
Marine Biology	322.7	293.3	264.7	218.0	198.2	254.3
Molecular, Cellular & Dev Bio	245.5	252.0	218.7	175.2	126.8	124.2
Psychobiology	87.0	64.3	51.2	44.3	54.8	38.8
Chemistry	103.8	108.5	101.8	107.2	122.6	111.5
Earth Sciences	85.7	91.0	93.7	93.3	100.0	114.7
Mathematics	108.8	117.0	122.8	122.5	132.5	166.8
Neuroscience	0.0	0.0	0.0	0.0	0.0	2.3
Physics	82.3	87.0	86.3	122.7	139.2	138.0
Plant Science	0.0	0.0	0.0	0.0	0.0	1.5

	<b>1,952.0</b>	<b>1,872.4</b>	<b>1,739.7</b>	<b>1,670.6</b>	<b>1,697.0</b>	<b>1,751.9</b>
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## **Graduate**

Astronomy & Astrophysics	25.0	26.3	31.0	28.7	23.0	22.0
Biology	82.3	91.7	83.3	87.3	89.0	87.7
Chemistry	73.3	65.0	72.0	72.7	72.7	72.7
Earth Sciences	58.7	62.7	54.3	57.7	51.3	47.0
Environmental Toxicology	0.0	0.0	0.0	0.0	3.0	6.7
Mathematics	40.7	34.3	42.3	43.7	42.0	35.7
Ocean Science	28.0	18.3	21.3	23.0	20.3	28.7
Physics	48.0	43.3	39.3	41.3	46.3	46.7
Science Communications	20.0	19.3	19.7	20.0	20.0	20.0

	<b>376.0</b>	<b>360.9</b>	<b>363.2</b>	<b>374.4</b>	<b>367.6</b>	<b>367.2</b>
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## **Totals**

## **Student Workload FTE\***

### **Division Summary**

Lower Division	1,385	1,490	1,552	1,560	1,731	Data
Upper Division	778	731	657	636	644	Not
<b>Total Undergrad</b>	2,163	2,221	2,209	2,196	2,375	Available
<b>Total Graduate</b>	405	386	402	423	429	

## **Total FTE\***

	<b>2,568</b>	<b>2,607</b>	<b>2,611</b>	<b>2,619</b>	<b>2,804</b>	<b>-</b>
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\*Student Workload FTE is an approximation of the number of full-time students taught by the division over the course of the academic year, based on student credit hours.

<b>Budgeted Faculty FTE By Department</b>		<b><u>1996-97</u></b>	<b><u>1997-98</u></b>	<b><u>1998-99</u></b>	<b><u>1999-00</u></b>	<b><u>2000-01</u></b>	<b><u>2001-02</u></b>
<b>Astronomy and Astrophysics</b>							
Permanent Ladder		9.3	8.8	8.8	8.8	10.4	10.6
Open Provision/Temp Acad Prov		(0.2)	(0.3)	0.3	0.4	0.4	0.5
<b>Subtotal</b>		<b>9.1</b>	<b>8.5</b>	<b>9.1</b>	<b>9.2</b>	<b>10.8</b>	<b>11.1</b>
<b>Biology*</b>							
Permanent Ladder		32.0	35.0	37.5	37.0	34.0	33.0
Open Provision/Temp Acad Prov		5.2	3.8	2.2	3.5	4.4	4.4
<b>Subtotal</b>		<b>37.2</b>	<b>38.8</b>	<b>39.7</b>	<b>40.5</b>	<b>38.4</b>	<b>37.4</b>
<b>Chemistry &amp; Biochemistry</b>							
Permanent Ladder		21.0	20.0	21.0	20.0	20.0	21.0
Open Provision/Temp Acad Prov		0.4	0.0	0.7	1.7	2.3	2.6
<b>Subtotal</b>		<b>21.4</b>	<b>20.0</b>	<b>21.7</b>	<b>21.7</b>	<b>22.3</b>	<b>23.6</b>
<b>Earth Sciences</b>							
Permanent Ladder		17.0	16.8	17.8	17.8	18.5	19.5
Open Provision/Temp Acad Prov		0.2	(0.4)	0.8	1.5	1.4	1.6
<b>Subtotal</b>		<b>17.2</b>	<b>16.4</b>	<b>18.6</b>	<b>19.3</b>	<b>19.9</b>	<b>21.1</b>
<b>Environmental Toxicology</b>							
Permanent Ladder		0.0	0.0	0.0	0.0	4.0	5.0
Open Provision/Temp Acad Prov		0.0	0.0	0.0	0.0	1.4	0.2
<b>Subtotal</b>		<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>5.4</b>	<b>5.2</b>
<b>Mathematics</b>							
Permanent Ladder		16.0	15.0	15.0	16.0	16.0	15.0
Open Provision/Temp Acad Prov		12.1	11.7	8.9	10.2	9.8	10.5
<b>Subtotal</b>		<b>28.1</b>	<b>26.7</b>	<b>23.9</b>	<b>26.2</b>	<b>25.8</b>	<b>25.5</b>
<b>Ocean Sciences</b>							
Permanent Ladder		6.0	6.0	6.0	6.0	7.0	8.0
Open Provision/Temp Acad Prov		0.3	(0.2)	0.0	0.3	0.4	0.4
<b>Subtotal</b>		<b>6.3</b>	<b>5.8</b>	<b>6.0</b>	<b>6.3</b>	<b>7.4</b>	<b>8.4</b>
<b>Physics</b>							
Permanent Ladder		17.8	17.3	17.3	17.8	17.3	17.3
Open Provision/Temp Acad Prov		(0.9)	(0.4)	(0.3)	0.3	1.4	2.2
<b>Subtotal</b>		<b>16.9</b>	<b>16.9</b>	<b>17.0</b>	<b>18.1</b>	<b>18.7</b>	<b>19.5</b>
<b>Science Communication</b>							
Permanent Ladder		1.0	1.0	1.0	1.0	1.0	1.0
Open Provision/Temp Acad Prov		3.2	3.2	2.0	1.8	1.7	2.7
<b>Subtotal</b>		<b>4.2</b>	<b>4.2</b>	<b>3.0</b>	<b>2.8</b>	<b>2.7</b>	<b>3.7</b>
<b>Natural Sciences General</b>							
Permanent Ladder		2.0	2.0	2.0	2.0	2.0	2.0
Open Provision/Temp Acad Prov		(0.3)	0.1	(0.1)	0.0	0.2	0.3
<b>Subtotal</b>		<b>1.7</b>	<b>2.1</b>	<b>1.9</b>	<b>2.0</b>	<b>2.2</b>	<b>2.3</b>
<b>Total Faculty FTE</b>		<b>142.1</b>	<b>139.4</b>	<b>140.9</b>	<b>146.1</b>	<b>153.6</b>	<b>157.7</b>

\*includes Ecology& Evolution Biology, Marine Biology, Molecular, Cellular and Deve. Biology, and Psychobiology

### ***Regular Student Enrollment per Ladder Faculty FTE***

	<u>1996-97</u>	<u>1997-98</u>	<u>1998-99</u>	<u>1999-00</u>	<u>2000-01</u>	<u>2001-02</u>
Astronomy	106.5	119.4	109.7	143.1	156.4	
Biology	133.1	140.5	106.0	109.3	103.6	
Chemistry	195.1	189.4	180.3	152.8	117.5	Data
Earth Sciences	95.4	98.8	102.1	94.7	92.2	Not
Mathematics	59.5	82.3	68.2	59.9	106.9	Available
Ocean Sciences	108.8	85.7	96.6	92.8	91.7	
Physics	112.5	122.1	119.5	117.2	104.7	
Science Communications	0.0	16.9	18.8	12.5	25.0	
Nat. Sci Gen	63.6	102.5	94.0	86.5	85.2	
<b>Weighted Average</b>	<b>121.6</b>	<b>127.9</b>	<b>115.4</b>	<b>109.5</b>	<b>106.7</b>	

### ***Courses Taught Per Faculty FTE***

	<u>1996-97</u>	<u>1997-98</u>	<u>1998-99</u>	<u>1999-00</u>	<u>2000-01</u>	<u>2001-02</u>
Astronomy	3.0	3.2	3.1	3.0	2.7	
Biology	4.1	4.1	3.8	4.4	4.5	
Chemistry	4.3	4.5	4.3	3.9	3.8	Data
Earth Sciences	3.3	3.2	3.1	2.9	3.0	Not
Mathematics	3.5	3.6	3.3	3.3	3.3	Available
Ocean Sciences	2.9	3.1	3.0	2.4	2.6	
Physics	2.7	2.8	2.6	3.3	2.9	
Science Communications	0.0	1.9	1.9	1.3	2.5	
Nat. Sci Gen	2.5	3.0	3.6	3.5	3.0	
<b>Weighted Average</b>	<b>3.6</b>	<b>3.6</b>	<b>3.5</b>	<b>3.6</b>	<b>3.5</b>	

Source: Course Audits Publication (2000-01)

### ***Extramural Awards***

#### ***Contracts and Grants***

	<u>1996-97</u>	<u>1997-98</u>	<u>1998-99</u>	<u>1999-00</u>	<u>2000-01</u>	<u>2001-02</u>
# of Proposals			414.0	419.0	395.0	527.0
Awards		\$24,891,860	\$30,378,247	\$26,459,197	\$34,631,181	\$38,163,425

[Rev. 03-02-04]

## 2002-2003 Permanent Budget Summary by Major Fund Source

### Division of Physical & Biological Sciences

	Academic Salaries		Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	Retirement & Employee Benefits	Recharge Income	TOTAL
	<i>Amount</i>	<i>FTE</i>	<i>Amount</i>	<i>FTE</i>						
<b>2002-2003</b>										
<b>Permanent Budget</b>										
General Funds	16,397,092	243.75	6,933,886	154.93	133,015	324,722	414,819	0	0	24,203,534
Special State Approp	0		0		0	0	0	0	0	0
Registration Fee	0		0		0	0	0	0	0	0
Other Fees	0		0		0	49,000	0	0	0	49,000
UOF/OTT	0		0		0	603,375	0	0	0	603,375
Gifts & Endowments	0		0		0	138,593	0	0	0	138,593
Self Supporting	0		199,391	4.21	(39,608)	292,857	0	29,440	(297,080)	185,000
<b>TOTAL</b>	<b>16,397,092</b>	<b>243.75</b>	<b>7,133,277</b>	<b>159.14</b>	<b>93,407</b>	<b>1,408,547</b>	<b>414,819</b>	<b>29,440</b>	<b>(297,080)</b>	<b>25,179,502</b>

NOTE: Budget figures for academic divisions include Supplemental Teaching Assistant allocations.

## 2002-2003 Permanent Budget Summary by Major Unit

### Division of Physical & Biological Sciences

	Academic Salaries		Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	Retirement & Employee Benefits	Recharge Income	TOTAL
	<i>Amount</i>	<i>FTE</i>	<i>Amount</i>	<i>FTE</i>						
<b>2002-2003</b>										
<b>Permanent Budget</b>										
AS Astronomy & Astrophysics Dept	1,221,352	10.80	64,122	1.75	0	36,619	0	0	0	1,322,093
Biology Core	0		464,941	11.09	12,929	218,884	0	0	0	696,754
Ecology & Evolutionary Biology	1,141,732	15.00	95,449	2.45	0	0	0	0	0	1,237,181
Molecular & Cell Developmnt Biology	1,588,032	19.00	71,940	2.00	0	0	0	0	0	1,659,972
Chem Chemistry Dept	1,850,399	21.00	477,633	10.75	19,050	106,068	0	0	0	2,453,150
Earth Sciences Dept	2,012,700	23.84	173,595	4.64	9,660	111,430	0	0	0	2,307,385
ET Environmental Toxicology Dept	396,274	6.00	42,000	1.00	0	15,000	0	0	0	453,274
IMS Institute of Marine Sciences	92,194	0.55	703,193	14.75	5,082	111,274	0	0	0	911,743
Interdisciplinary Instruction	341,600	5.00	9,878	0.30	0	0	0	0	0	351,478
OS Ocean Sciences Dept	655,174	8.00	116,149	2.90	1,050	72,293	0	0	0	844,666
Math Mathematics Dept	1,271,132	16.00	168,135	5.00	2,500	63,162	0	0	0	1,504,929
NATRES Natural Reserves	54,945	0.75	102,643	2.56	0	93,869	0	0	0	251,457
Physics Dept	1,800,282	18.25	250,885	6.19	13,600	55,997	0	0	0	2,120,764
SCIPP SC Inst for Particle Physics	502,898	3.94	26,322	0.50	0	(16,033)	0	0	0	513,187
Inst fr Geophysics & Planet Physics	182,210	1.90	38,654	1.25	0	11,500	0	0	0	232,364
SCI Science Communication	114,347	1.00	32,201	0.83	0	15,173	0	0	0	161,721
NS ADM Administration	197,700	1.00	2,181,885	49.28	7,975	(128,655)	(146,781)	0	0	2,112,124
NS Academic Support Services	2,871,482	88.09	2,113,652	41.90	11,561	73,560	561,600	29,440	(297,080)	5,364,215
NS Acad Support/Dean Provisional	0		0		0	536,406	0	0	0	536,406
NS Acad Support/Facilities	0		0		10,000	32,000	0	0	0	42,000
Supplemental Teaching Assistants	102,639	3.63	0		0	0	0	0	0	102,639
<b>TOTAL</b>	<b>16,397,092</b>	<b>243.75</b>	<b>7,133,277</b>	<b>159.14</b>	<b>93,407</b>	<b>1,408,547</b>	<b>414,819</b>	<b>29,440</b>	<b>(297,080)</b>	<b>25,179,502</b>

NOTE: Budget figures for academic divisions include Supplemental Teaching Assistant allocations.

# UC SANTA CRUZ CAMPUS DIVISIONAL BUDGET SUMMARY

## Division of Physical & Biological Sciences

	1999 - 2000			2000 - 2001			2001 - 2002			2002 - 2003		
	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE
AS Astronomy & Astrophysics Dept	1,029,316	9.80	1.75	1,186,742	10.80	1.75	1,324,883	11.80	1.75	1,322,093	10.80	1.75
Biology Core	3,157,212	35.00	16.04	652,732	0.00	11.09	679,358	0.00	11.09	696,754	0.00	11.09
Ecology & Evolutionary Biology	0	--	--	1,163,086	16.00	1.95	1,168,194	15.00	1.95	1,237,181	15.00	2.45
Molecular & Cell Developmnt Biology	0	--	--	1,630,831	20.00	2.00	1,618,647	19.00	2.00	1,659,972	19.00	2.00
Chem Chemistry Dept	2,146,633	20.00	11.00	2,215,670	20.00	10.00	2,336,654	20.00	10.00	2,453,150	21.00	10.75
Earth Sciences Dept	1,853,755	19.90	5.64	2,163,173	22.72	4.64	2,255,325	23.84	4.64	2,307,385	23.84	4.64
ET Environmental Toxicology Dept	312,800	5.00	1.00	353,030	5.00	1.00	372,225	5.00	1.00	453,274	6.00	1.00
IMS Institute of Marine Sciences	689,078	0.55	13.75	829,788	0.55	14.25	883,901	0.55	14.75	911,743	0.55	14.75
Interdisciplinary Instruction	170,300	2.00	--	175,100	2.00	--	273,904	3.00	1.00	351,478	5.00	0.30
TECT Institute of Tectonics	171,808	2.20	0.08	0	0.00	0.00	0	0.00	0.00	0	0.00	0.00
OS Ocean Sciences Dept	787,062	8.00	3.90	791,651	8.00	3.40	817,164	8.00	2.90	844,666	8.00	2.90
Math Mathematics Dept	1,429,266	17.00	4.98	1,448,737	16.00	4.98	1,470,388	16.00	4.98	1,504,929	16.00	5.00
NATRES Natural Reserves	232,085	0.75	2.50	227,715	0.75	2.56	242,157	0.75	2.56	251,457	0.75	2.56
Physics Dept	1,769,594	17.25	6.69	1,907,358	17.25	6.19	1,985,002	17.25	6.19	2,120,764	18.25	6.19
SCIPP SC Inst for Particle Physics	418,323	3.94	0.50	445,149	3.94	0.50	498,780	3.94	0.50	513,187	3.94	0.50
Inst fr Geophysics & Planet Physics	0	--	--	179,958	2.20	0.08	218,472	1.90	1.25	232,364	1.90	1.25
SCI Science Communication	142,799	1.00	0.83	148,403	1.00	0.83	155,098	1.00	0.83	161,721	1.00	0.83
NS ADM Administration	2,058,737	1.00	45.23	2,024,358	1.00	47.23	2,164,092	1.00	49.28	2,112,124	1.00	49.28
NS Academic Support Services	4,079,049	75.07	33.72	4,818,904	75.07	36.62	4,832,639	77.09	41.90	5,661,295	88.09	41.90
NS Acad Support/Dean Provisional	343,256	--	--	343,256	--	--	469,825	--	--	536,406	--	--
NS Acad Support/Facilities	42,000	--	--	42,000	--	--	42,000	--	--	42,000	--	--
Supplemental Teaching Assistants	17,669	0.66	--	55,012	2.02	--	95,745	3.40	--	102,639	3.63	--
Temporary Academic Staffing	501,977	10.23	--	584,377	11.48	--	698,777	13.54	--	0	--	--
<b>DIVISIONAL TOTALS</b>	<b>21,352,719</b>	<b>229.35</b>	<b>147.61</b>	<b>23,387,030</b>	<b>235.78</b>	<b>149.07</b>	<b>24,603,230</b>	<b>242.06</b>	<b>158.57</b>	<b>25,476,582</b>	<b>243.75</b>	<b>159.14</b>

NOTE: Figures include budgeted funds from the following sources: General & Special State Appropriations, Student Fees, Endowment Income, UOF/OTT, and Recharge & Auxiliary Income. The budget figures do not include funds from extramural sources (e.g. gifts, grants and contracts), and one-time funding sources. Beginning in 2003, Temporary Academic Staffing allocations are included in general divisional accounts.





### Baskin School of Engineering

The **Baskin School of Engineering** is located in the [Baskin Engineering Building](#). The School includes departments of [Applied Math and Statistics \(AMS\)](#), [Biomolecular Engineering](#), [Computer Engineering \(CE\)](#), [Computer Science \(CS\)](#), [Electrical Engineering \(EE\)](#), the [Center for Biomolecular Science and Engineering \(CBSE\)](#) and the [Institute for Network Information Systems Technology \(iNIST\)](#). The school also offers degree programs in [bioinformatics](#) and [network engineering](#).

## School of Engineering Profile

**2001-02 Enrollment:** 1,070 FTE UG majors  
173 FTE graduate majors

**2001-02 Number of Degrees Awarded:** 205 BA/BS  
47 MA/MS & Certificates  
13 PhD

**2001-02 Teaching and research staff:** 62 Budgeted Faculty FTE  
19 Budgeted Teaching Assistant FTE  
12 Postdoctoral Headcount Appt  
44 Budgeted Staff FTE

#### 2001-Space (in asf):

Activity	On Campus	Off Campus	Total
Instruction & Research	42,435	0	42,435
Organized Research Units	0	0	0
Divisional Offices	4,432	640	5,072
Totals	46,867	640	47,507

**Research Awards:** \$8.2 million in C&G

## **SCHOOL OF ENGINEERING**

### ***Degrees Conferred***

#### ***Baccalaureate Degrees***

	<u>1996-97</u>	<u>1997-98</u>	<u>1998-99</u>	<u>1999-00</u>	<u>2000-01</u>	<u>2001-02</u>
Bioinformatics	0.0	0.0	0.0	0.0	0.0	2.0
Computer Engineering	13.0	26.5	18.0	27.0	32.0	38.0
Computer Science	43.0	33.5	52.5	60.5	60.5	112.5
Electrical Engineering	0.0	0.0	0.0	1.0	7.0	10.0
Information Systems Management	0.0	0.0	0.0	13.0	31.0	42.0
<b>Subtotal</b>	<b>56.0</b>	<b>60.0</b>	<b>70.5</b>	<b>101.5</b>	<b>130.5</b>	<b>204.5</b>

#### ***Masters & Certificates***

Computer Engineering	20.0	22.0	16.0	22.0	27.0	18
Computer Science	8.0	9.0	15.0	16.0	9.0	29
<b>Subtotal</b>	<b>28.0</b>	<b>31.0</b>	<b>31.0</b>	<b>38.0</b>	<b>36.0</b>	<b>47.0</b>

#### ***Doctorates***

Computer Engineering	9.0	6.0	6.0	4.0	3.0	6
Computer Science	2.0	3.0	3.0	5.0	4.0	7
<b>Subtotal</b>	<b>11.0</b>	<b>9.0</b>	<b>9.0</b>	<b>9.0</b>	<b>7.0</b>	<b>13.0</b>

#### ***Total Degrees Conferred***

<b>95.0</b>	<b>100.0</b>	<b>110.5</b>	<b>148.5</b>	<b>173.5</b>	<b>264.5</b>
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### ***Declared and Proposed Majors***

#### ***Undergraduate***

	<u>1996-97</u>	<u>1997-98</u>	<u>1998-99</u>	<u>1999-00</u>	<u>2000-01</u>	<u>2001-02</u>
Bioinformatics	0.0	0.0	0.0	0.0	0.0	5.5
Computer Engineering	131.8	168.3	215.0	224.5	233.5	256.8
Computer Science	208.3	274.6	400.0	426.5	496.2	577.0
Electrical Engineering	0.0	9.0	44.0	66.0	71.0	81.2
Information System Mgmt	0.0		15.8	66.2	119.2	149.8
<b>Totals</b>	<b>340.1</b>	<b>451.9</b>	<b>674.8</b>	<b>783.2</b>	<b>919.9</b>	<b>1,070.3</b>

#### ***Graduate***

Computer Engineering	65.7	73.3	80.7	83.3	61.3	75.0
Computer Science	59.7	68.7	64.0	64.3	73.3	98.3
<b>Totals</b>	<b>125.4</b>	<b>142.0</b>	<b>144.7</b>	<b>147.6</b>	<b>134.6</b>	<b>173.3</b>

### ***Student Workload FTE\****

#### ***Division Summary***

	<u><b>1996-97</b></u>	<u><b>1997-98</b></u>	<u><b>1998-99</b></u>	<u><b>1999-00</b></u>	<u><b>2000-01</b></u>	<u><b>2001-02</b></u>
Lower Division	242.0	265.0	302.0	369.0	416.0	
Upper Division	122.0	143.0	180.0	209.0	287.0	Data
<b>Total Undergrad</b>	<b>364.0</b>	<b>408.0</b>	<b>482.0</b>	<b>578.0</b>	<b>703.0</b>	Not
						Available
<b>Total Graduate</b>	<b>122.0</b>	<b>133.0</b>	<b>135.0</b>	<b>128.0</b>	<b>133.0</b>	
<b>Total FTE</b>	<b>486.0</b>	<b>541.0</b>	<b>617.0</b>	<b>706.0</b>	<b>836.0</b>	

\*Student workload FTE is an approximation of the number of full-time students taught by the division over the course of the academic year, based on student credit hours.

### ***Budgeted Faculty FTE By Department***

	<u><b>1996-97</b></u>	<u><b>1997-98</b></u>	<u><b>1998-99</b></u>	<u><b>1999-00</b></u>	<u><b>2000-01</b></u>	<u><b>2001-02</b></u>
<b>Computer Engineering</b>						
Permanent Ladder	12.0	12.0	14.0	11.0	12.5	18.0
Open Provision/Temp Acad Prov	0.5	2.6	3.0	2.9	3.7	4.6
<b>Subtotal</b>	<b>12.5</b>	<b>14.6</b>	<b>17.0</b>	<b>13.9</b>	<b>16.2</b>	<b>22.6</b>
<b>Computer Science/Information Syst. Mgmt</b>						
Permanent Ladder	12.0	12.0	13.0	15.0	17.0	15.3
Open Provision/Temp Acad Prov	2.9	0.6	5.9	6.7	10.1	8.1
<b>Subtotal</b>	<b>14.9</b>	<b>12.6</b>	<b>18.9</b>	<b>21.7</b>	<b>27.1</b>	<b>23.4</b>
<b>Electrical Engineering</b>						
Permanent Ladder	0.0	0.0	0.0	6.0	7.0	9.0
Open Provision/Temp Acad Prov	0.0	0.0	0.0	0.4	0.4	0.5
<b>Subtotal</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>6.4</b>	<b>7.4</b>	<b>9.5</b>
<b>Baskin School of Engineering General</b>						
Permanent Ladder	0.0	0.0	2.0	2.0	3.0	4.0
Open Provision/Temp Acad Prov	0.0	0.0	1.3	0.0	1.2	2.3
<b>Subtotal</b>	<b>0.0</b>	<b>0.0</b>	<b>3.3</b>	<b>2.0</b>	<b>4.2</b>	<b>6.3</b>
<b>Totals</b>	<b>27.4</b>	<b>27.2</b>	<b>39.2</b>	<b>44.0</b>	<b>54.9</b>	<b>61.7</b>

**Regular Student Enrollment per Ladder Faculty FTE**

	<u>1996-97</u>	<u>1997-98</u>	<u>1998-99</u>	<u>1999-00</u>	<u>2000-01</u>	<u>2001-02</u>
Computer Engineering	84.1	111.8	88.9	96.9	91.7	
Computer Science/Information Syst Mgmt	75.5	97.4	86.0	97.1	130.1	Data
Electrical Engineering	0.0	0.0	0.0	42.0	46.5	Not
Baskin School of Engineering General	0.0	0.0	31.0	80.0	84.3	Available
<b>Weighted Average</b>	<b>79.8</b>	<b>104.0</b>	<b>83.2</b>	<b>86.2</b>	<b>98.9</b>	

**Courses Taught per Ladder Faculty**

	<u>1996-97</u>	<u>1997-98</u>	<u>1998-99</u>	<u>1999-00</u>	<u>2000-01</u>	<u>2001-02</u>
Computer Engineering	3.2	3.5	2.8	3.1	2.5	
Computer Science/Information Syst Mgmt	2.8	3.1	2.5	2.7	2.6	Data
Electrical Engineering	0.0	0.0	0.0	2.4	2.4	Not
Baskin School of Engineering General	0.0	0.0	1.0	2.0	2.1	Available
<b>Weighted Average</b>	<b>3.0</b>	<b>3.3</b>	<b>2.6</b>	<b>2.7</b>	<b>2.5</b>	

Source: Course Audit Publication (2000-01)

**Extramural Awards**

**Contracts and Grants**

	<u>1996-97</u>	<u>1997-98</u>	<u>1998-99</u>	<u>1999-00</u>	<u>2000-01</u>	<u>2001-02</u>
# of Proposals			37.0	72.0	63.0	117.0
Awards		\$4,251,815	\$4,272,114	\$4,976,650	\$5,002,924	\$8,202,261

## 2002-2003 Permanent Budget Summary by Major Fund Source

### Engineering

	Academic Salaries		Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	TOTAL
	<i>Amount</i>	<i>FTE</i>	<i>Amount</i>	<i>FTE</i>				
<b>2002-2003 Permanent Budget</b>								
General Funds	6,179,575	85.29	2,159,170	43.73	453,615	776,199	116,074	9,684,633
UOF/OTT	0		0		0	144,097	0	144,097
<b>TOTAL</b>	<b>6,179,575</b>	<b>85.29</b>	<b>2,159,170</b>	<b>43.73</b>	<b>453,615</b>	<b>920,296</b>	<b>116,074</b>	<b>9,828,730</b>

NOTE: Budget figures for academic divisions include Supplemental Teaching Assistant allocations.

## 2002-2003 Permanent Budget Summary by Major Unit

### Engineering

	Academic Salaries		Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	TOTAL
	<i>Amount</i>	<i>FTE</i>	<i>Amount</i>	<i>FTE</i>				
<b>2002-2003</b>								
<b>Permanent Budget</b>								
CE Computer Engineering Dept	1,606,132	18.00	109,510	2.75	0	71,225	0	1,786,867
BioMolecular Engineering Dept	0		44,575	0.79	0	4,500	0	49,075
CS Computer Sciences Dept	1,482,732	16.00	113,368	2.75	0	56,525	0	1,652,625
Electrical Engineering	810,474	9.00	109,510	2.75	0	43,750	0	963,734
Applied Math & Statistics	523,474	6.00	113,368	2.75	0	7,475	0	644,317
Engineering General	1,472,261	33.30	893,360	14.94	449,046	748,951	125,600	3,689,218
Engineering Administration	223,293	1.00	493,842	9.75	0	(44,130)	(9,526)	663,479
Engineering Advising&Outreach Prog	4,950		281,637	7.25	0	11,000	0	297,587
Engineering Faculty Services	0		0		4,569	21,000	0	25,569
Supplemental Teaching Assistants	56,259	1.99	0		0	0	0	56,259
<b>TOTAL</b>	<b>6,179,575</b>	<b>85.29</b>	<b>2,159,170</b>	<b>43.73</b>	<b>453,615</b>	<b>920,296</b>	<b>116,074</b>	<b>9,828,730</b>

NOTE: Budget figures for academic divisions include Supplemental Teaching Assistant allocations.

## UC SANTA CRUZ CAMPUS DIVISIONAL BUDGET SUMMARY

### Engineering

	1999 - 2000			2000 - 2001			2001 - 2002			2002 - 2003		
	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE
CE Computer Engineering Dept	1,034,609	12.00	1.00	1,084,099	12.00	1.00	1,555,688	16.00	2.25	1,786,867	18.00	2.75
BioMolecular Engineering Dept	0	--	--	42,000	--	1.00	51,218	--	1.00	49,075	--	0.79
CS Computer Sciences Dept	1,278,800	15.00	1.00	1,477,258	17.00	1.00	1,359,942	14.25	2.25	1,652,625	16.00	2.75
Electrical Engineering	642,675	7.00	1.00	930,851	10.00	1.00	975,868	10.00	2.25	963,734	9.00	2.75
Applied Math & Statistics	309,274	4.00	1.00	445,436	6.00	1.00	528,997	6.00	2.25	644,317	6.00	2.75
Engineering General	756,054	9.00	4.49	1,370,298	10.00	0.99	2,982,698	22.30	13.59	3,689,218	33.30	14.94
Engineering Administration	316,514	1.00	3.50	274,899	1.00	3.50	544,179	1.00	7.50	663,479	1.00	9.75
Engineering Advising&Outreach Prog	133,347	--	4.25	166,494	--	5.25	263,680	0.00	7.00	297,587	0.00	7.25
Engineering Faculty Services	637,489	--	13.85	871,958	--	16.60	25,569	--	0.00	25,569	--	0.00
Supplemental Teaching Assistants	122,068	4.56	--	198,483	7.30	--	108,511	3.85	--	56,259	1.99	--
Temporary Academic Staffing	275,641	4.48	--	349,341	5.51	--	349,541	5.41	--	0	--	--
<b>DIVISIONAL TOTALS</b>	<b>5,506,471</b>	<b>57.06</b>	<b>30.09</b>	<b>7,211,117</b>	<b>68.81</b>	<b>31.34</b>	<b>8,745,891</b>	<b>78.81</b>	<b>38.09</b>	<b>9,828,730</b>	<b>85.29</b>	<b>43.73</b>

NOTE: Figures include budgeted funds from the following sources: General & Special State Appropriations, Student Fees, Endowment Income, UOF/OTT, and Recharge & Auxiliary Income. The budget figures do not include funds from extramural sources (e.g. gifts, grants and contracts), and one-time funding sources. Beginning in 2003, Temporary Academic Staffing allocations are included in general divisional accounts.



**Social Sciences Building**

The social sciences are concerned with the study of interpersonal relationships and the functioning of human society. Social scientists at UCSC focus on four main areas: 1) meeting the challenges and opportunities of a multicultural society; 2) fostering international political and economic cooperation; 3) advancing environmental science and public policy; and 4) improving K-12 education.

## Social Sciences Division Profile

**2001-02 Enrollment:** 3,639 FTE UG majors  
356 FTE graduate majors

**2001-02 Number of  
Degrees Awarded** 1,203 BA/BS  
149 MA/MS & Certificates  
20 PhD

**2001-02 Teaching  
and research staff:** 169 Budgeted Faculty FTE  
59 Budgeted Teaching Assistant FTE  
85 Postdoctoral Headcount Appt  
82 Budgeted Staff FTE

**2001-Space (in asf):**

Activity	On Campus	Off Campus	Total
Instruction & Research	102,946	5,004	107,950
Organized Research Units	12,079	1,696	13,775
Divisional Offices	5,871	0	5,871
Totals	120,896	6,700	127,596

**Research Awards:** \$7.1 million in C&G



## SOCIAL SCIENCES DIVISION

### **Degrees Conferred**

#### **Baccalaureate Degrees**

	<b><u>1996-97</u></b>	<b><u>1997-98</u></b>	<b><u>1998-99</u></b>	<b><u>1999-00</u></b>	<b><u>2000-01</u></b>	<b><u>2001-02</u></b>
Anthropology	130.5	110.5	114.0	143.0	110.5	106.0
Business Mgt Economics	-	20.5	68.0	90.5	145.5	194.0
Community Studies	57.5	49.0	72.5	74.0	72.0	99.5
Economics/Applied Econ	112.0	80.3	54.5	67.3	49.3	46.0
Environmental Studies	107.0	110.5	112.0	156.8	130.8	145.0
Global Economics	23.5	28.5	14.0	24.5	17.0	21.5
Latin American/Latino Studies	46.0	35.5	35.0	25.0	39.0	39.0
Legal Studies	5.5	6.5	14.0	21.0	36.0	55.0
Politics	96.0	85.2	75.0	87.8	87.0	76.0
Psychology	264.0	264.5	298.0	287.0	285.0	282.5
Sociology	151.5	130.5	118.0	116.5	112.5	138.0
	<b>993.5</b>	<b>921.5</b>	<b>975.0</b>	<b>1,093.4</b>	<b>1,084.6</b>	<b>1,202.5</b>

#### **Masters & Certificates**

Anthropology	6.0	5.0	10.0	2.0	7.0	6.0
Applied Econ/Finance	15.0	21.0	16.0	15.0	13.0	14.0
Int'l Economics	11.0	10.0	1.0	5.0	5.0	6.0
Education	46.0	52.0	53.0	39.0	109.0	110.0
Environmental Science	-	-	-	1.0	-	1.0
Politics	-	-	-	-	-	1.0
Psychology	11.0	7.0	3.0	9.0	6.0	6.0
Sociology	3.0	7.0	9.0	7.0	5.0	5.0
	<b>92.0</b>	<b>102.0</b>	<b>92.0</b>	<b>78.0</b>	<b>145.0</b>	<b>149.0</b>

#### **Doctorates**

Anthropology	2.0	2.0	3.0	5.0	6.0	0.0
Economics	-	1.0	1.0	-	-	0.0
Int'l Economics	3.0	1.0	7.0	10.0	3.0	6.0
Environmental Science	-	1.0	2.0	3.0	4.0	8.0
Psychology	5.0	2.0	3.0	7.0	8.0	4.0
Sociology	1.0	9.0	6.0	2.0	3.0	2.0
	<b>11.0</b>	<b>16.0</b>	<b>22.0</b>	<b>27.0</b>	<b>24.0</b>	<b>20.0</b>

#### **Total Degrees Conferred**

<b>1,096.5</b>	<b>1,039.5</b>	<b>1,089.0</b>	<b>1,198.4</b>	<b>1,253.6</b>	<b>1,371.5</b>
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**Declared and Proposed Majors****Undergraduate**

	<u>1996-97</u>	<u>1997-98</u>	<u>1998-99</u>	<u>1999-00</u>	<u>2000-01</u>	<u>2001-02</u>
Anthropology	310.8	289.8	294.2	272.7	243.8	264.5
Community Studies	106.0	137.0	139.7	146.7	163.7	200.3
Economics	315.9	289.6	282.8	202.2	139.0	112.3
Business Mgt Economics	0.0	55.7	162.2	345.2	469.2	585.2
Global Economics	65.7	66.0	65.8	71.3	81.7	81.6
Environmental Studies	343.8	372.3	387.8	375.8	365.7	374.0
Latin American/Latino Studies	89.8	83.3	76.0	79.8	86.7	84.2
Legal Studies	49.7	56.0	79.7	96.3	146.7	171.2
Politics	235.5	242.3	245.4	252.7	261.7	336.2
Psychology	920.1	963.5	974.3	961.8	997.4	1,075.7
Sociology	352.8	292.2	269.5	261.8	278.2	353.5
	<b>2,790.1</b>	<b>2,847.7</b>	<b>2,977.4</b>	<b>3,066.2</b>	<b>3,233.8</b>	<b>3,638.7</b>

**Graduate**

Anthropology	42.0	36.7	39.0	35.7	37.7	38.7
Applied Economics/Finance	21.7	28.0	25.0	20.6	18.3	17.3
International Economics	40.0	37.0	30.0	28.7	30.7	38.3
Education	99.7	101.3	91.7	83.7	122.3	117.0
Environmental Studies	25.7	29.7	33.7	35.3	35.0	44.0
Psychology	55.7	51.0	50.0	57.3	52.0	50.0
Politics					6.0	11.3
Sociology	40.0	38.0	40.0	37.3	36.7	39.3
	<b>324.8</b>	<b>321.7</b>	<b>309.3</b>	<b>298.7</b>	<b>338.6</b>	<b>355.9</b>

**Student Workload FTE\*****Division Summary**

Lower Division	1,251	1,320	1,412	1,440	1,604	Data
Upper Division	1,441	1,527	1,644	1,717	1,850	Not
<b>Total Undergrad</b>	2,692	2,847	3,056	3,157	3,454	Available
<b>Total Graduate</b>	332	344	325	344	377	
<b>Total FTE</b>	<b>3,024</b>	<b>3,191</b>	<b>3,381</b>	<b>3,501</b>	<b>3,831</b>	

\*Student Workload FTE is an approximation of the number of full-time students taught by the division over the course of the academic year, based on student credit hours.

<b>Budgeted Faculty FTE By Department</b>		<b><u>1996-97</u></b>	<b><u>1997-98</u></b>	<b><u>1998-99</u></b>	<b><u>1999-00</u></b>	<b><u>2000-01</u></b>	<b><u>2001-02</u></b>
<b>Anthropology</b>							
Permanent Ladder		17.0	16.0	15.5	15.5	16.5	16.0
Open Provision/Temp Acad Prov		0.0	(0.2)	1.3	1.8	2.3	2.3
<b>Subtotal</b>		<b>17.0</b>	<b>15.8</b>	<b>16.8</b>	<b>17.3</b>	<b>18.8</b>	<b>18.3</b>
<b>Community Studies</b>							
Permanent Ladder		8.0	8.0	8.0	8.0	9.0	8.0
Open Provision/Temp Acad Prov		0.4	0.7	1.0	1.9	2.2	2.4
<b>Subtotal</b>		<b>8.4</b>	<b>8.7</b>	<b>9.0</b>	<b>9.9</b>	<b>11.2</b>	<b>10.4</b>
<b>Economics</b>							
Permanent Ladder		18.0	17.5	16.5	18.8	17.8	22.0
Open Provision/Temp Acad Prov		1.9	1.7	3.0	3.2	4.3	4.6
<b>Subtotal</b>		<b>19.9</b>	<b>19.2</b>	<b>19.5</b>	<b>22.0</b>	<b>22.1</b>	<b>26.6</b>
<b>Education</b>							
Permanent Ladder		8.0	8.0	8.0	9.0	11.0	13.0
Open Provision/Temp Acad Prov		6.8	5.3	5.6	5.4	9.8	8.9
<b>Subtotal</b>		<b>14.8</b>	<b>13.3</b>	<b>13.6</b>	<b>14.4</b>	<b>20.8</b>	<b>21.9</b>
<b>Environmental Studies</b>							
Permanent Ladder		10.0	13.5	13.5	15.0	16.0	16.0
Open Provision/Temp Acad Prov		0.6	0.1	1.4	1.5	1.8	1.8
<b>Subtotal</b>		<b>10.6</b>	<b>13.6</b>	<b>14.9</b>	<b>16.5</b>	<b>17.8</b>	<b>17.8</b>
<b>Latin American Studies</b>							
Permanent Ladder		2.0	2.0	3.0	3.0	3.0	6.5
Open Provision/Temp Acad Prov		3.5	2.6	2.5	2.6	2.2	2.6
<b>Subtotal</b>		<b>5.5</b>	<b>4.6</b>	<b>5.5</b>	<b>5.6</b>	<b>5.2</b>	<b>9.1</b>
<b>Politics</b>							
Permanent Ladder		12.0	12.0	13.0	12.0	11.0	11.0
Open Provision/Temp Acad Prov		(0.3)	1.4	2.0	1.9	2.8	3.6
<b>Subtotal</b>		<b>11.7</b>	<b>13.4</b>	<b>15.0</b>	<b>13.9</b>	<b>13.8</b>	<b>14.6</b>
<b>Psychology</b>							
Permanent Ladder		21.0	23.0	23.0	24.0	23.5	25.5
Open Provision/Temp Acad Prov		4.2	0.9	1.9	3.1	3.4	3.6
<b>Subtotal</b>		<b>25.2</b>	<b>23.9</b>	<b>24.9</b>	<b>27.1</b>	<b>26.9</b>	<b>29.1</b>

<b>Sociology</b>	<b><u>1996-97</u></b>	<b><u>1997-98</u></b>	<b><u>1998-99</u></b>	<b><u>1999-00</u></b>	<b><u>2000-01</u></b>	<b><u>2001-02</u></b>
Permanent Ladder	14.5	17.5	16.5	17.5	17.5	17.0
Open Provision/Temp Acad Prov	1.7	(1.1)	0.6	1.4	1.4	3.3
<b>Subtotal</b>	<b>16.2</b>	<b>16.4</b>	<b>17.1</b>	<b>18.9</b>	<b>18.9</b>	<b>20.3</b>
<b>Social Science General</b>						
Permanent Ladder	0.0	0.0	0.0	0.0	0.0	0.0
Open Provision/Temp Acad Prov	0.0	0.0	0.0	0.0	0.0	0.7
<b>Subtotal</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.0</b>	<b>0.7</b>
<b>Total Faculty FTE</b>	<b>129.3</b>	<b>128.9</b>	<b>136.3</b>	<b>145.6</b>	<b>155.5</b>	<b>168.6</b>

### ***Regular Enrollments Per Faculty FTE***

Anthropology	170.1	177.1	177.7	169.2	172.8	
Community Studies	106.9	145.0	140.9	124.5	103.6	
Economics	142.8	165.7	188.2	176.4	227.7	
Education	170.2	249.5	215.9	182.1	171.7	Data
Environmental Studies	216.9	142.4	151.3	141.3	148.4	Not
Latin American Studies	85.4	88.2	99.3	105.6	101.4	Available
Politics	184.3	207.9	184.9	232.7	256.8	
Psychology	209.9	228.6	209.4	212.5	211.0	
Sociology	213.7	198.1	187.5	202.2	260.9	
<b>Weighted Average</b>	<b>176.6</b>	<b>187.0</b>	<b>183.2</b>	<b>181.7</b>	<b>197.4</b>	

### ***Courses Taught Per Faculty FTE***

Anthropology	4.1	4.0	4.0	4.2	4.2	
Community Studies	4.0	4.2	4.0	4.0	3.6	
Economics	4.1	4.6	4.4	3.7	4.2	Data
Education	4.2	3.7	2.9	3.0	3.0	Not
Environmental Studies	4.6	3.5	3.6	3.3	3.6	Available
Latin American Studies	2.7	2.4	2.9	2.8	1.8	
Politics	4.3	4.4	3.9	4.0	4.3	
Psychology	4.7	4.7	4.5	4.2	4.0	
Sociology	4.4	3.9	3.7	3.4	3.7	
<b>Weighted Average</b>	<b>4.3</b>	<b>4.2</b>	<b>4.0</b>	<b>3.8</b>	<b>3.8</b>	

Source: Course Audits Publication (2000-01)

### ***Extramural Awards***

<b><i>Contracts and Grants</i></b>	<b><u>1996-97</u></b>	<b><u>1997-98</u></b>	<b><u>1998-99</u></b>	<b><u>1999-00</u></b>	<b><u>2000-01</u></b>	<b><u>2001-02</u></b>
# of Proposals			149.0	159.0	148.0	186.0
Awards		\$10,764,190	\$10,356,178	\$9,238,848	\$12,986,127	\$7,097,036

## 2002-2003 Permanent Budget Summary by Major Fund Source

### Social Sciences Division

	Academic Salaries		Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	Retirement & Employee Benefits	TOTAL
	<i>Amount</i>	<i>FTE</i>	<i>Amount</i>	<i>FTE</i>					
<b>2002-2003</b>									
<b>Permanent Budget</b>									
General Funds	14,402,597	231.84	3,328,233	81.74	354,529	1,422,899	244,550	0	19,752,808
Special State Approp	0		0		0	57,500	4,000	0	61,500
Registration Fee	0		0		0	0	0	0	0
Other Fees	0		0		55,000	13,500	0	16,500	85,000
UOF/OTT	0		0		0	154,477	0	0	154,477
Gifts & Endowments	0		0		0	18,724	0	0	18,724
Self Supporting	0		0		60,000	12,700	0	18,000	90,700
<b>TOTAL</b>	<b>14,402,597</b>	<b>231.84</b>	<b>3,328,233</b>	<b>81.74</b>	<b>469,529</b>	<b>1,679,800</b>	<b>248,550</b>	<b>34,500</b>	<b>20,163,209</b>

NOTE: Budget figures for academic divisions include Supplemental Teaching Assistant allocations.

## 2002-2003 Permanent Budget Summary by Major Unit

### Social Sciences Division

	Academic Salaries		Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	Retirement & Employee Benefits	TOTAL
	<i>Amount</i>	<i>FTE</i>	<i>Amount</i>	<i>FTE</i>					
<b>2002-2003</b>									
<b>Permanent Budget</b>									
Soc Sci Administration	160,000	1.00	824,309	18.70	0	80,506	600	0	1,065,415
Soc Sci General	3,260,400	87.31	3,917	0.29	284,078	62,757	0	0	3,611,152
Soc Sci Academic Support Services	0		454,800	9.82	0	214,270	0	0	669,070
Soc Sci Outreach	0		106,047	2.70	3,168	466,932	0	0	576,147
Soc Sci Equipment	0		0		0	82,600	243,950	0	326,550
Anthropology Department	1,244,832	15.00	179,452	5.00	0	45,940	0	0	1,470,224
Economics Department	2,099,832	22.00	233,186	5.55	0	135,118	0	0	2,468,136
Education Department	897,074	13.00	221,468	6.00	(793)	36,510	0	0	1,154,259
Politics Department	740,732	10.00	102,634	2.97	0	21,708	0	0	865,074
Sociology Department	1,282,432	16.00	156,641	4.09	0	37,019	0	0	1,476,092
Psychology Department	2,079,449	26.50	307,441	7.82	0	63,971	0	0	2,450,861
Latin American/Latino Studies Bd	545,074	6.50	61,980	2.00	0	65,237	0	0	672,291
Environmental Studies Department	1,128,592	15.84	249,457	6.32	8,604	88,086	0	0	1,474,739
Community Studies Department	558,290	8.00	85,908	2.50	0	15,600	0	0	659,798
Agroecology Program	116,264	1.80	185,970	4.48	123,642	210,713	0	34,500	671,089
College Nine Academic	26,075	0.25	91,073	2.00	12,500	26,993	2,000	0	158,641
College Ten Academic	26,075	0.25	63,950	1.50	38,330	25,840	2,000	0	156,195
Supplemental Teaching Assistants	237,476	8.39	0		0	0	0	0	237,476
<b>TOTAL</b>	<b>14,402,597</b>	<b>231.84</b>	<b>3,328,233</b>	<b>81.74</b>	<b>469,529</b>	<b>1,679,800</b>	<b>248,550</b>	<b>34,500</b>	<b>20,163,209</b>

NOTE: Budget figures for academic divisions include Supplemental Teaching Assistant allocations.

## UC SANTA CRUZ CAMPUS DIVISIONAL BUDGET SUMMARY

### Social Sciences Division

	1999 - 2000			2000 - 2001			2001 - 2002			2002 - 2003		
	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE
Soc Sci Administration	735,486	1.00	15.00	804,456	1.00	16.15	1,019,084	1.00	18.70	1,065,415	1.00	18.70
Soc Sci General	2,602,054	57.15	0.00	2,269,237	55.15	0.00	1,975,140	60.55	0.00	3,611,152	87.31	0.29
Soc Sci Academic Support Services	571,172	--	9.00	596,445	--	9.00	634,612	--	9.63	669,070	--	9.82
Soc Sci Outreach	0	--	--	0	--	--	0	--	--	576,147	--	2.70
Soc Sci Equipment	0	--	--	359,000	--	--	359,000	--	--	326,550	--	--
Anthropology Department	1,251,588	15.50	4.75	1,407,170	16.50	4.75	1,461,888	16.00	5.00	1,470,224	15.00	5.00
Economics Department	1,668,074	18.75	4.19	1,786,358	17.75	5.00	2,386,110	22.00	5.80	2,468,136	22.00	5.55
Education Department	623,771	7.00	4.84	985,583	11.00	6.00	1,715,314	13.00	7.95	1,154,259	13.00	6.00
Politics Department	937,265	12.00	2.75	995,658	12.00	2.75	946,329	11.00	3.00	865,074	10.00	2.97
Sociology Department	1,349,521	17.50	3.76	1,449,331	17.50	4.09	1,475,876	17.00	4.09	1,476,092	16.00	4.09
Psychology Department	2,008,933	24.00	6.82	2,005,842	22.50	6.82	2,312,185	25.50	7.82	2,450,861	26.50	7.82
Latin American/Latino Studies Bd	215,066	3.00	0.92	258,418	3.00	1.25	611,553	6.50	1.25	672,291	6.50	2.00
Environmental Studies Department	1,128,781	14.84	5.15	1,455,479	16.84	5.46	1,552,702	16.84	5.96	1,474,739	15.84	6.32
Community Studies Department	714,698	9.00	2.00	822,254	10.00	2.46	738,972	9.00	2.50	659,798	8.00	2.50
Agroecology Program	457,738	0.80	4.58	474,137	0.80	4.58	672,677	1.80	3.78	671,089	1.80	4.48
College Nine Academic	0	--	--	37,750	0.50	--	158,883	0.50	2.50	158,641	0.25	2.00
College Ten Academic	0	--	--	0	--	--	0	--	--	156,195	0.25	1.50
Supplemental Teaching Assistants	188,839	7.08	--	241,923	8.90	--	134,043	4.76	--	237,476	8.39	--
Temporary Academic Staffing	617,488	12.59	--	855,488	16.81	--	1,025,488	19.86	--	0	--	--
<b>DIVISIONAL TOTALS</b>	<b>15,070,474</b>	<b>200.21</b>	<b>63.76</b>	<b>16,804,529</b>	<b>210.25</b>	<b>68.31</b>	<b>19,179,856</b>	<b>225.31</b>	<b>77.98</b>	<b>20,163,209</b>	<b>231.84</b>	<b>81.74</b>

NOTE: Figures include budgeted funds from the following sources: General & Special State Appropriations, Student Fees, Endowment Income, UOF/OTT, and Recharge & Auxiliary Income. The budget figures do not include funds from extramural sources (e.g. gifts, grants and contracts), and one-time funding sources. Beginning in 2003, Temporary Academic Staffing allocations are included in general divisional accounts.

**Library**

## UCSC Library & Media Services

**Library Collection:** 1.4 million volumes  
16,500 serials and periodical titles  
825,000 microforms  
500,000 nonprint items (maps, slides, audio,  
and video recordings)

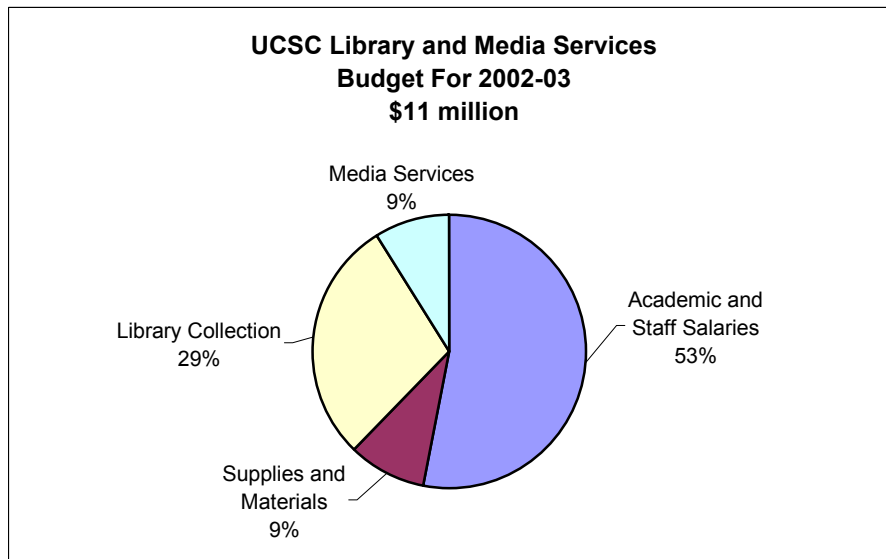
**Library staff:** 34 Academic FTE  
110 Staff FTE  
180 to 250 Student Employees

**2001 Space (in asf):**

Activity	On Campus	Off Campus	Total
Library	160,236		160,236
Media Svcs	6,098		6,098
Totals	166,334		166,334



## UCSC Library and Media Services



The UCSC Library, founded in 1965, is both the smallest general library in the University of California System and the largest research library between Stanford and Santa Barbara.

UCSC's University Library collection is divided into two parts. Resources in the humanities, arts, and social sciences are located in McHenry Library, and the science collection is housed in the Science & Engineering Library building on "science hill."

### The University Library

- Part of the statewide University of California library system.
- Gateway to books and periodicals on other UC campuses through the interlibrary loan program, as well as the California Digital Library.
- McHenry Library will be enlarged and modernized to provide space for the growing print collection and improved access to electronic information sources. Planned enhancements include an electronic resource center, a digitization and preservation laboratory, a cyber café. This project is planned in 2 phases that are scheduled between 2003 and 2008.

### Media Services

- Supports use of instructional technology throughout the campus, as follows:
  - Technology support for classrooms
  - Video production and editing
  - Videoconferencing
  - Audio-visual support for special events
  - Customized instructional media development

## 2002-2003 Permanent Budget Summary by Major Fund Source

### Library

	Academic Salaries		Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	Retirement & Employee Benefits	Recharge Income	TOTAL
	<i>Amount</i>	<i>FTE</i>	<i>Amount</i>	<i>FTE</i>						
<b>2002-2003</b>										
<b>Permanent Budget</b>										
General Funds	2,312,664	34.00	4,157,177	109.65	414,845	1,079,011	3,152,163	0	(130,352)	10,985,508
Special State Approp	0		0		0	1,710	0	0	0	1,710
UOF/OTT	0		0		12,294	6,144	0	3,936	0	22,374
Gifts & Endowments	0		0		0	14,981	150,000	0	0	164,981
Self Supporting	0		0		0	10,600	0	0	(4,500)	6,100
<b>TOTAL</b>	<b>2,312,664</b>	<b>34.00</b>	<b>4,157,177</b>	<b>109.65</b>	<b>427,139</b>	<b>1,112,446</b>	<b>3,302,163</b>	<b>3,936</b>	<b>(134,852)</b>	<b>11,180,673</b>

NOTE: Budget figures for academic divisions include Supplemental Teaching Assistant allocations.

## 2002-2003 Permanent Budget Summary by Major Unit

### Library

	Academic Salaries		Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	Retirement & Employee Benefits	Recharge Income	TOTAL
	<i>Amount</i>	<i>FTE</i>	<i>Amount</i>	<i>FTE</i>						
<b>2002-2003</b>										
<b>Permanent Budget</b>										
Lib Instructional Media Svs	0		917,180	22.09	36,361	100,354	83,000	0	(130,352)	1,006,543
Lib Lick Reprint Exchange	0		0		3,313	5,174	0	0	0	8,487
Lib Regional History Project	0		0		12,294	0	0	3,936	0	16,230
University Library	2,312,664	34.00	3,239,997	87.56	375,171	1,006,918	3,219,163	0	(4,500)	10,149,413
<b>TOTAL</b>	<b>2,312,664</b>	<b>34.00</b>	<b>4,157,177</b>	<b>109.65</b>	<b>427,139</b>	<b>1,112,446</b>	<b>3,302,163</b>	<b>3,936</b>	<b>(134,852)</b>	<b>11,180,673</b>

NOTE: Budget figures for academic divisions include Supplemental Teaching Assistant allocations.

## UC SANTA CRUZ CAMPUS DIVISIONAL BUDGET SUMMARY

### Library

	1999 - 2000			2000 - 2001			2001 - 2002			2002 - 2003		
	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE
Lib Instructional Media Svs	953,941	--	21.51	1,098,608	--	21.51	1,129,941	--	21.51	1,136,895	--	22.09
Lib Lick Reprint Exchange	8,487	--	--	8,487	--	--	8,487	--	--	8,487	--	--
Lib Regional History Project	16,230	--	0.50	16,230	--	0.50	16,230	--	0.50	16,230	--	0.00
University Library	8,567,946	32.00	78.38	9,294,521	33.00	85.08	9,793,000	34.00	85.83	10,153,913	34.00	87.56
<b>DIVISIONAL TOTALS</b>	<b>9,546,604</b>	<b>32.00</b>	<b>100.39</b>	<b>10,417,846</b>	<b>33.00</b>	<b>107.09</b>	<b>10,947,658</b>	<b>34.00</b>	<b>107.84</b>	<b>11,315,525</b>	<b>34.00</b>	<b>109.65</b>

NOTE: Figures include budgeted funds from the following sources: General & Special State Appropriations, Student Fees, Endowment Income, UOF/OTT, and Recharge & Auxiliary Income. The budget figures do not include funds from extramural sources (e.g. gifts, grants and contracts), and one-time funding sources. Beginning in 2003, Temporary Academic Staffing allocations are included in general divisional accounts.



**Lick Observatory at Mount Hamilton**

Two Multi-Campus Research Units (MRUs) are affiliated with the Santa Cruz campus. They are: UC Observatory (which includes the W. M. Keck Observatory and Lick Observatory), and the Institute for Geophysics and Planetary Physics (IGPP). These multi-campus research units serve faculty and researchers from several UC campuses.

## Multi Campus Research Units Profile

### Institute for Geophysics and Planetary Physics (IGPP)

The UCSC branch of IGPP was established in 1999-00. IGPP dates back to 1946, when the founding branch was established at UCLA. There are presently IGPP branches at UCLA, UCSC, UCSD, UCR, and at the national laboratories administered by UC—LLNL and LANL.

### UC Observatory

Established as an MRU in 1988, UCO/Lick has expanded its role in conducting forefront research in astronomy. Its main activities are: 1) to unite and coordinate research in optical and infrared astronomy throughout the UC system, 2) provide the UC scientific and administrative interface to the Keck Observatory in Mauna Kea, HI, 3) maintain and operate the Lick Observatory on Mount Hamilton, 4) design and build advanced equipment, including optics, instrumentation, detectors and software systems, 5) support graduate and undergraduate teaching and postdoctoral training, and 6) contribute public outreach and education opportunities. Headquartered on the Santa Cruz campus, this MRU also collaboratively funds an Infrared Laboratory at UCLA.

**2001-02 staff:** Budgeted Research and Academic FTE: 21  
Budgeted Staff FTE: 53  
Postdoctoral Headcount: 24

### 2001-02 Research Awards:

\$8.6 million in C&G in 2001-02

### 2001-02 Space (in asf)

Headquarters	3,807
Technical Facilities	21,160
Lick Observatory	106,309

## 2002-2003 Permanent Budget Summary by Major Fund Source

### Multi-Campus Research Units

	Academic Salaries		Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	Retirement & Employee Benefits	Recharge Income	TOTAL
	<i>Amount</i>	<i>FTE</i>	<i>Amount</i>	<i>FTE</i>						
<b>2002-2003</b>										
<b>Permanent Budget</b>										
General Funds	2,532,302	21.20	2,745,314	53.18	73,603	94,242	(98,100)	745,753	(2,830)	6,090,284
UOF/OTT	0		0		0	57,098	0	0	0	57,098
Gifts & Endowments	0		0		0	789,086	0	0	0	789,086
Self Supporting	0		0		0	50,000	0	0	0	50,000
<b>TOTAL</b>	<b>2,532,302</b>	<b>21.20</b>	<b>2,745,314</b>	<b>53.18</b>	<b>73,603</b>	<b>990,426</b>	<b>(98,100)</b>	<b>745,753</b>	<b>(2,830)</b>	<b>6,986,468</b>

## 2002-2003 Permanent Budget Summary by Major Unit

### Multi-Campus Research Units

	Academic Salaries		Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	Retirement & Employee Benefits	Recharge Income	TOTAL
	<i>Amount</i>	<i>FTE</i>	<i>Amount</i>	<i>FTE</i>						
<b>2002-2003</b>										
<b>Permanent Budget</b>										
UCOLO UCO Lick Observatory	0		393,313	8.93	970	296,176	(388)	(3,213)	(2,830)	684,028
UCO Multicampus Research Unit	2,439,226	21.20	2,583,661	44.25	72,633	592,454	0	0	0	5,687,974
MRU Provisions	93,076		(231,660)		0	0	(97,712)	748,966	0	512,670
MRU Institute Geo & Planet Physics	0		0		0	101,796	0	0	0	101,796
<b>TOTAL</b>	<b>2,532,302</b>	<b>21.20</b>	<b>2,745,314</b>	<b>53.18</b>	<b>73,603</b>	<b>990,426</b>	<b>(98,100)</b>	<b>745,753</b>	<b>(2,830)</b>	<b>6,986,468</b>

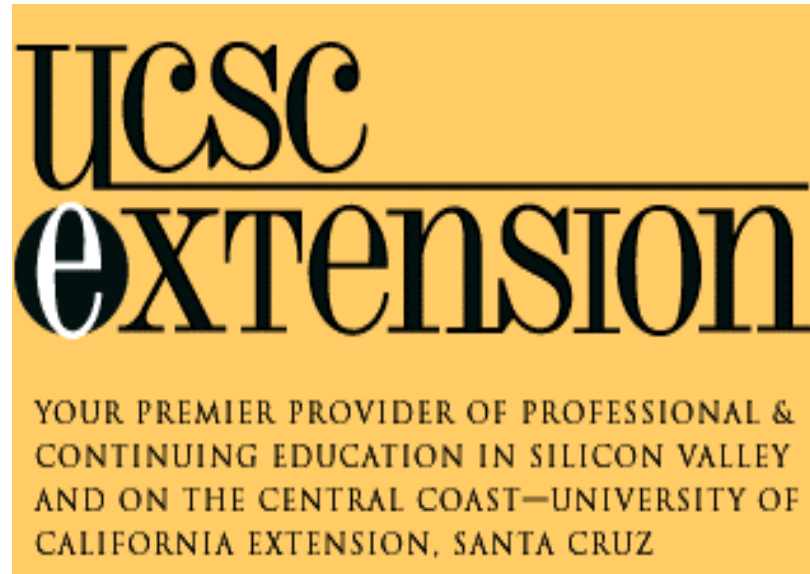
## UC SANTA CRUZ CAMPUS DIVISIONAL BUDGET SUMMARY

### Multi-Campus Research Units

	1999 - 2000			2000 - 2001			2001 - 2002			2002 - 2003		
	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE
MRU Institute Geo & Planet Physics	0	--	--	0	--	--	101,350	--	--	101,796	--	--
UCO	0	--	--	17,479	--	--	16,835	--	--	17,791	--	--
MRU - UCOLO Administration	286,837	--	13.25	342,816	--	13.25	628,357	--	13.25	681,413	--	13.25
MRU - Mt. Hamilton	775,789	2.00	10.70	805,154	2.00	10.70	822,943	2.00	11.00	711,511	2.00	10.00
MRU - UCOLO Research	2,254,357	14.20	--	2,426,146	14.20	--	2,541,275	14.20	--	2,288,434	14.20	--
MRU - UCOLO Technical Support Svcs	1,662,045	6.00	19.00	1,791,253	6.00	19.00	2,086,747	6.00	21.00	1,955,539	5.00	21.00
MRU - UCOLO Projects	51,077	--	--	51,077	--	--	51,077	--	--	51,077	--	--
UCOLO Administration	25,228	--	0.00	17,878	--	0.00	15,448	--	0.00	16,887	--	0.00
UCO MRU Provisions	720,658	0.00	0.00	507,585	0.00	0.00	459,961	0.00	0.00	512,670	0.00	0.00
Center For Adaptive Optics Research	0	--	--	0	--	--	0	--	--	30,840	--	0.50
Mt. Hamilton	413,378	--	7.95	422,602	--	7.95	442,387	--	7.95	442,015	--	8.43
UCOLO Research	103,226	0.00	--	108,577	0.00	--	126,328	--	--	179,325	--	--
<b>DIVISIONAL TOTALS</b>	<b>6,292,595</b>	<b>22.20</b>	<b>50.90</b>	<b>6,490,567</b>	<b>22.20</b>	<b>50.90</b>	<b>7,292,708</b>	<b>22.20</b>	<b>53.20</b>	<b>6,989,298</b>	<b>21.20</b>	<b>53.18</b>

NOTE: Figures include budgeted funds from the following sources: General & Special State Appropriations, Student Fees, Endowment Income, UOF/OTT, and Recharge & Auxiliary Income. The budget figures do not include funds from extramural sources (e.g. gifts, grants and contracts), and one-time funding sources. Beginning in 2003, Temporary Academic Staffing allocations are included in general divisional accounts.





University Extension provides a wide range of high-quality continuing education programs for professional development and personal growth. Through UCSC Extension, the scholars, research facilities, and resources of the University of California are linked with the people, businesses, and communities of the state to help meet educational, economic, and social needs.

UCSC Extension and Summer Session are self-supporting programs. Both offer courses for degree credit.

## University Extension and Summer Session Profile

**Student Enrollments:** UCSC Extension: more than 30,000 students annually.

Summer Session: 3,138 headcount students during summer 2002.

**Summer Session Staff:** .5 Academic FTE  
5 Staff FTE

**UNEX Staff:** 10 Academic FTE  
97 Staff FTE

**Facilities:** University Extension has facilities in Santa Cruz, Monterey, Cupertino, and Sunnyvale.

Summer Session is held on the UC Santa Cruz campus.

## 2002-2003 Permanent Budget Summary by Major Fund Source

### University Extension & Summer Session

	Academic Salaries		Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	Retirement & Employee Benefits	Recharge Income	TOTAL
	<i>Amount</i>	<i>FTE</i>	<i>Amount</i>	<i>FTE</i>						
<b>2002-2003 Permanent Budget</b>										
Other Fees	680,320	10.30	4,204,940	102.51	5,109,918	8,114,541	42,531	1,310,576	602,307	20,065,133
<b>TOTAL</b>	<b>680,320</b>	<b>10.30</b>	<b>4,204,940</b>	<b>102.51</b>	<b>5,109,918</b>	<b>8,114,541</b>	<b>42,531</b>	<b>1,310,576</b>	<b>602,307</b>	<b>20,065,133</b>

NOTE: Budget figures for academic divisions include Supplemental Teaching Assistant allocations.

## 2002-2003 Permanent Budget Summary by Major Unit

### University Extension & Summer Session

	Academic Salaries		Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	Retirement & Employee Benefits	Recharge Income	TOTAL
	<i>Amount</i>	<i>FTE</i>	<i>Amount</i>	<i>FTE</i>						
<b>2002-2003</b>										
<b>Permanent Budget</b>										
Summer Session	43,750	0.50	248,281	5.26	393,000	229,909	0	64,166	0	979,106
UNEX-Program Planning	636,570	9.80	1,324,035	36.20	4,587,242	1,648,783	0	519,560	0	8,716,190
UNEX-Support Services	0		2,632,624	61.05	129,676	6,235,849	42,531	726,850	602,307	10,369,837
<b>TOTAL</b>	<b>680,320</b>	<b>10.30</b>	<b>4,204,940</b>	<b>102.51</b>	<b>5,109,918</b>	<b>8,114,541</b>	<b>42,531</b>	<b>1,310,576</b>	<b>602,307</b>	<b>20,065,133</b>

NOTE: Budget figures for academic divisions include Supplemental Teaching Assistant allocations.

## UC SANTA CRUZ CAMPUS DIVISIONAL BUDGET SUMMARY

### University Extension & Summer Session

	1999 - 2000			2000 - 2001			2001 - 2002			2002 - 2003		
	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE	Budget	Acad FTE	Staff FTE
Summer Session	894,696	0.50	5.16	894,696	0.50	5.16	975,000	0.50	5.26	979,106	0.50	5.26
UNEX-Program Planning	13,332,640	16.45	45.05	13,544,974	14.90	42.05	14,934,345	14.15	44.38	8,716,190	9.80	36.20
UNEX-Support Services	8,407,860	0.80	73.03	10,151,026	0.90	70.50	13,447,942	1.00	77.98	10,369,837	0.00	61.05
<b>DIVISIONAL TOTALS</b>	<b>22,635,196</b>	<b>17.75</b>	<b>123.24</b>	<b>24,590,696</b>	<b>16.30</b>	<b>117.71</b>	<b>29,357,287</b>	<b>15.65</b>	<b>127.62</b>	<b>20,065,133</b>	<b>10.30</b>	<b>102.51</b>

NOTE: Figures include budgeted funds from the following sources: General & Special State Appropriations, Student Fees, Endowment Income, UOF/OTT, and Recharge & Auxiliary Income. The budget figures do not include funds from extramural sources (e.g. gifts, grants and contracts), and one-time funding sources. Beginning in 2003, Temporary Academic Staffing allocations are included in general divisional accounts.



Construction of the Physical Sciences Building

The Business and Administrative Services division consists of thirteen units that are organized into five thematic clusters:

- Business Services
- Financial Services
- Information Technology
- Physical Environment
- Safety Services

## Business and Administrative Services Profile

**Mission Statement:** To provide the physical environment and administrative/safety services that enable members of the UCSC community to meet their goals in research, teaching, learning, and public service.

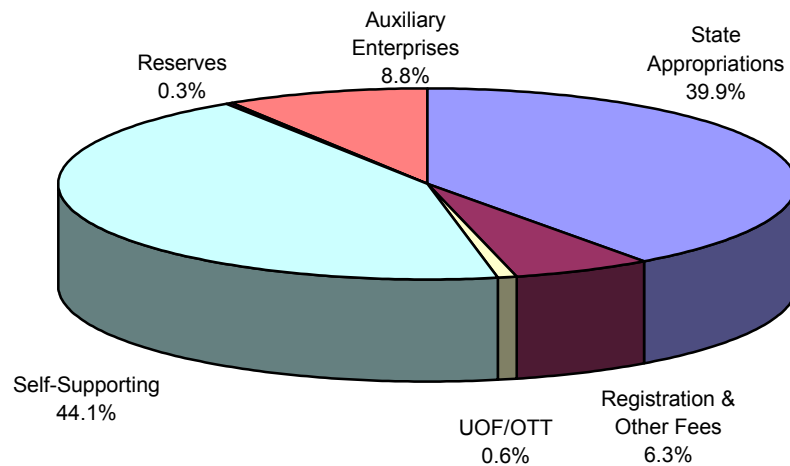
**A sampling of the services provided by BAS:**

- Heat, maintain, and protect almost 3 million square feet of assignable space
- Service over 7,000 telephone lines, 14,200 data connections, and 16,000 email accounts across campus
- Support 14 instructional computing labs
- Provide comprehensive bus and shuttle system
- Support on-line financial information system and payroll personnel system
- Provide mail, duplicating, and printing services
- Provide staff human resources services

**BAS staff:** 650 Staff FTE

**BUSINESS & ADMINISTRATIVE SERVICES**

**Sources of Funds  
2002-2003  
(\$68.3 million)**

**MAJOR FUNCTIONAL AREAS:****Vice Chancellor**

- Office of Planning and Analysis

**Business Services**

- Material Management
- Imaging, Printing, Mail and Temporary Support
- Staff Human Resources

**Financial Services**

- Financial Affairs
- Internal Audit

**Information Technology**

- Communication & Technology Services

**Physical Environment**

- Physical Plant
- Physical Planning & Construction

**Safety Services**

- Environmental Health & Safety
- Fire Department
- University Police
- Transportation & Parking Services

## 2002-2003 Permanent Budget Summary by Major Fund Source

### Business & Administrative Services

	Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	Retirement & Employee Benefits	Recharge Income	TOTAL
	<i>Amount</i>	<i>FTE</i>						
<b>2002-2003</b>								
<b>Permanent Budget</b>								
General Funds	21,939,510	477.39	1,000,868	11,383,473	215,033	0	(7,484,628)	27,054,256
Special State Approp	0		0	205,500	0	0	0	205,500
Registration Fee	0		0	282,000	0	0	0	282,000
Other Fees	502,325	14.76	1,071,058	2,055,476	35,000	376,692	(536,733)	3,503,818
UOF/OTT	0		0	435,137	0	0	0	435,137
Self Supporting	6,737,974	134.08	565,656	13,722,494	110,700	1,814,269	(21,811,016)	1,140,077
Auxiliary Enterprise	1,073,408	24.20	124,439	4,440,452	0	356,835	(2,285,938)	3,709,196
Reserves	0		0	75,244	0	0	112,627	187,871
<b>TOTAL</b>	<b>30,253,217</b>	<b>650.43</b>	<b>2,762,021</b>	<b>32,599,776</b>	<b>360,733</b>	<b>2,547,796</b>	<b>(32,005,688)</b>	<b>36,517,855</b>

## 2002-2003 Permanent Budget Summary by Major Unit

### Business & Administrative Services

	Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	Retirement & Employee Benefits	Recharge Income	TOTAL
	<i>Amount</i>	<i>FTE</i>						
<b>2002-2003</b>								
<b>Permanent Budget</b>								
BAS VC Vice Chancellor	637,956	7.50	7,000	126,464	0	0	0	771,420
Communications and Technology Svcs	5,595,031	90.92	480,034	7,393,047	16,500	733,882	(9,057,155)	5,161,339
Financial Affairs	2,645,121	54.48	67,342	675,746	0	28,624	(204,584)	3,212,249
Materiel Management	1,174,464	25.10	11,475	85,167	0	34,908	(258,532)	1,047,482
Environmental Health & Safety	646,398	11.00	22,518	218,153	0	2,991	(127,071)	762,989
Fire Department	909,345	16.00	33,097	42,628	51,507	8,106	(73,180)	971,503
Transportation & Parking	1,523,064	38.05	1,224,704	6,545,084	35,000	729,906	(2,857,198)	7,200,560
University Police	2,161,816	42.08	22,780	82,253	12,250	75,000	(135,696)	2,218,403
IPMTS Services	1,443,046	38.55	43,423	5,784,076	700	365,474	(7,173,452)	463,267
BAS VC Vice Chancellor - Costing	0		0	71,262	0	0	0	71,262
Asst VC Physical Planning & Constr	2,214,501	33.75	272,966	303,699	0	530,367	(2,996,010)	325,523
Physical Plant Services	9,373,209	258.00	523,361	10,936,339	244,776	0	(8,817,466)	12,260,219
Internal Audit	376,897	5.00	9,632	68,151	0	0	(68,151)	386,529
Staff Human Resources	1,552,369	30.00	43,689	267,707	0	38,538	(237,193)	1,665,110
<b>TOTAL</b>	<b>30,253,217</b>	<b>650.43</b>	<b>2,762,021</b>	<b>32,599,776</b>	<b>360,733</b>	<b>2,547,796</b>	<b>(32,005,688)</b>	<b>36,517,855</b>



## UC SANTA CRUZ CAMPUS DIVISIONAL BUDGET SUMMARY

### Business & Administrative Services

	2000		2001		2002		2003	
	Budget	FTE	Budget	FTE	Budget	FTE	Budget	FTE
BAS VC Vice Chancellor	630,251	7.75	694,701	7.50	740,862	7.50	771,420	7.50
Communications and Technology Svcs	10,749,714	84.10	12,200,855	81.50	12,546,445	84.67	14,218,494	90.92
Financial Affairs	2,683,935	49.93	2,820,028	50.93	2,974,160	50.48	3,416,833	54.48
Materiel Management	1,029,119	21.53	1,063,413	21.53	1,181,366	23.80	1,304,256	25.10
Environmental Health & Safety	555,813	9.00	648,154	9.60	785,931	10.00	773,198	11.00
Fire Department	780,143	13.00	874,355	13.00	975,933	16.00	1,044,683	16.00
Transportation & Parking	5,375,560	29.68	7,559,469	33.42	7,491,900	33.72	10,057,758	38.05
University Police	1,889,128	38.23	2,067,385	42.26	2,198,168	42.08	2,354,099	42.08
IPMTS Services	5,301,823	43.00	5,292,146	43.25	5,314,087	40.25	7,636,719	38.55
BAS VC Vice Chancellor - Costing	221,467	0.00	71,137	0.00	30,161	0.00	71,262	0.00
Asst VC Physical Planning & Constr	2,533,694	30.00	3,345,301	36.85	3,684,837	31.75	3,321,533	33.75
Physical Plant Services	23,962,392	236.00	24,453,378	244.00	20,506,106	251.00	21,077,685	258.00
Internal Audit	307,259	5.00	319,554	5.00	325,142	5.00	386,529	5.00
Staff Human Resources	1,444,880	27.25	1,567,156	28.17	1,790,508	30.26	1,902,303	30.00
<b>DIVISIONAL TOTALS</b>	<b>57,465,178</b>	<b>594.47</b>	<b>62,977,032</b>	<b>617.01</b>	<b>60,545,606</b>	<b>626.51</b>	<b>68,336,772</b>	<b>650.43</b>

NOTE: Figures include budgeted funds from the following sources: General & Special State Appropriations, Student Fees, Endowment Income, UOF/OTT, and Recharge & Auxiliary Income. The budget figures do not include funds from extramural sources (e.g. gifts, grants and contracts), and one-time funding sources.



Campus Provost Simpson, UC President Atkinson and Chancellor Greenwood at a construction site in May 2001.

In accordance with Chapter VIII, Section 3, of the Standing Orders of the Regents, "The Chancellor or Provost of each campus shall be the executive head of all activities on that campus, including academic, student and business affairs."

The Chancellor & campus Provost Units provide high-level support and leadership in the areas of education, research, budget and finance, policy and physical planning, and human resources.

## Chancellor & Campus Provost Units Profile

### Chancellor & Campus Provost Units:

**Staffing Level:** 117.79 Staff FTE

**2001-Space (in asf):**

On Campus	Off Campus	Total
27,767	4,669	32,436

**Major Sub Units:**

Chancellor's Office	Academic Senate
Campus Provost/EVC Office	Undergraduate Education
Academic Human Resources	Graduate Division
Planning & Budget	Arboretum
Vice Chancellor Research	MBEST

### Academic Senate:

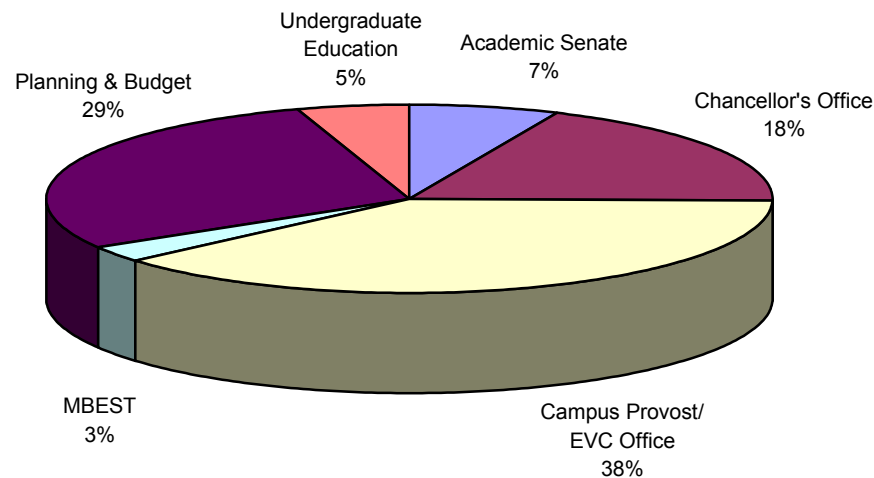
#### Committee on Research 2000-01 Awards:

	Faculty Research <sup>†</sup>	Scholarly Meetings <sup>†</sup>	Special Research <sup>†</sup>
Arts	\$40,118 (32)	\$17,369 (24)	\$10,000 (1)
Engineering	\$ 1,000 (1)	\$ 2,500 (3)	\$ 0
Humanities	\$70,428 (56)	\$29,972 (45)	\$26,640 (3)
Phy.Sci & Bio	\$30,390 (27)	\$17,990 (22)	\$57,985 (6)
Social Sci	\$47,664 (38)	\$44,710 (66)	\$16,950 (2)
Totals	\$189,600	\$112,541	\$111,395

<sup>†</sup> Listed are total award dollars and number of awards.

## CHANCELLOR/CAMPUS PROVOST UNITS

**Permanent Budget by Major Unit**  
**2002-2003**  
**(\$9.6 million)**



\* The Arboretum does not have any permanently budgeted funding sources.

## DESCRIPTION OF MAJOR SUB-UNITS:

**Chancellor's Office**

- Chancellor's Office Operations
- EEO/Affirmative Action
- Ombudsman
- Title IX/Sexual Harassment

**Campus Provost/EVC Office**

- Academic Human Resources
- Arboretum
- Campus Provost Operations
- Graduate Studies
- MBEST
- Vice Chancellor Research

**Planning & Budget**

- Budget & Resource Management
- Capital Planning & Space Management
- Central Business Operations
- Institutional Research & Policy Studies
- Network Support Services (for Chancellor/CPEVC Units)

**Academic Senate**

- Committee on Research Grants
- Senate Administration

**Undergraduate Education**

- Center on Teaching Excellence
- International Education
- Vice Provost and Dean for Undergraduate Education

## 2002-2003 Permanent Budget Summary by Major Fund Source

### Chancellor & Campus Provost Units

	Academic Salaries		Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	Retirement & Employee Benefits	Recharge Income	TOTAL
	<i>Amount</i>	<i>FTE</i>	<i>Amount</i>	<i>FTE</i>						
<b>2002-2003</b>										
<b>Permanent Budget</b>										
General Funds	307,929	3.00	6,956,972	111.79	169,667	1,416,459	97,633	0	(263,461)	8,685,199
Registration Fee	0		0		0	5,259	0	0	0	5,259
Other Fees	0		0		0	31,915	0	0	0	31,915
UOF/OTT	0		0		0	489,535	1,500	0	0	491,035
Gifts & Endowments	0		12,719	0.50	17,064	136,896	0	4,850	0	171,529
Self Supporting	0		143,738	2.50	15,049	8,310	0	23,014	0	190,111
<b>TOTAL</b>	<b>307,929</b>	<b>3.00</b>	<b>7,113,429</b>	<b>114.79</b>	<b>201,780</b>	<b>2,088,374</b>	<b>99,133</b>	<b>27,864</b>	<b>(263,461)</b>	<b>9,575,048</b>

## 2002-2003 Permanent Budget Summary by Major Unit

### Chancellor & Campus Provost Units

	Academic Salaries		Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	Retirement & Employee Benefits	Recharge Income	TOTAL
	<i>Amount</i>	<i>FTE</i>	<i>Amount</i>	<i>FTE</i>						
<b>2002-2003</b>										
<b>Permanent Budget</b>										
Chancellor's Office	0		1,394,399	20.66	26,278	313,768	14,576	16,714	0	1,765,735
Campus Provost/EVC Office	0		802,326	9.00	10,170	33,653	7,025	850	0	854,024
Academic Senate	0		228,036	5.50	0	417,219	1,625	0	0	646,880
Undergraduate Education	0		402,417	9.05	3,027	58,111	2,925	0	0	466,480
Academic Human Resources	0		608,715	10.24	80,863	198,053	0	0	0	887,631
Capital Planning & Space Management	0		474,736	7.00	0	(7,886)	1,300	0	0	468,150
Central Business Operations	0		300,204	7.00	0	10	0	0	0	300,214
Network Support Services (Chanc/EVC Units)	0		154,148	2.00	0	20,284	0	0	0	174,432
Planning and Budget	0		1,434,940	19.80	63,136	236,305	62,974	0	0	1,797,355
Division of Graduate Studies	307,929	3.00	330,756	8.24	15,786	340,518	2,008	0	0	996,997
Vice Chancellor Research	0		779,128	13.50	2,520	404,432	5,725	0	(263,461)	928,344
VCR/MBEST/Ft Ord	0		203,624	2.80	0	73,907	975	10,300	0	288,806
<b>TOTAL</b>	<b>307,929</b>	<b>3.00</b>	<b>7,113,429</b>	<b>114.79</b>	<b>201,780</b>	<b>2,088,374</b>	<b>99,133</b>	<b>27,864</b>	<b>(263,461)</b>	<b>9,575,048</b>

## UC SANTA CRUZ CAMPUS DIVISIONAL BUDGET SUMMARY

### Chancellor & Campus Provost Units

	2000		2001		2002		2003	
	Budget	FTE	Budget	FTE	Budget	FTE	Budget	FTE
Chancellor's Office	1,397,509	19.16	1,562,385	20.16	1,674,228	20.66	1,765,735	20.66
Campus Provost/EVC Office	599,828	5.75	761,582	8.25	798,181	8.00	854,024	9.00
Academic Senate	480,068	4.69	535,775	4.83	568,587	5.50	646,880	5.50
Undergraduate Education	288,097	5.91	292,525	5.91	394,932	7.48	466,480	9.05
Academic Human Resources	741,267	10.64	827,566	11.14	779,828	10.24	887,631	10.24
Capital Planning & Space Management	291,356	4.00	233,801	4.00	385,212	6.00	468,150	7.00
Central Business Operations	431,799	6.50	543,100	6.50	410,426	7.00	300,214	7.00
Network Support Services (Chanc/EVC Units)	67,083	1.00	69,454	1.00	76,444	1.00	174,432	2.00
Planning and Budget	1,125,774	16.30	1,274,096	16.30	1,590,543	20.80	1,797,355	19.80
Division of Graduate Studies	651,169	10.13	774,607	10.75	958,194	11.24	996,997	11.24
Vice Chancellor Research	577,110	9.50	667,252	10.50	767,876	13.00	928,344	13.50
VCR/MBEST/Ft Ord	260,591	2.80	268,534	2.80	279,684	2.80	288,806	2.80
<b>DIVISIONAL TOTALS</b>	<b>6,911,651</b>	<b>96.38</b>	<b>7,810,677</b>	<b>102.14</b>	<b>8,684,135</b>	<b>113.72</b>	<b>9,575,048</b>	<b>117.79</b>



### Aerial View of Crown & Merrill Colleges

UCSC's founding vision of an integrated living and learning environment was established with its first residential college in 1965. Every undergraduate student affiliates with one of ten colleges, each with different housing and lifestyle options, core course offerings, physical settings, and architecture. Each college provides instruction (through the core course and other offerings), advising, various student life activities, and housing and dining facilities to the campus' undergraduate population.

The ten colleges were founded in the following order:

Cowell (1965)	Kresge (1971)
Stevenson (1966)	Oakes (1972)
Crown (1967)	College Eight (1972)
Merrill (1968)	College Nine (2000)
Porter (1969)	College Ten (2002)

## Colleges Profile

### 2001-2002 Core Course Sections & Enrollments:

College	Sections	Students
Cowell	16	357
Stevenson*	48	338 (avg)
Crown	13	257
Merrill	16	336
Porter	19	395
Kresge	11	231
Oakes	18	344
College Eight	18	371
College Nine	17	349

Totals 176 2,978

\*Stevenson's Core Course is taught over 3 quarters

### Fall 2002 Student Enrollments & Planned Bed Spaces by College:

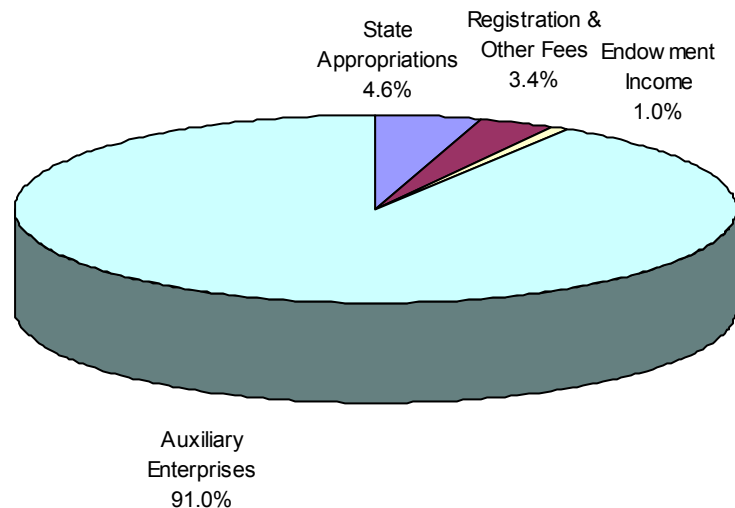
College	Enrollments	Planned Bed Spaces
Cowell	1,499	480
Stevenson	1,317	496
Crown	1,560	706
Merrill	1,444	604
Porter	1,536	619
Kresge	1,323	419
Oakes	1,280	586
College Eight	1,474	653
College Nine	1,058	686
College Ten	354	446
Totals	12,845	5,695

### 2001-Space (in asf):

	On Campus	Off Campus	Total
Academic	45,529	0	45,529
Housing	1,077,444	0	1,077,444

## COLLEGES

**Sources of Funds\***  
**2002-2003**  
**(\$50 million)**



\* Does not include the State funded portion of Colleges Nine and Ten, which are included in Social Sciences' budget.

**Cowell College**

Student Gov't: Cowell Senate      Housing: 8 Res Halls; 0 Apt Bldgs  
 Core Course: "Idea and Imagination in Western Cultural Contexts"

**Stevenson College**

Student Gov't: Stev. Student Council      Housing: 7 Res Halls; 0 Apt Bldgs  
 Core Course: "Self and Society" (3 quarters in duration)

**Crown College**

Student Gov't: Crown Student Senate      Housing: 8 Res Halls; 14 Apt Bldgs\*  
 Core Course: "California: Contested Dreams and Realities" (shared)

**Merrill College**

Student Gov't: Merrill Student Gov't      Housing: 4 Res Halls; 14 Apt Bldgs\*  
 Core Course: "Cultural Identities and Global Consciousness" (shared)

**Porter College**

Student Gov't: Porter Student Senate      Housing: 2 Res Halls; 0 Apt Bldgs  
 Core Course: "Arts in a Multicultural Society"

**Kresge College**

Student Gov't: Kresge Parliament      Housing: 0 Res Halls; 16 Apt Bldgs  
 Core Course: "Cultural Intersections"

**Oakes College**

Student Gov't: Oakes Senate      Housing: 4 Res Halls; 4 Apt Bldgs  
 Core Course: "Values and Change in a Diverse Society"

**College Eight**

Student Gov't: Committee of Eight      Housing: 8 Res Halls; 2 Apt Bldgs  
 Core Course: "Environment and Society"

**Colleges Nine & Ten**

Student Government: Student Government at College 9 & College 10  
 Housing: 6 Residential Halls; 5 Apartment Buildings  
 Coll 9 Core Course: "A World of Possibilities Writing & Discussion Seminar"  
 Coll 10 Core Course: "Social Justice & Community Writing & Discussion Seminar"

\* The 14 Crown/Merrill apartment buildings are shared Crown 57%, Merrill 43%.



## 2002-2003 Permanent Budget Summary by Major Fund Source

### Colleges

	Academic Salaries		Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	Retirement & Employee Benefits	Recharge Income	TOTAL
	<i>Amount</i>	<i>FTE</i>	<i>Amount</i>	<i>FTE</i>						
<b>2002-2003</b>										
<b>Permanent Budget</b>										
General Funds	1,089,313	1.00	975,260	24.11	43,177	230,850	(36,968)	0	0	2,301,632
Special State Approp	0		0		0	0	16,000	0	0	16,000
Registration Fee	0		1,168,966	28.83	10,433	164,553	0	0	0	1,343,952
Other Fees	0		0		11,500	330,662	0	300	0	342,462
Gifts & Endowments	0		5,889	0.19	0	483,551	0	0	0	489,440
Auxiliary Enterprise	0		3,785,650	97.09	177,502	40,252,207	0	1,172,427	0	45,387,786
<b>TOTAL</b>	<b>1,089,313</b>	<b>1.00</b>	<b>5,935,765</b>	<b>150.22</b>	<b>242,612</b>	<b>41,461,823</b>	<b>(20,968)</b>	<b>1,172,727</b>	<b>0</b>	<b>49,881,272</b>

## 2002-2003 Permanent Budget Summary by Major Unit

### Colleges

	Academic Salaries		Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	Retirement & Employee Benefits	Recharge Income	TOTAL
	<i>Amount</i>	<i>FTE</i>	<i>Amount</i>	<i>FTE</i>						
<b>2002-2003</b>										
<b>Permanent Budget</b>										
Colleges General Holding	0		0		0	38,738	(36,968)	0	0	1,770
Cowell College	52,000	0.50	602,198	14.46	26,101	3,710,648	2,000	110,234	0	4,503,181
Stevenson College	4,710		580,563	15.10	17,592	3,855,776	2,000	109,910	0	4,570,551
Crown College	4,710		751,939	19.53	21,188	6,049,913	2,000	158,826	0	6,988,576
Merrill College	4,710		618,988	15.48	20,634	3,147,712	2,000	114,637	0	3,908,681
Kresge College	2,500		640,448	16.21	22,562	2,232,899	2,000	126,197	0	3,026,606
Oakes College	4,710		561,634	13.95	37,428	4,039,406	2,000	101,183	0	4,746,361
Porter College	4,710		676,948	16.42	19,993	5,126,982	2,000	128,458	0	5,959,091
College Eight	51,600	0.50	613,092	15.07	13,977	4,755,817	2,000	116,586	0	5,553,072
College Nine	0		468,258	12.55	53,965	5,229,717	0	110,327	0	5,862,267
College Ten	0		421,697	11.45	9,172	3,250,665	0	96,369	0	3,777,903
College Core Courses	959,663		0		0	23,550	0	0	0	983,213
<b>TOTAL</b>	<b>1,089,313</b>	<b>1.00</b>	<b>5,935,765</b>	<b>150.22</b>	<b>242,612</b>	<b>41,461,823</b>	<b>(20,968)</b>	<b>1,172,727</b>	<b>0</b>	<b>49,881,272</b>

## UC SANTA CRUZ CAMPUS DIVISIONAL BUDGET SUMMARY

### Colleges

	2000		2001		2002		2003	
	Budget	FTE	Budget	FTE	Budget	FTE	Budget	FTE
Colleges General Holding	12,203	4.75	342,610	6.00	295,570	5.00	1,770	0.00
Cowell College	3,583,573	13.21	3,913,792	13.21	4,245,115	13.46	4,503,181	14.96
Stevenson College	3,562,429	13.10	3,879,337	13.10	4,297,439	13.35	4,570,551	15.10
Crown College	5,494,762	18.96	5,997,192	19.46	6,637,633	18.53	6,988,576	19.53
Merrill College	3,285,363	16.05	3,533,369	16.22	3,866,834	16.73	3,908,681	15.46
Kresge College	2,560,780	13.41	2,788,957	14.41	2,959,551	14.71	3,026,606	16.21
Oakes College	3,747,601	14.12	4,112,540	13.82	4,427,200	14.07	4,746,361	13.95
Porter College	4,542,932	15.39	4,999,736	15.54	5,587,164	15.04	5,959,091	16.42
College Eight	4,358,024	14.72	4,722,571	14.72	5,227,391	14.97	5,553,072	15.57
College Nine	762,172	0.00	1,781,402	9.89	2,919,241	11.90	5,862,267	12.55
College Ten	--	--	--	--	37,000	0.00	3,777,903	11.45
College Core Courses	671,315	0.00	864,000	0.00	891,963	0.00	983,213	0.00
<b>DIVISIONAL TOTALS</b>	<b>32,581,154</b>	<b>123.73</b>	<b>36,935,506</b>	<b>136.39</b>	<b>41,392,101</b>	<b>137.76</b>	<b>49,881,272</b>	<b>151.22</b>

NOTE: Figures include budgeted funds from the following sources: General & Special State Appropriations, Student Fees, Endowment Income, UOF/OTT, and Recharge & Auxiliary Income. The budget figures do not include funds from extramural sources (e.g. gifts, grants and contracts), and one-time funding sources.



### View of the Academic Resource Center

The Student Affairs Division offers a wide array of programs and services to support and advance the instructional, research, and public service mission of the University of California, Santa Cruz by:

- Assuming primary responsibility and leadership for enrolling a diverse undergraduate student body and for the quality of the out-of-class learning and living environments.
- Providing access and financial support programs to eligible students who would be unable to attend without such assistance.
- Supporting UCSC's unique college system and the development, through the colleges, of a community that values diversity and appreciation of individual differences, and that fosters civic responsibility.
- Supporting and expanding the social, cultural, emotional, physical, and intellectual development of individual students.
- Providing services and programs, which are essential for access, enrollment, retention, graduation, and achievement of life goals.

## Student Affairs Division Profile

**Fall 2002 Campus Enrollment:** 12,845 FTE Undergraduate Students  
1,277 FTE Graduate Students

**Applications & Admissions:** 20,783 Frosh Applicants (80.3% Admitted)  
4,642 Transfer Applicants (68.6% Admitted)

**Undergraduate Financial Aid Awards:** Over \$68 million annually to 6,500 students  
Over \$1 million in merit scholarships annually

**Fall 2002 Student Housing:** 5,885 On-Campus and 260 Off-Campus  
46% of Undergraduate Population  
13% of Graduate Population

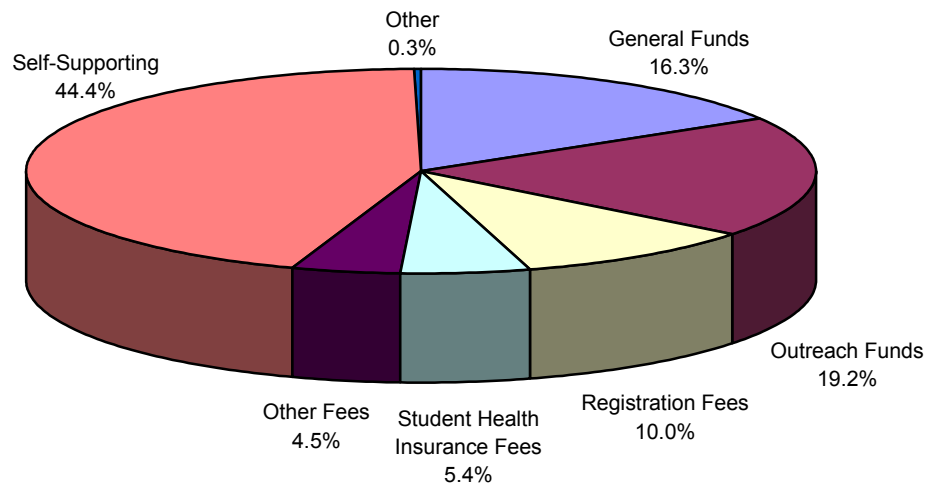
### 2001-Space (in asf):

Activity	On Campus	Off Campus	Total
Student Services	167,827	17,541	185,368
Housing & Dining*	1,153,717	84,496	1,238,213
Physical Education	23,673	0	23,673
Totals	1,345,217	102,037	1,447,254

\* Includes residential space in the colleges.

## STUDENT AFFAIRS

**Sources of Funds  
2002-2003**

**DIVISIONAL PRIORITIES:****Increase Student Enrollment, Retention & Progress**

- Enrollment Management
- Student Diversity
- Affordability of Education
- Student Retention

**Implement Responsive, Student-Centered Business Methods**

- 70/20/10 Service Model
- Academic Information System
- Student Portals

**Attract and Retain Quality Employees**

- Staff Outreach, Orientation & Training Opportunities
- Affordable Faculty & Staff Housing and Child Care
- Staff Diversity
- Evaluation & Feedback

**Expand, Upgrade and Maintain Physical Resources**

- Master Plan for Student Housing
- New Student-Life and Recreational Facilities

**Strengthen Student and Staff Mental & Physical Wellness**

\* Does not include funds budgeted in support of the Colleges or Student Aid.

## 2002-2003 Permanent Budget Summary by Major Fund Source

### Student Affairs

	Academic Salaries		Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	Retirement & Employee Benefits	Recharge Income	TOTAL
	<i>Amount</i>	<i>FTE</i>	<i>Amount</i>	<i>FTE</i>						
<b>2002-2003</b>										
<b>Permanent Budget</b>										
General Funds	57,200	1.00	9,399,247	202.57	409,769	10,770,788	22,924	0	(325,991)	20,333,937
Registration Fee	0		4,535,955	95.05	363,773	943,780	1,000	0	(42,500)	5,802,008
Other Fees	0		291,761	6.74	138,508	5,307,024	0	58,769	0	5,796,062
UOF/OTT	0		0		0	56,800	0	0	0	56,800
Self Supporting	0		746,685	14.49	332,074	1,720,673	14,000	229,578	(11,100)	3,031,910
Auxiliary Enterprise	0		4,443,101	106.10	508,163	16,516,978	0	1,349,256	(4,484,425)	18,333,073
Reserves	0		0		0	91,728	0	0	0	91,728
<b>TOTAL</b>	<b>57,200</b>	<b>1.00</b>	<b>19,416,749</b>	<b>424.95</b>	<b>1,752,287</b>	<b>35,407,771</b>	<b>37,924</b>	<b>1,637,603</b>	<b>(4,864,016)</b>	<b>53,445,518</b>

## 2002-2003 Permanent Budget Summary by Major Unit

### Student Affairs

	Academic Salaries		Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	Retirement & Employee Benefits	Recharge Income	TOTAL
	<i>Amount</i>	<i>FTE</i>	<i>Amount</i>	<i>FTE</i>						
<b>2002-2003</b>										
<b>Permanent Budget</b>										
SA VICE CHANCELLOR	0		2,859,522	61.93	649,268	15,530,736	2,924	278,422	(154,263)	19,166,609
SA Assoc. VC Enrollment Management	0		6,748,022	150.90	288,737	3,489,389	20,000	19,086	(171,728)	10,393,506
Associate Vice Chan Student Affairs	0		4,154,993	83.34	36,462	4,673,921	11,000	57,150	(69,600)	8,863,926
Housing Services	0		4,199,229	95.03	372,034	10,662,220	4,000	1,226,813	(4,468,425)	11,995,871
OPERS Off of Phys Ed and Rec Sys	57,200	1.00	1,247,047	28.39	403,721	918,398	0	56,132	0	2,682,498
SOAR Studt Orgnztn Advising & Resrc	0		207,936	5.36	2,065	133,107	0	0	0	343,108
<b>TOTAL</b>	<b>57,200</b>	<b>1.00</b>	<b>19,416,749</b>	<b>424.95</b>	<b>1,752,287</b>	<b>35,407,771</b>	<b>37,924</b>	<b>1,637,603</b>	<b>(4,864,016)</b>	<b>53,445,518</b>

## UC SANTA CRUZ CAMPUS DIVISIONAL BUDGET SUMMARY

### Student Affairs

	2000		2001		2002		2003	
	Budget	FTE	Budget	FTE	Budget	FTE	Budget	FTE
Academic Information System	--	--	94,440	1.75	100,235	1.75	194,862	4.50
Admissions	1,889,933	34.84	1,894,275	32.84	2,042,513	35.32	2,358,249	39.05
Bookstore/Aux/Serv Enterprises	5,837,613	25.78	7,153,352	24.53	7,655,803	29.70	8,924,793	30.75
CISE Career Center	787,733	17.86	797,593	18.16	869,963	18.16	880,627	19.30
Communications & Systems Developmnt	135,662	2.50	140,030	2.50	153,170	2.50	157,440	2.50
Educational Partnerships Center	2,661,041	25.30	3,065,910	32.55	3,573,851	37.45	3,343,420	30.95
FAO Financial Aid Office	1,219,795	24.33	1,265,172	24.33	1,318,719	24.50	1,347,571	24.50
Gateways	--	--	--	--	--	--	387,050	1.00
Housing Services	7,175,568	65.57	8,365,133	73.17	9,841,603	77.03	16,464,296	95.03
Office of Physical Education & Recreation	2,015,755	27.95	2,271,545	29.34	2,641,943	29.11	2,682,498	29.39
Registrar	1,200,273	27.65	1,216,175	27.45	1,192,003	25.45	1,358,050	27.45
Resource Centers & Student Development	1,014,814	14.91	1,264,730	20.51	1,385,392	21.79	1,492,691	23.25
SA Assistant VC Administration	67,596	1.50	78,174	1.50	81,523	1.50	82,775	1.50
SAAVCEM VChan Enrollment Mgt Office	365,604	3.00	368,041	3.00	451,506	4.80	337,326	2.00
SA VC Vice Chancellor's Office	1,480,781	10.42	1,483,179	6.92	2,366,291	7.77	1,648,384	7.85
Student Academic Support Services	1,356,597	20.24	1,434,239	22.33	1,460,015	21.54	1,625,756	22.45
Student Health Services	4,627,770	36.71	4,720,092	38.39	6,249,262	39.63	6,477,433	39.29
Student Organizations, Advising & Resources	356,918	7.10	373,687	7.10	336,449	5.22	343,108	5.36
UC College Prep Initiative	--	--	8,356,243	5.00	8,220,874	16.83	8,203,205	19.83
<b>DIVISIONAL TOTALS</b>	<b>32,193,453</b>	<b>345.66</b>	<b>44,342,010</b>	<b>371.37</b>	<b>49,941,115</b>	<b>400.05</b>	<b>58,309,534</b>	<b>425.95</b>

NOTE: Figures include budgeted funds from the following sources: General & Special State Appropriations, Student Fees, Endowment Income, UOF/OTT, and Recharge & Auxiliary Income. The budget figures do not include funds from extramural sources (e.g. gifts, grants and contracts), and one-time funding sources.





### Acceptance of \$1 million from Alumni Association

University Relations builds enduring relationships, resources, and understanding that enable UC Santa Cruz to provide educational opportunities, create knowledge, and transform lives. The division's primary objectives include:

- Building an effective entrepreneurial development program that increases UCSC's private gift support.
- Strengthening strategic communications in support of fundraising and other campus-wide priorities.
- Fostering productive relationships with a broad range of constituents.
- Building the divisional infrastructure needed to achieve the three objectives above.

## University Relations Profile

### 2001-2002 Private Gift Support:

Over \$13 million

- Individual Alumni -- \$1.4M
- Other Individuals -- \$3.2M
- Corporations -- \$1.9M
- Foundations -- \$4.7M
- Other Sources -- \$1.9M

### 5-Year Private Gift Support:

Over \$86 million

- Individual Alumni -- \$4.7M
- Other Individuals -- \$20.9M
- Corporations -- \$11.4M
- Foundations -- \$32.9 M
- Other Sources -- \$16.6M

### Market Value of Endowment Assets:

\$76,352,000 (as of June 2001)

### Alumni:

2002 Population: 52,000  
2002 Membership: 10,000

### Staffing Level:

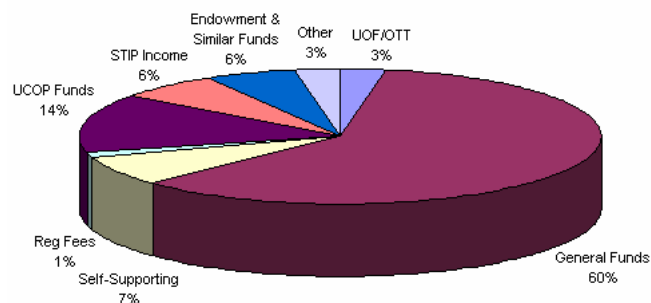
60.41 Staff FTE (permanent & temporary)  
50-60 Student Headcount

### 2001-Space (in asf):

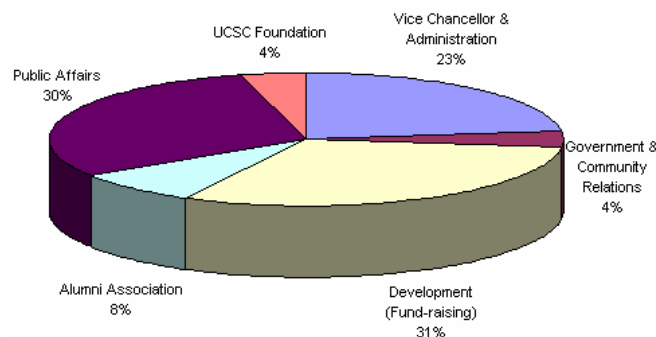
	On Campus	Off Campus	Total
	8,537	3,335	11,872

## UNIVERSITY RELATIONS

Operating Budget Summary by Fund Source\*  
2002-2003



Operating Budget Summary by Major Unit\*  
2002-2003



\* Includes on-going resources that are not permanently budgeted.

## DESCRIPTION OF MAJOR SUB-UNITS:

**Development** raises funds to support campus priorities, people and programs. Activities include donor cultivation, prospect research, annual giving, gift administration, stewardship, major giving, planned giving, and targeted campaigns. Initiating a \$150-\$200 million capital campaign.

**Public Affairs** promotes a broad understanding of and appreciation for the academic programs and public service projects of UCSC. Activities include coordinating news media coverage for the campus, producing publications (such as the *UC Santa Cruz Review* and *Currents*) and marketing documents, developing communication strategies, and overseeing UCSC's Arts & Lectures series and the Ticket Office.

**Alumni Relations** cultivates alumni and potential donors to gain greater support for UCSC. The Alumni Association sponsors an award program for faculty, staff, students and alumni achievements, provides an endowment for visiting professors, distributes scholarship funds, and organizes alumni events.

**UCSC Foundation Office** supports and coordinates the work of the UC Santa Cruz Foundation by providing service, oversight and staffing for the volunteer activities of the Foundation, and by linking its trustees to the rest of the campus community.

**Government & Community Relations** serves as the University's liaison to elected officials, public agencies and the community.

**Finance & Administration** provides divisional financial and administrative management, as well as strategic resource and financial planning, including the maintenance of the Alumni, Donors and Friends database (containing information for 190,000 individuals and 6,000 organizations).

## 2002-2003 Permanent Budget Summary by Major Fund Source

### University Relations

	Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	Retirement & Employee Benefits	Recharge Income	TOTAL
	<i>Amount</i>	<i>FTE</i>						
<b>2002-2003</b>								
<b>Permanent Budget</b>								
General Funds	2,301,858	42.92	109,421	427,333	21,775	0	0	2,860,387
Registration Fee	39,168	0.80	10,385	10,482	0	517	0	60,552
UOF/OTT	106,388	1.59	0	28,893	0	24,917	0	160,198
Gifts & Endowments	187,485	1.51	(313,528)	271,684	0	122,644	0	268,285
Self Supporting	66,692	1.00	171,263	(51,332)	0	44,463	0	231,086
<b>TOTAL</b>	<b>2,701,591</b>	<b>47.82</b>	<b>(22,459)</b>	<b>687,060</b>	<b>21,775</b>	<b>192,541</b>	<b>0</b>	<b>3,580,508</b>

## 2002-2003 Permanent Budget Summary by Major Unit

### University Relations

	Staff Salaries		General Assistance	Supplies & Materials	Equipment & Capital Expenditures	Retirement & Employee Benefits	Recharge Income	TOTAL
	<i>Amount</i>	<i>FTE</i>						
<b>2002-2003</b>								
<b>Permanent Budget</b>								
UR Vice Chancellor	610,134	8.78	(638,099)	61,424	21,775	33,170	0	88,404
UCSC Foundation	109,172	2.00	45,100	10,700	0	0	0	164,972
UCSC Alumni Association	309,000	6.47	2,898	(43,349)	0	0	0	268,549
Government and Community Relations	142,068	2.00	0	17,530	0	0	0	159,598
Development	733,832	14.47	499,630	251,769	0	158,854	0	1,644,085
PA Public Affairs	797,385	14.10	68,012	388,986	0	517	0	1,254,900
<b>TOTAL</b>	<b>2,701,591</b>	<b>47.82</b>	<b>(22,459)</b>	<b>687,060</b>	<b>21,775</b>	<b>192,541</b>	<b>0</b>	<b>3,580,508</b>

## UC SANTA CRUZ CAMPUS DIVISIONAL BUDGET SUMMARY

### University Relations

	<b>2000</b>		<b>2001</b>		<b>2002</b>		<b>2003</b>	
	<b>Budget</b>	<b>FTE</b>	<b>Budget</b>	<b>FTE</b>	<b>Budget</b>	<b>FTE</b>	<b>Budget</b>	<b>FTE</b>
UR Vice Chancellor	701,749	9.25	441,003	8.25	(204,256)	8.07	88,404	8.78
UCSC Foundation	82,368	2.00	85,668	2.00	143,337	2.00	164,972	2.00
UCSC Alumni Association	286,736	6.35	296,645	6.35	315,382	6.45	268,549	6.47
Government and Community Relations	49,336	1.00	50,104	1.00	124,530	1.00	159,598	2.00
Development	947,964	14.44	894,746	15.69	1,608,640	15.19	1,644,085	14.47
PA Public Affairs	1,061,112	13.50	1,094,219	13.50	1,236,192	14.10	1,254,900	14.10
<b>DIVISIONAL TOTALS</b>	<b>3,129,265</b>	<b>46.54</b>	<b>2,862,385</b>	<b>46.79</b>	<b>3,223,825</b>	<b>46.81</b>	<b>3,580,508</b>	<b>47.82</b>

NOTE: Figures include budgeted funds from the following sources: General & Special State Appropriations, Student Fees, Endowment Income, UOF/OTT, and Recharge & Auxiliary Income. The budget figures do not include funds from extramural sources (e.g. gifts, grants and contracts), and one-time funding sources.